Using the conformal thin-sandwich approach for constructing initial data together with quasi-equilibrium black-hole boundary conditions, we can construct very accurate initial data sets representing black-hole binaries. Previous work has considered the limiting cases of corotating and irrotational black holes and both cases seem to be in reasonable agreement with post-Newtonian results for equal-mass binaries in circular orbits. Current work is investigating the spins of the black holes to verify that the physics of corotating and irrotational black holes is being correctly represented in the data. We are also investigating whether or not the orbits are circular. We find that the corotating data is behaving reasonably. However, we find that we must change the original approach for constructing irrotational black holes.

Collaborators: Harald Pfeiffer[7] (Caltech), Jason D. Grigsby (WFU), & Matthew Caudill (WFU)
The 3 + 1 Decomposition

Lapse: $\alpha$  
Spatial metric: $\gamma_{ij}$

Shift vector: $\beta^i$  
Extrinsic Curvature: $K_{ij}$

Time vector: $t^\mu = \alpha n^\mu + \beta^\mu$

\[ ds^2 = -\alpha^2 dt^2 + \gamma_{ij} (dx^i + \beta^i dt)(dx^j + \beta^j dt) \]

\[ \gamma_{\mu\nu} = g_{\mu\nu} + n_\mu n_\nu \quad K_{\mu\nu} = -\frac{1}{2} \gamma^\alpha_\mu \gamma^\beta_\nu \mathcal{L} n g_{\alpha\beta} \]

Constraint equations

\[ \bar{R} + K^2 - K_{ij} K^{ij} = 16\pi \rho \]

\[ \bar{\nabla}_j \left( K^{ij} - \gamma^{ij} K \right) = 8\pi j^i \]

Evolution equations

\[ \partial_t \gamma_{ij} = -2\alpha K_{ij} + \bar{\nabla}_i \beta_j + \bar{\nabla}_j \beta_i \]

\[ \partial_t K_{ij} = -\bar{\nabla}_i \bar{\nabla}_j \alpha + \alpha \left[ \bar{R}_{ij} - 2K_{i\ell} K_{j}^\ell + KK_{ij} \right. \]

\[ \left. - 8\pi S_{ij} + 4\pi \gamma_{ij} (S - \rho) \right] \]

\[ + \beta^\ell \bar{\nabla}_\ell K_{ij} + K_{i\ell} \bar{\nabla}_j \beta^\ell + K_{j\ell} \bar{\nabla}_i \beta^\ell \]

\[ S_{\mu\nu} \equiv \gamma_\mu^\alpha \gamma_\nu^\beta T_{\alpha\beta} \]

\[ j_\mu \equiv -\gamma_\nu^\nu n_\alpha T_{\nu\alpha} \]

\[ \rho \equiv n_\mu n_\nu T_{\mu\nu} \]

\[ T_{\mu\nu} = S_{\mu\nu} + 2n_{(\mu} j_{\nu)} + n_\mu n_\nu \rho \]
Conformal Thin-Sandwich Decomposition

\[ \gamma_{ij} = \psi^4 \tilde{\gamma}_{ij} \]

\[ K^{ij} = \frac{\psi^{-10}}{2\tilde{\alpha}} \left[ (\tilde{\mathbb{L}}\beta)^{ij} - \tilde{u}^{ij} \right] + \frac{1}{3} \psi^{-4} \tilde{\gamma}^{ij} K \]

Hamiltonian Const.
\[ \tilde{\nabla}^2 \psi - \frac{1}{8} \psi \tilde{R} - \frac{1}{12} \psi^5 K^2 + \frac{1}{8} \psi^{-7} \tilde{A}_{ij} \tilde{A}^{ij} = -2\pi \psi^5 \rho \]

Momentum Const.
\[ \tilde{\nabla}_j (\tilde{\mathbb{L}}\beta)^{ij} - (\tilde{\mathbb{L}}\beta)^{ij} \tilde{\nabla}_j \tilde{\alpha} = \frac{4}{3} \tilde{\alpha} \psi^6 \tilde{\nabla}^i K + \tilde{\alpha} \tilde{\nabla}_j \left( \frac{1}{\tilde{\alpha}} \tilde{u}^{ij} \right) + 16\pi \tilde{\alpha} \psi^{10} j^i \]

Const. Tr(\(K\)) eqn.
\[ \tilde{\nabla}^2 (\psi^7 \tilde{\alpha}) - (\psi^7 \tilde{\alpha}) \left[ \frac{1}{8} \psi \tilde{R} + \frac{5}{12} \psi^5 K^2 + \frac{7}{8} \psi^{-7} \tilde{A}_{ij} \tilde{A}^{ij} - \psi^5 \beta^i \tilde{\nabla}_i K \right] \]
\[ = -2\pi \psi^5 K (\rho + 2S) - \psi^5 \partial_t K \]

\[ \tilde{A}^{ij} \equiv \frac{1}{2\tilde{\alpha}} \left[ (\tilde{\mathbb{L}}\beta)^{ij} - \tilde{u}^{ij} \right] \]

Constrained vars: \(\psi, \beta^i\), and \(\tilde{\alpha} \equiv \psi^{-6} \alpha\)

Freely specified:
\[ \tilde{\gamma}_{ij}, \quad \tilde{u}^{ij} \equiv -\partial_t \tilde{\gamma}^{ij} \]

Quasiequilibrium \(\Rightarrow\)
\[ \begin{cases} \partial_t \tilde{\gamma}^{ij} = 0 \\ \partial_t K = 0 \end{cases} \]
Equations of Quasiequilibrium

Ham. & Mom. const. eqns., & Const $\text{Tr}(K)$ eqn. from Conf. TS

\[ + \ddot{u}^{ij} = \partial_t K = 0 \]

\[ \Rightarrow \text{Eqns. of Quasiequilibrium} \]

With $\tilde{\gamma}_{ij} = f_{ij}$ and $K = 0$, these equations have been widely used to construct binary neutron star initial data\cite{3, 11, 4, 14}.

Binary neutron star initial data require:
- boundary conditions at infinity compatible with asymptotic flatness and corotation.

\[ \psi|_{r \to \infty} = 1 \quad \beta^i|_{r \to \infty} = \Omega_0 \left( \frac{\partial}{\partial \phi} \right)^i \quad \alpha|_{r \to \infty} = 1 \]

- compatible solution of the equations of hydrostatic equilibrium. ($\Rightarrow \Omega_0$)

Binary black hole initial data require:
- a means for choosing the angular velocity of the orbit $\Omega_0$.
- \textit{with excision}, inner boundary conditions are needed for $\psi$, $\beta^i$, and $\tilde{\alpha}$.

Gourgoulhon, Grandclément, & Bonazzola\cite{9, 10}: Black-hole binaries with $\tilde{\gamma}_{ij} = f_{ij}$ & $K = 0$, “inversion-symmetry”, and “Killing-horizon” conditions on the excision boundaries.

“Solutions” require \textit{constraint violating} regularity condition imposed on inner boundaries!
The Inner Boundary

Extrinsic curvature of $S$ embedded in spacetime

$$\Sigma_{\mu\nu} \equiv \frac{1}{2} h^\alpha_{\mu} h^\beta_{\nu} \mathcal{L}_k g_{\alpha\beta}$$

$$\dot{\Sigma}_{\mu\nu} \equiv \frac{1}{2} h^\alpha_{\mu} h^\beta_{\nu} \mathcal{L}_k g_{\alpha\beta}$$

Extrinsic curvature of $S$ embedded in $\Sigma$

$$H_{ij} \equiv \frac{1}{2} h^k_i h^\ell_j \mathcal{L}_s \gamma_{k\ell}$$

Projections of $K_{ij}$ onto $S$

$$J_{ij} \equiv h^k_i h^\ell_j K_{k\ell}$$

$$J_i \equiv h^k_i s^\ell K_{k\ell}$$

$$J \equiv h^{ij} J_{ij} = h^{ij} K_{ij}$$

Expansion of null rays

$$\theta \equiv h^{ij} \Sigma_{ij} = \frac{1}{\sqrt{2}} (H - J)$$

$$\dot{\theta} \equiv h^{ij} \dot{\Sigma}_{ij} = -\frac{1}{\sqrt{2}} (H + J)$$

Shear of null rays

$$\sigma_{ij} \equiv \Sigma_{ij} - \frac{1}{2} h_{ij} \theta$$

$$\dot{\sigma}_{ij} \equiv \dot{\Sigma}_{ij} - \frac{1}{2} h_{ij} \dot{\theta}$$

$$s_i \equiv \frac{\bar{\nabla}_i \tau}{|\bar{\nabla}_\tau|}$$

$$h_{ij} \equiv \gamma_{ij} - s_i s_j$$

$$k^\mu \equiv \frac{1}{\sqrt{2}} (n^\mu + s^\mu)$$

$$\dot{k}^\mu \equiv \frac{1}{\sqrt{2}} (n^\mu - s^\mu)$$
AH and QE Conditions on the Inner Boundary

The quasiequilibrium inner boundary conditions start with the following assumptions:

1. The inner boundary $S$ is a (MOTS):
   \[ \theta = 0 \]

2. The horizons are in quasiequilibrium:
   \[ \sigma_{ij} = 0 \text{ and no matter is on } S \]

   Raychaudhuri’s equation implies that MOTS initially evolves along $k^\mu$.
   \[
   \mathcal{L}_k \theta = \frac{1}{2} \theta^2 - \sigma_{\mu\nu} \sigma^{\mu\nu} - \omega_{\mu\nu} \omega^{\mu\nu} - R_{\mu\nu} k^\mu k^\nu = 0
   \]

3. The time evolution vector lies in outgoing null surface through $S$:
   \[ t^\mu k_\mu |_S = 0 \]
   \[
   \begin{align*}
   k^\mu &\equiv \frac{1}{\sqrt{2}} (n^\mu + s^\mu) \\
   t^\mu &\equiv \alpha n^\mu + \beta^\mu 
   \end{align*}
   \]
   \[ \alpha |_S = \beta^i s_i |_S \equiv \beta_\perp |_S \]
AH/Quasiequilibrium Boundary Conditions

\[ \theta = \frac{\psi^{-2}}{\sqrt{2}} \left[ \tilde{h}^{ij} \tilde{\nabla}_i \tilde{s}_j + 4 \tilde{s}^k \tilde{\nabla}_k \ln \psi - \psi^2 J \right] \]

\[ \sigma_{ij} = \frac{1}{\sqrt{2}} \left( H_{ij} - \frac{1}{2} h_{ij} H \right) \left( 1 - \frac{\beta_\perp}{\alpha} \right) \]

\[ - \frac{1}{\sqrt{2}} \frac{\psi^4}{\alpha} \left\{ \tilde{D}_{(i} \tilde{\beta}_{\parallel j)} - \frac{1}{2} h_{ij} \tilde{D}_{k\parallel} \tilde{\beta}^k - \frac{1}{2} [\tilde{h}_{ik} \tilde{h}_{j\ell} \tilde{u}^{k\ell} - \frac{1}{2} \tilde{h}_{ij} \tilde{h}_{k\ell} \tilde{u}^{k\ell}] \right\} \]
AH/Quasiequilibrium Boundary Conditions

\[ \theta = \frac{\psi^{-2}}{\sqrt{2}} \left[ \tilde{h}_{ij} \tilde{\nabla}_i \tilde{s}_j + 4 \tilde{s}^k \tilde{\nabla}_k \ln \psi - \psi^2 J \right] \]

\[ \sigma_{ij} = \frac{1}{\sqrt{2}} (H_{ij} - \frac{1}{2} h_{ij} H) \left( 1 - \frac{\beta_\perp}{\alpha} \right) \]

\[ - \frac{1}{\sqrt{2}} \alpha \left\{ \tilde{D}_{(i\beta_\parallel)_j} - \frac{1}{2} \tilde{h}_{ij} \tilde{D}_k \beta_\parallel^k - \frac{1}{2} [\tilde{h}_{ik} \tilde{h}_{j\ell} \tilde{u}^{k\ell} - \frac{1}{2} \tilde{h}_{ij} \tilde{h}_{k\ell} \tilde{u}^{k\ell}] \right\} \]

\[ \tilde{s}^k \tilde{\nabla}_k \ln \psi = - \frac{1}{4} (\tilde{h}^{ij} \tilde{\nabla}_i \tilde{s}_j - \psi^2 J) \]

\[ \beta^i = \alpha \psi^{-2} \tilde{s}^i + \beta_\parallel^i \]

\[ 0 = \tilde{D}_{(i\beta_\parallel)_j} - \frac{1}{2} \tilde{h}_{ij} \tilde{D}_k \beta_\parallel^k \]
AH/Quasiequilibrium Boundary Conditions

\[ \theta = \frac{\psi^{-2}}{\sqrt{2}} \left[ \tilde{h}^{ij} \tilde{\nabla}_i \tilde{s}_j + 4 \tilde{s}^k \tilde{\nabla}_k \ln \psi - \psi^2 J \right] \]

\[ \sigma_{ij} = \frac{1}{\sqrt{2}} (H_{ij} - \frac{1}{2} h_{ij} H) \left( 1 - \frac{\beta_\perp}{\alpha} \right) \]

\[ - \frac{1}{\sqrt{2} \alpha} \left\{ \tilde{D}_{(i \beta_{\parallel}^j)} - \frac{1}{2} \tilde{h}_{ij} \tilde{D}_{k} \beta_{\parallel}^k - \frac{1}{2} [\tilde{h}_{ik} \tilde{h}_{j\ell} \tilde{u}^{k\ell} - \frac{1}{2} \tilde{h}_{ij} \tilde{h}_{k\ell} \tilde{u}^{k\ell}] \right\} \]

\[ \tilde{s}^k \tilde{\nabla}_k \ln \psi = -\frac{1}{4} (\tilde{h}^{ij} \tilde{\nabla}_i \tilde{s}_j - \psi^2 J) \]

\[ \beta^i = \alpha \psi^{-2} \tilde{s}^i + \beta_{\parallel}^i \]

\[ 0 = \tilde{D}_{(i \beta_{\parallel}^j)} - \frac{1}{2} \tilde{h}_{ij} \tilde{D}_{k} \beta_{\parallel}^k \]

The boundary condition on the lapse is not fixed by QE assumptions. It has been shown to be associated with the initial temporal gauge choice and is taken to be freely specifiable.
Defining the Spin of the Black Hole

The spin parameters $\beta^i_\parallel$ can be defined by demanding that the time vector associated with quasiequilibrium in the corotating frame must be null, forming the null generators of the horizon.

$$k^\mu \propto (n^\mu + s^\mu) \implies k^\mu = \left[1, \alpha s^i - \beta^i\right]$$

This vector $k^\mu$ is null for any choice of $\alpha$ & $\beta^i$.

In the “corotating frame” the time vector has components $t^\mu = [1, \vec{0}]$.

---

**Corotating Holes**

Corotating holes are at rest in the corotating frame, where we must pose boundary conditions. So,

$$k^\mu = \left[1, \alpha s^i - \beta^i\right] = [1, \vec{0}]$$

Thus we find

$$\beta^i = \alpha s^i \implies \beta^i_\parallel = 0$$

---

**Irrotational Holes**

Irrotational holes are at rest in the inertial frame. With the time vectors in the inertial and corotating frames related by

$$\frac{\partial}{\partial t} = \frac{\partial}{\partial t} + \Omega_0 \frac{\partial}{\partial \phi}$$

$$k^\mu = \left[1, \alpha s^i - \beta^i\right] = [1, -\Omega_0 (\partial/\partial \phi)^i]$$

Thus we find

$$\beta^i = \alpha s^i + \Omega_0 \left(\frac{\partial}{\partial \phi}\right)^i \implies \beta^i_\parallel = \Omega_0 \xi^i$$

$$\xi^i \approx \left(\frac{\partial}{\partial \phi}\right)^i \& \tilde{D}(i\xi_j) - \frac{1}{2} \tilde{h}_{ij} \tilde{D}_k \xi^k = 0$$
The Orbital Angular Velocity

- For a given choice of the Lapse BC, $\tilde{\gamma}_{ij}$ and $K$, we are still left with a family of solutions parameterized by the orbital angular velocity $\Omega_0$.
- Except for the case of a single spinning black hole, it is not reasonable to expect more than one value of $\Omega_0$ to correspond to a system in quasiequilibrium.

GGB[9, 10] have suggested a way to pick the quasiequilibrium value of $\Omega_0$:

<table>
<thead>
<tr>
<th>Komar mass</th>
<th>$M_K = \frac{1}{4\pi} \int_{\infty} \gamma^{ij} (\bar{\nabla}<em>i \alpha - \beta^k K</em>{ik}) d^2S_j$</th>
<th>Acceptable definition of the mass only for stationary spacetimes.</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADM energy</td>
<td>$E_{ADM} = \frac{1}{16\pi} \int_{\infty} \gamma^{ij} \bar{\nabla}_k (G^k_i - \delta^k_i G) d^2S_j$</td>
<td>Acceptable definition of the mass for arbitrary spacetimes. $G_{ij} \equiv \gamma_{ij} - f_{ij}$</td>
</tr>
</tbody>
</table>
**Summary of QE Formalism**

\[
\begin{align*}
\gamma_{ij} &= \psi^4 \tilde{\gamma}_{ij} \\
K^{ij} &= \psi^{-10} \tilde{A}^{ij} + \frac{1}{3} \gamma^{ij} K \\
\tilde{A}^{ij} &= \frac{\psi^6}{2\alpha} (\tilde{\nabla} \beta)^{ij} \\
\partial_t \tilde{\gamma}_{ij} &= 0
\end{align*}
\]

\[
\begin{align*}
\tilde{\nabla}^2 \psi - \frac{1}{8} \psi \tilde{R} - \frac{1}{12} \psi^5 K^2 + \frac{1}{8} \psi^{-7} \tilde{A}_{ij} \tilde{A}^{ij} &= 0 \\
\tilde{\nabla}_j (\tilde{\nabla} \beta)^{ij} - (\tilde{\nabla} \beta)^{ij} \tilde{\nabla}_j \ln \alpha \psi^{-6} &= \frac{4}{3} \alpha \tilde{\nabla}^i K \\
\tilde{\nabla}^2 (\alpha \psi) - (\alpha \psi) \left[ \frac{1}{8} \tilde{R} + \frac{5}{12} \psi^4 K^2 + \frac{7}{8} \psi^{-8} A_{ij} A^{ij} \right] &= \psi^5 \beta^i \tilde{\nabla}_i K \\
\partial_t K &= 0
\end{align*}
\]

\[
\begin{align*}
\mathbf{s}^k \tilde{\nabla}_k \ln \psi|_S &= -\frac{1}{4} (\tilde{h}^{ij} \tilde{\nabla}_i \tilde{s}_j - \psi^2 J)|_S \\
\beta^i|_S &= \begin{cases} 
\alpha \psi^{-2} \mathbf{s}^i|_S & \text{corotation} \\
\alpha \psi^{-2} \mathbf{s}^i|_S + \Omega_0 \mathbf{x}^i|_S & \text{irrotation}
\end{cases} \\
\alpha|_S &= \text{unspecified by QE}
\end{align*}
\]

The only remaining freedom in the system is the choice of the lapse boundary condition, the initial spatial and temporal gauge, and the initial dynamical ("wave") content found in \( \alpha|_S, \tilde{\gamma}_{ij} \) and \( K \).
Corotating; Maximal Slice; Comparison; $E_b/\mu$ vs $J/\mu m$
Irrotational; Maximal Slice; Comparison; $E_b/\mu$ vs $J/\mu m$
Are We Finding Quasicircular orbits?

• The Komar mass criteria is used to choose the quasiequilibrium model which implies quasicircular orbits.

• Can we find an independent way of verifying this?
  – Comparison with post-Newtonian:
    1. Compare plots as we have done already.
  – An effective potential approach[6, 8].
Measuring the Spin of a Black Hole

- Spin is only rigorously defined at spatial/null infinity.

\[
S = -\frac{1}{8\pi} \oint_{BH} K_{ij} \xi^i s^j \sqrt{h} d^2 x
\]

\[
= -\frac{1}{8\pi} \oint_{BH} \tilde{A}_{ij} \xi^i \tilde{s}^j \sqrt{\tilde{h}} d^2 x
\]

\[\xi^i \tilde{s}_i = 0\]

\[\xi^i = \begin{cases} 
\xi^i_{CK} : & \text{Killing vector of } \tilde{h}_{ij} \Rightarrow \text{conformal Killing vector of } h_{ij} \\
\xi^i_{KV} : & \text{Killing vector of } h_{ij} \text{ (Approximate)}
\end{cases}\]
Corotation: Effective Potential; $E_b/\mu$ vs $l/m$
Corotation: Flat & True KV Spin; $S/M_{irr}^2$ vs $m\Omega_0$

Graph showing $S/M^2$ versus $m\Omega_0$ with different lines representing different scenarios.
Corotation: Flat & True KV Spin Error; \( \frac{(S - S_{Kerr})}{S_{Kerr}} \) vs \( m\Omega_0 \)
Irrotation: Effective Potential; $E_b/\mu$ vs $\ell/m$
Irrotation: Flat & True KV Spin; $\frac{S}{M_{i_{rr}}^2}$ vs $m\Omega_0$
Irrotation: Flat & True KV Spin Error; \( S/S_{Kerr} \) vs \( m\Omega_0 \)
True Irrotation

Clearly the 0\textsuperscript{th}-order notion of defining “irrotation” is not adequate

\[ \beta^i |_S = \alpha \psi^{-2} \tilde{s}^i |_S + \Omega_0 \xi^i |_S. \]

Instead, choose

\[ \beta^i |_S = \alpha \psi^{-2} \tilde{s}^i |_S + \Omega_{BH} \xi^i |_S \]

and choose \( \Omega_{BH} \) so that the quasilocal spin vanishes.
True Irrotation: Effective Potential; $E_b/\mu$ vs $l/m$
True Irrotation: Flat & True KV Spin; $S/M^2_{irr}$ vs $m\Omega_0$
True Irrotation: Flat & True KV Spin Error; $S/S_{Kerr}$ vs $m\Omega_0$
True Irrotation; Maximal Slice; Comparison; $E_b/\mu$ vs $J/\mu m$
Maximal Slice; Comparison of ISCO; \( \frac{E_b}{M_{irr}} \) vs \( \Omega M_{irr} \)
Maximal Slice; Comparison of ISCO; $\frac{E_b}{M_{irr}}$ vs $J/M_{irr}^2$
Maximal Slice; Comparison of ISCO; $J/M_{irr}^2$ vs $\Omega M_{irr}$
Understanding the Higher Order Effects

- **Irrotation**
  \( \Omega_{BH} \) is the amount by which we must “counter-spin” the hole to achieve no angular momentum: \( \Omega_{BH} \leq \Omega_0 \)

- **Corotation**
  We find that \( \frac{S}{M^2} \bigg|_{QL} \leq \frac{S}{M^2} \bigg|_{Kerr(\Omega_0)} \). In this context, if we let \( \Omega_{BH} \) represent the angular velocity of a Kerr BH with spin \( S \bigg|_{QL} \): \( \Omega_{BH} \leq \Omega_0 \)

\[
\frac{\Omega_{BH}}{\Omega_0} = \frac{1}{m\Omega_0} \frac{\left. \frac{S}{M^2} \right|_{QL}}{\sqrt{4 + \left( \frac{S}{M^2} \bigg|_{QL} \right)^2}}
\]
Tidal Field Rotation Rate

From the local inertial frame of one black hole, the tidal field of the second black hole is rotating with an angular velocity \( \omega \):

\[
\omega = \Omega_0 \left[ 1 - \eta \left( \frac{m}{b} \right) + O \left( \frac{m}{b} \right)^{3/2} \right]
\]

To 1PN order

\[
\frac{m}{b} = (m\Omega_0)^{2/3} \left[ 1 + (1 - \frac{1}{3} \eta) (m\Omega_0)^{2/3} + O (m\Omega_0)^{4/3} \right]
\]

\[
\frac{\Omega_{\text{BH}}}{\Omega_0} = \frac{\omega}{\Omega_0} = 1 - \eta (m\Omega_0)^{2/3} - \eta \left( 1 - \frac{1}{3} \eta \right) (m\Omega_0)^{4/3} + \ldots
\]
Ratio of Spin and Orbital Angular Velocities; $\Omega_{BH}/\Omega_0$ vs $m\Omega_0$
Spin Error Using 1PN Kerr Spin; \( \frac{(S - S_{1PN+})}{S_{1PN+}} \) vs \( m\Omega_0 \)
References


