

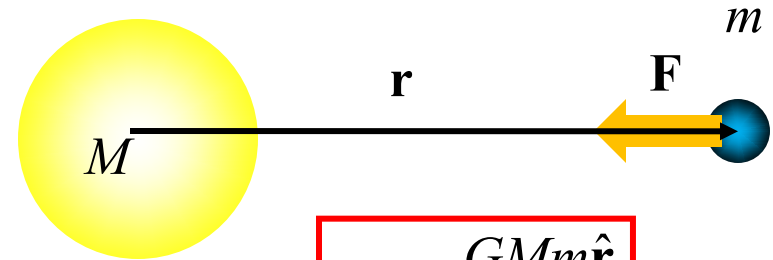
# Gravity and Orbits

## Gauss's Law for Gravity

### Potential Energy from Gravity

#### The gravitational force between two objects:

- The “ $\hat{r}$ ” is a unit vector pointing directly away from the source of gravity



$$\mathbf{F} = -\frac{GMm\hat{\mathbf{r}}}{r^2}$$

#### Gravitational Potential Energy

- The potential energy is the (negative of the) integral of the force

$$E_p = -\int F dr = \int \frac{GMm dr}{r^2}$$

$$E_p = -\frac{GMm}{r}$$

$$\mathbf{F} = -\frac{GMm(\mathbf{r} - \mathbf{r}_M)}{|\mathbf{r} - \mathbf{r}_M|^3}$$

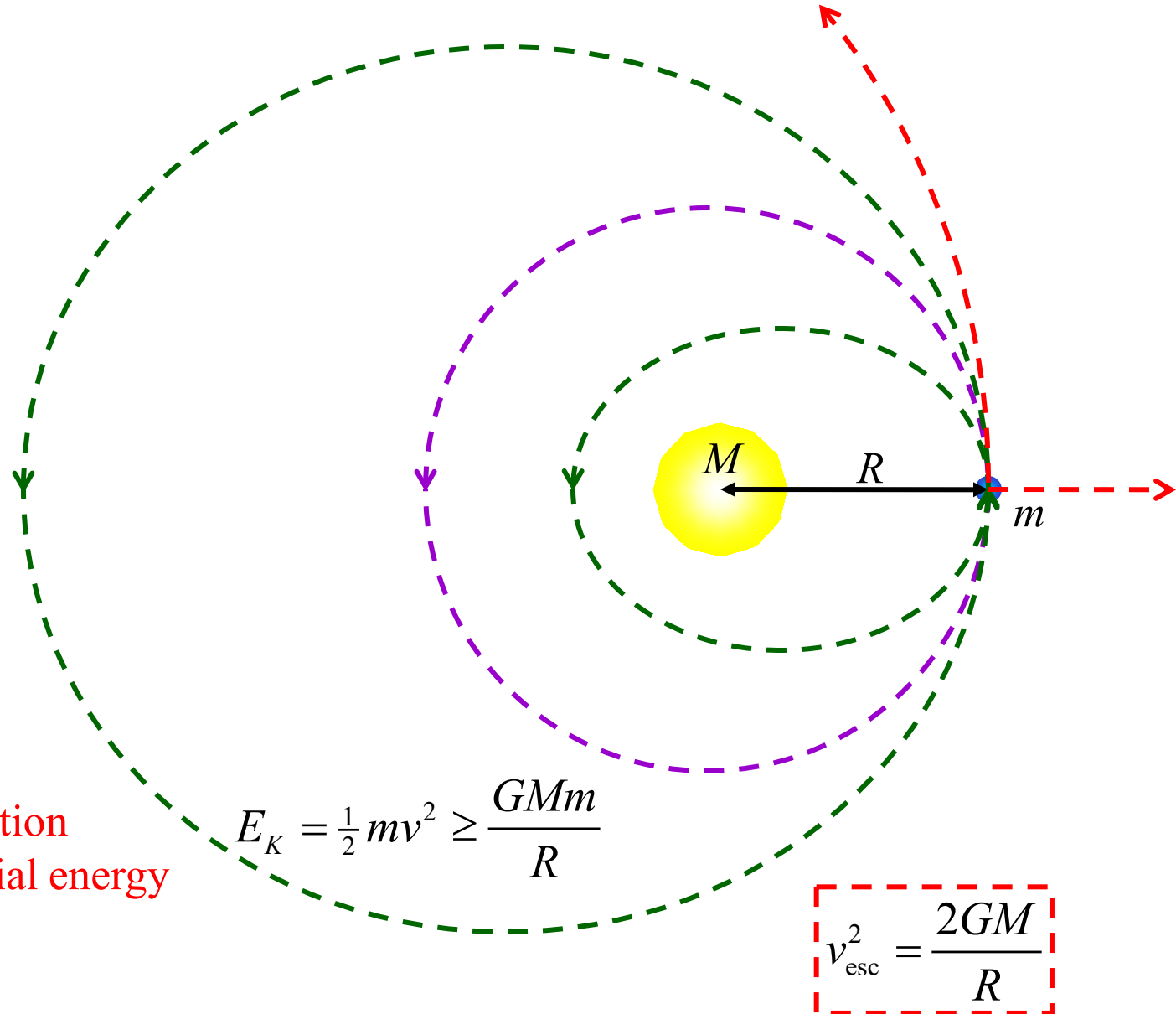
# Simple Orbits

## Circular orbits:

- If the velocity is exactly right, you get a circular orbit
- Gravitational force must match centripetal force

$$F = \frac{GMm}{R^2} = \frac{mv^2}{R}$$

$$v^2 = \frac{GM}{R}$$



## Other orbits:

- If the velocity is smaller, or a bit bigger, you get an elliptical orbit
- If the velocity is a lot greater, the object leaves
  - Depends only on speed, not direction
- Kinetic energy must overcome potential energy
- Minimum speed is *escape velocity*

# Gravitational Field

- In PHY 114, you learned a lot about electric forces and fields
- We introduced the *Electric Field* as the force per unit charge

$$\mathbf{F} = \frac{k_e Qq \hat{\mathbf{r}}}{r^2} \quad \mathbf{E} = \mathbf{F}/q \quad \mathbf{E}(\mathbf{r}) = \frac{k_e Q \hat{\mathbf{r}}}{r^2}$$

- Compare this with gravity:
- By analogy, introduce the *gravitational field*

$$\mathbf{F} = -\frac{GMm\hat{\mathbf{r}}}{r^2}$$

$$\mathbf{g} = \mathbf{F}/m$$

$$\mathbf{g}(\mathbf{r}) = -\frac{GM\hat{\mathbf{r}}}{r^2} = -\frac{GM\mathbf{r}}{|\mathbf{r}|^3}$$

$$\mathbf{F} = \mathbf{g}m = m\mathbf{a}$$

$$\mathbf{a} = \mathbf{g}$$

- If there are many sources of gravity, their effects must be added up

$$\mathbf{g}(\mathbf{r}) = -\sum_i \frac{Gm_i (\mathbf{r} - \mathbf{r}_i)}{|\mathbf{r} - \mathbf{r}_i|^3}$$

# Gauss's Law for Gravity

- In 114, you learned the total electric flux out of a region was related to the total charge in that region:

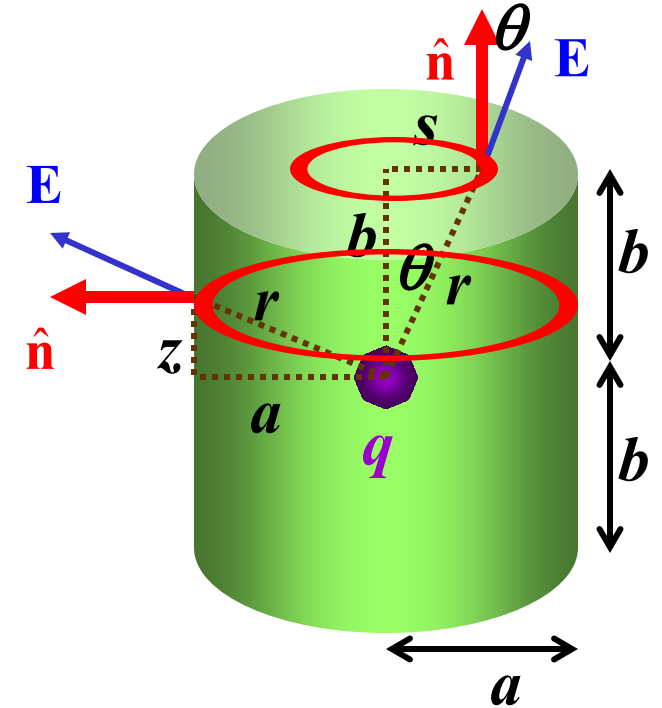
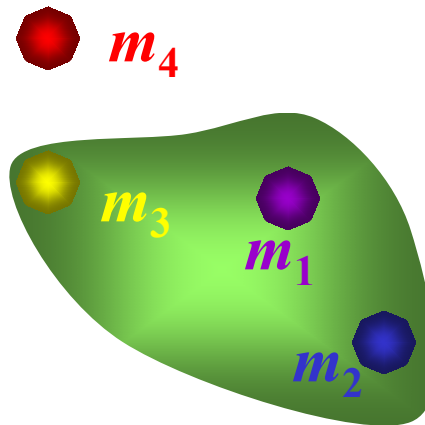
$$\Phi_E = \int \mathbf{E} \cdot \hat{\mathbf{n}} dA \qquad \int \mathbf{E} \cdot \hat{\mathbf{n}} dA = 4\pi k_e q$$

- There is an exactly analogous formula for the gravitational field:

$$\Phi_g = \int \mathbf{g} \cdot \hat{\mathbf{n}} dA = -4\pi GM$$

What's the gravitational flux from the region in this case?

$$\Phi_g = -4\pi G(m_1 + m_2 + m_3)$$



# Gauss's Law and Spherical Symmetry

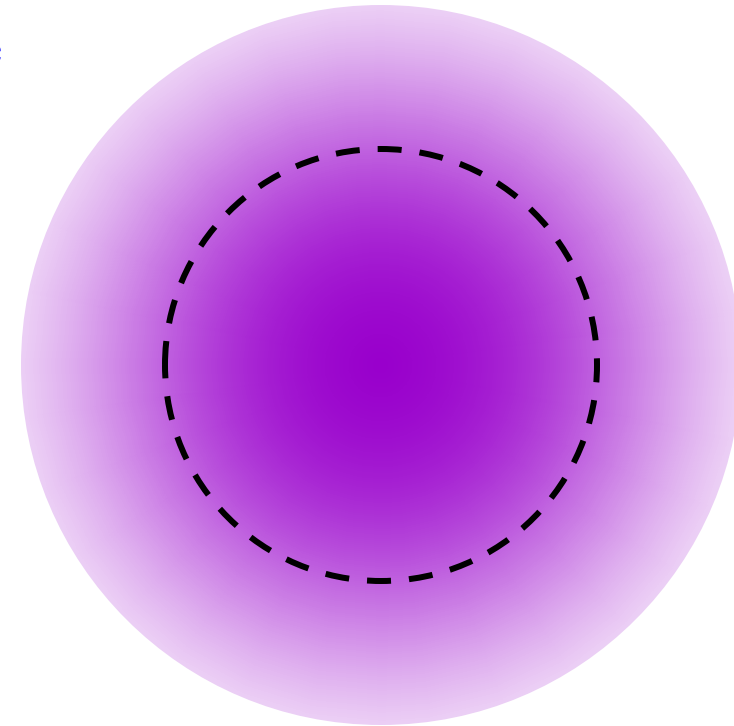
- Gauss's Law can be used to find the gravitational field when there is a lot of symmetry
- Example: Spherical symmetry
  - Mass density depends only on distance from center

$$\rho(\mathbf{r}) = \rho(r)$$

- Draw a spherical Gaussian surface
- Logically, gravitational field is radial everywhere
- Gauss's Law tells you flux is proportional to contained mass

$$\Phi_g(R) = -4\pi GM(R) \qquad \Phi_g(R) = g(R)4\pi R^2$$

- The mass contained inside the sphere is just the sum of the masses on each spherical shell inside it
- The volume of a thin spherical shell is the area of a sphere of radius  $r$  times the thickness  $dr$ .



$$\mathbf{g}(R) = -\frac{GM(R)\hat{\mathbf{r}}}{R^2}$$

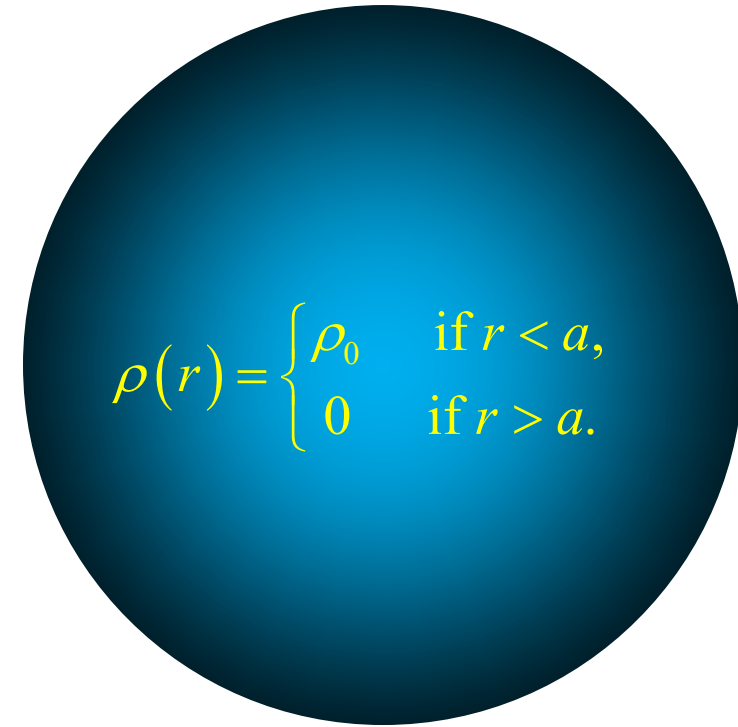
$$M(R) = \int_0^R dM = \int_0^R \rho(r) dV = \int_0^R 4\pi r^2 \rho(r) dr$$

$$\mathbf{g}(R) = -\frac{G\hat{\mathbf{r}}}{R^2} \int_0^R 4\pi r^2 \rho(r) dr$$

# Sample Problem

A gravitational source takes the form of a uniform sphere of density  $\rho_0$  and radius  $a$

- (a) What is the gravitational field everywhere?
- (b) What is the corresponding orbital velocity for circular orbits?

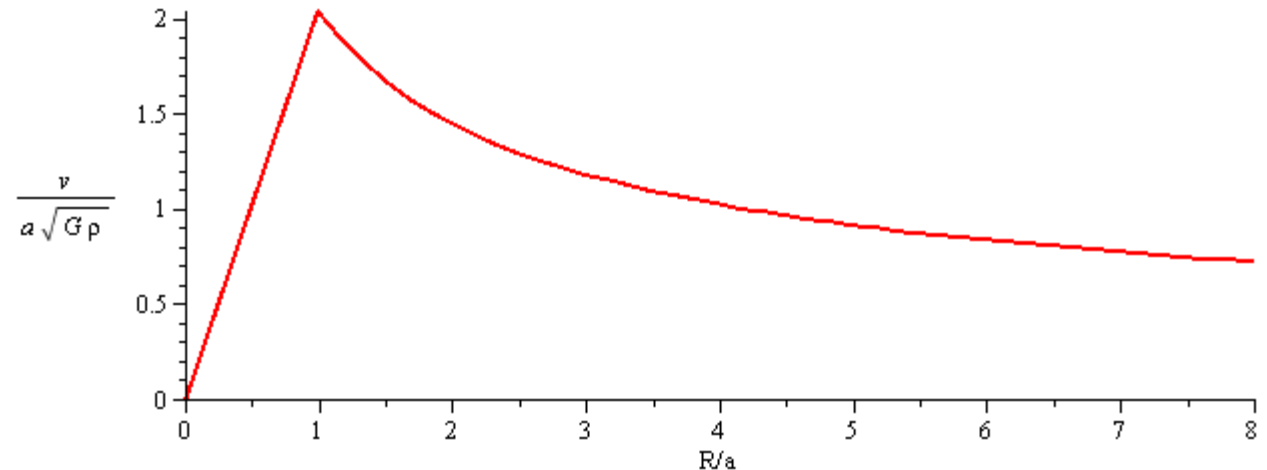


$$\begin{aligned} \mathbf{g}(R) &= -\frac{G\hat{\mathbf{r}}}{R^2} \int_0^R 4\pi r^2 \rho(r) dr = -\frac{G\hat{\mathbf{r}}}{R^2} \int_0^{\min(a,R)} 4\pi r^2 \rho_0 dr \\ &= -\frac{G\hat{\mathbf{r}}\rho_0}{R^2} \frac{4\pi}{3} r^3 \Big|_0^{\min(a,R)} \end{aligned}$$

$$\mathbf{g} = \begin{cases} -\frac{4}{3}\pi G\rho_0\hat{\mathbf{r}}R & \text{if } R < a, \\ -\frac{4}{3}\pi G\rho_0\hat{\mathbf{r}}a^3R^{-2} & \text{if } R > a. \end{cases}$$

$$\mathbf{a} = \mathbf{g} = -\frac{\hat{\mathbf{r}}v^2}{R} \quad v^2 = Rg$$

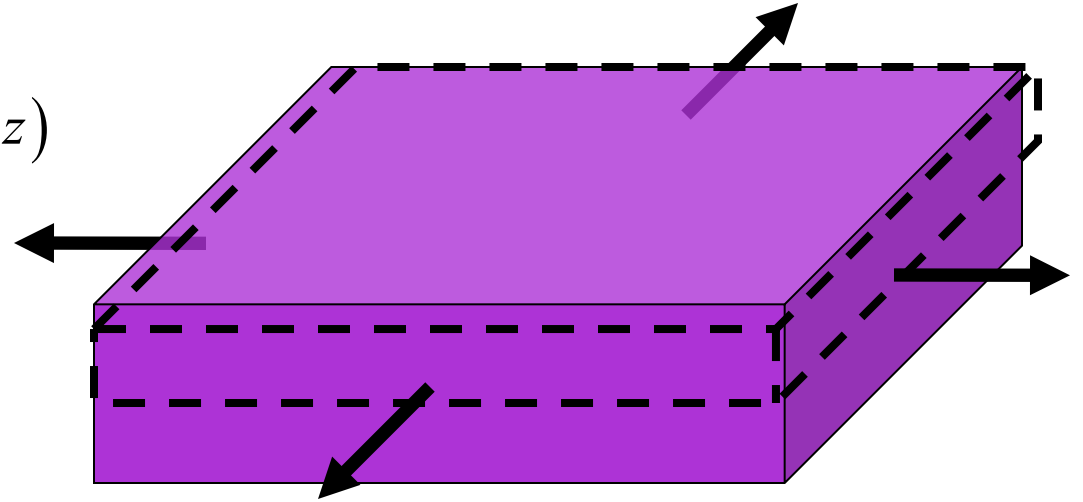
$$v = \begin{cases} \sqrt{\frac{4}{3}\pi G\rho_0}R & \text{if } R < a, \\ \sqrt{\frac{4}{3}\pi G\rho_0 a^3}R^{-1/2} & \text{if } R > a. \end{cases}$$



# Gauss's Law For a Slab

- Consider a slab source, spread out uniformly in two dimensions
  - Density depends only on  $z$ .
- Assume the top half has same mass distribution as the bottom half
  - No gravity at  $z = 0$ .
- Draw Gaussian surface
  - Box from  $z = 0$  to  $z = Z$ , of area  $A$ .
- Use Gauss's Law:

$$\rho(\mathbf{r}) = \rho(z)$$



$$\Phi_g(Z) = -4\pi GM(Z) \quad \Phi_g(Z) = g(Z)A$$

$$\mathbf{g}(Z) = -\frac{4\pi GM(Z)\hat{\mathbf{z}}}{A}$$

$$M(Z) = \int_0^Z dM = \int_0^Z \rho(z) dV = \int_0^Z A\rho(z) dz$$

$$\mathbf{g}(Z) = -4\pi G\hat{\mathbf{z}} \int_0^Z \rho(z) dz$$

- For uniform density, we find:

$$\mathbf{g}(Z) = -4\pi G\rho_0 Z\hat{\mathbf{z}}$$

# Gravitational Potential

- For electric fields, it is often more useful to work with the electrostatic potential

$$V_E(\mathbf{r}) = -\int \mathbf{E} \cdot d\mathbf{s} \quad \mathbf{E} = -\nabla V_E(\mathbf{r}) = -\frac{\partial V_E}{\partial x} \hat{\mathbf{x}} - \frac{\partial V_E}{\partial y} \hat{\mathbf{y}} - \frac{\partial V_E}{\partial z} \hat{\mathbf{z}} \quad V_E(\mathbf{r}) = \sum_i \frac{k_e q_i}{|\mathbf{r} - \mathbf{r}_i|}$$

- Exactly the same thing can be done for the gravitational field

$$\Phi(\mathbf{r}) = -\int \mathbf{g} \cdot d\mathbf{s}$$

$$\mathbf{g} = -\nabla \Phi(\mathbf{r}) = -\frac{\partial \Phi}{\partial x} \hat{\mathbf{x}} - \frac{\partial \Phi}{\partial y} \hat{\mathbf{y}} - \frac{\partial \Phi}{\partial z} \hat{\mathbf{z}}$$

$$\Phi(\mathbf{r}) = -\sum_i \frac{Gm_i}{|\mathbf{r} - \mathbf{r}_i|}$$

- The potential energy for one particle, then, is

$$E_{Pi} = m_i \Phi(\mathbf{r}_i)$$



# Sample Problem (1)

What is the gravitational potential everywhere for a uniform sphere of density  $\rho_0$  and radius  $a$ ? What is escape velocity from the center of the sphere?

- Because the gravitational field is only in the  $r$ - direction, the potential should depend only on  $r$ .
- Therefore, the relationship between  $\Phi$  and  $\mathbf{g}$  is:

$$\mathbf{g}(r) = -\frac{d\Phi}{dr}\hat{\mathbf{r}} \quad \Phi(r) = -\int g(r)dr$$

$$\mathbf{g}(r) = \begin{cases} -\frac{4}{3}\pi G\rho_0\hat{\mathbf{r}}r & \text{if } r < a, \\ -\frac{4}{3}\pi G\rho_0\hat{\mathbf{r}}a^3r^{-2} & \text{if } r > a. \end{cases}$$

Must do inside and outside separately!

- Don't forget the constant of integration!

$$\Phi_{\text{in}}(\mathbf{r}) = \int \frac{4}{3}\pi G\rho_0 r dr$$

$$\Phi_{\text{in}} = \frac{2}{3}\pi G\rho_0 r^2 + C_{\text{in}}$$

$$\Phi_{\text{out}}(\mathbf{r}) = \int \frac{4}{3}\pi G\rho_0 a^3 r^{-2} dr$$

$$\Phi_{\text{out}} = -\frac{4}{3}\pi G\rho_0 a^3 r^{-1} + C_{\text{out}}$$

How can we find the constants of integration?

$$0 = \Phi_{\text{out}}(\infty) = 0 + C_{\text{out}}$$

$$C_{\text{out}} = 0$$

- Potential at infinity is zero
- Potential at the boundary must be continuous

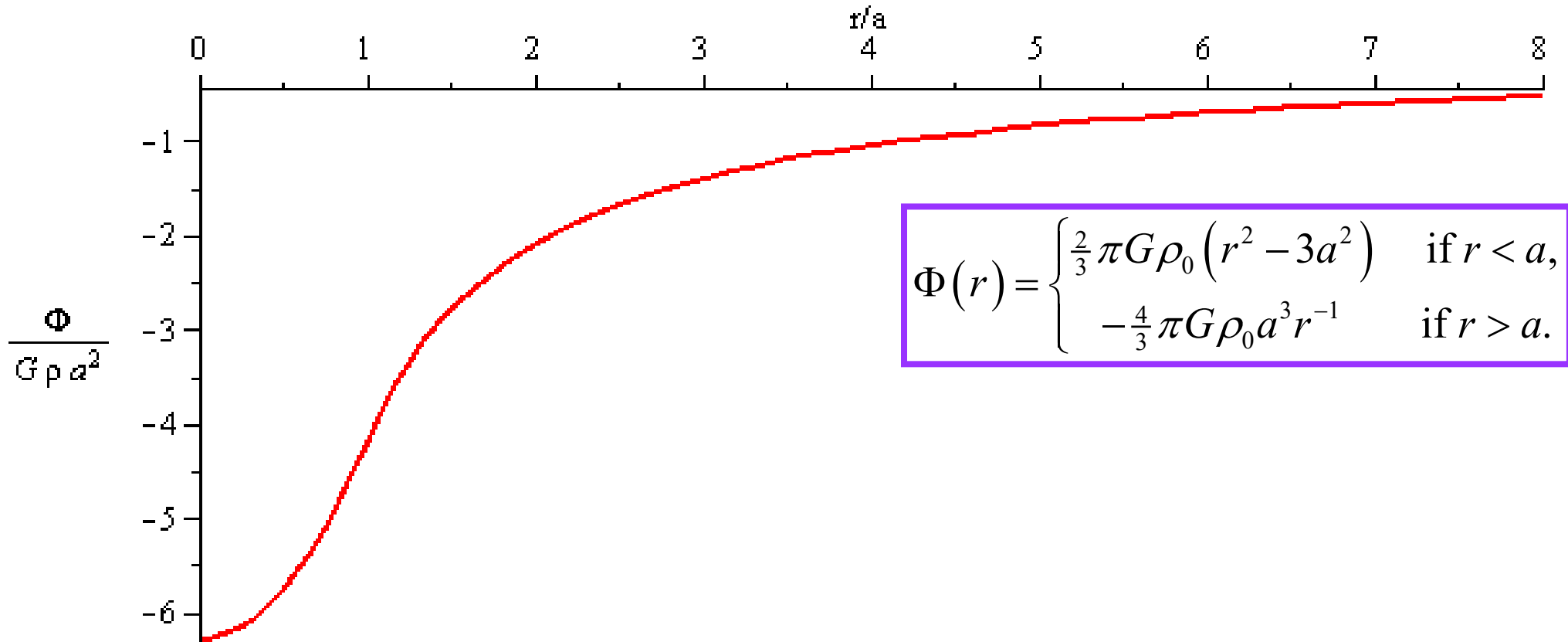
$$\Phi_{\text{in}}(a) = \Phi_{\text{out}}(a)$$

$$\frac{2}{3}\pi G\rho_0 a^2 + C_{\text{in}} = -\frac{4}{3}\pi G\rho_0 a^3 a^{-1}$$

$$C_{\text{in}} = -2\pi G\rho_0 a^2$$

# Sample Problem (2)

What is the gravitational potential everywhere for a uniform sphere of density  $\rho_0$  and radius  $a$ ? What is escape velocity from the center of the sphere?



- To find escape velocity, match potential and kinetic energy at the origin

$$|E_P| = m|\Phi(0)| = 2\pi G\rho_0 a^2 m = E_K = \frac{1}{2}mv^2$$

$$v_{\text{esc}}^2 = 4\pi G\rho_0 a^2 = 3GM/a$$

# Global Conservation Laws

- Consider a galaxy/structure which is not interacting with other galaxies/structures:
  - Often a good approximation
- The following must be conserved:
  - Total energy, Total momentum, Total angular momentum

Total momentum describes overall motion

- We can eliminate it by working in the “center of mass frame”

$$\mathbf{P} = \sum_i m_i \mathbf{v}_i$$

Energy is more complicated

- Potential and kinetic
- But there can be other contributions
- If gravity is the only force involved, then global  $E_p + E_K$  energy is conserved
  - Stars, for example, rarely collide
- If there are other effects, like collisions, energy can be transferred and lost
  - Gas clouds, in contrast, commonly collide

$$E = E_P + E_K + E_{\text{other}}$$

$$E_P = \sum_{i < j} \frac{G m_i m_j}{|\mathbf{r}_i - \mathbf{r}_j|} \quad E_K = \sum_i \frac{1}{2} m_i \mathbf{v}_i^2$$

- Finally, the *total* angular momentum of the galaxy is conserved

$$\mathbf{L} = \sum_i m_i \mathbf{r}_i \times \mathbf{v}_i$$

# Gravitational Potential from a Distribution

- Suppose mass is distributed in a continuous manner
  - How do we calculate potential and potential energy?

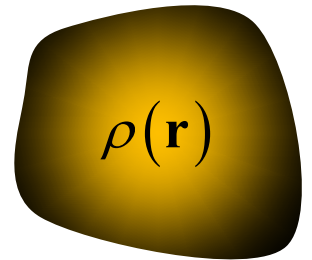
$$\Phi(\mathbf{r}) = -\sum_i \frac{Gm_i}{|\mathbf{r} - \mathbf{r}_i|}$$

- Divide into many small regions of size  $dV$ 
  - These will each have mass  $\rho dV$

$$\Phi(\mathbf{r}) = -\sum_i \frac{G\rho_i(\mathbf{r}_i)dV_i}{|\mathbf{r} - \mathbf{r}_i|}$$

- Convert to an integral:

$$\Phi(\mathbf{r}) = -\int \frac{G\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d^3\mathbf{r}'$$



- Potential energy for the whole system is:

$$E_P = -\sum_{i < j} \frac{Gm_i m_j}{|\mathbf{r}_i - \mathbf{r}_j|} = -\frac{1}{2} \sum_{i \neq j} \frac{Gm_i m_j}{|\mathbf{r}_i - \mathbf{r}_j|} = -\frac{1}{2} \sum_{i \neq j} \frac{G\rho(\mathbf{r}_i)dV_i \rho(\mathbf{r}_j)dV_j}{|\mathbf{r}_i - \mathbf{r}_j|}$$

- Can be rewritten in terms of potential:

$$E_P = \frac{1}{2} \int d^3\mathbf{r} \rho(\mathbf{r}) \Phi(\mathbf{r})$$

$$E_P = -\frac{1}{2} \int d^3\mathbf{r} \int d^3\mathbf{r}' \frac{G\rho(\mathbf{r})\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

# Sample Problem

What is the total gravitational binding energy for a uniform sphere of mass  $M$  and radius  $a$ ?

$$E_P = \frac{1}{2} \int d^3\mathbf{r} \rho(\mathbf{r}) \Phi(\mathbf{r})$$

$$\Phi(r) = \begin{cases} \frac{2}{3} \pi G \rho_0 (r^2 - 3a^2) & \text{if } r < a, \\ -\frac{4}{3} \pi G \rho_0 a^3 r^{-1} & \text{if } r > a. \end{cases}$$

$$\begin{aligned} E_P &= \frac{1}{2} \cdot \frac{2}{3} \pi G \int d^3\mathbf{r} \rho_0 \rho_0 (r^2 - 3a^2) = \frac{1}{3} \pi G 4\pi \rho_0^2 \int_0^a r^2 dr (r^2 - 3a^2) = \frac{4}{3} \pi^2 G \rho_0^2 \left[ \frac{1}{5} r^5 - a^2 r^3 \right]_0^a \\ &= -\frac{16}{15} \pi^2 G \rho_0^2 a^5 \end{aligned}$$

$$M = \frac{4}{3} \pi a^3 \rho_0 \quad \rho_0 = \frac{3M}{4\pi a^3}$$

$$E_P = -\frac{16\pi^2 G a^5}{15} \left( \frac{3M}{4\pi a^3} \right)^2$$

$$E_P = -\frac{3GM^2}{5a}$$

# Virial Theorem (1)

- For circular orbits, there is a simple relationship between the potential energy and the kinetic energy:
- For non-circular orbits, this is not true, because energy keeps changing between the two components.
- However, if you average over time, this will still be true

$$E_P = -\frac{GMm}{r}$$

$$E_K = \frac{1}{2} m \mathbf{v}^2 = \frac{1}{2} m \frac{GM}{r}$$

$$2E_K + E_P = 0$$

$$2\langle E_K \rangle + \langle E_P \rangle = 0$$

- If you have *many* objects, some of them will be at their maximum, and others at their minimum
  - Could this expression be true if you add everything up?
- Consider a complicated combination of *many* masses acting gravitationally
  - Galaxy or Globular cluster, for example, consists of  $10^4$  to  $10^{14}$  stars
- First, find the total kinetic and potential energy
- And the force on any one object

$$E_K = \sum_i \frac{1}{2} m \mathbf{v}_i^2$$

$$E_P = -\sum_{i < j} \frac{Gm_i m_j}{|\mathbf{r}_i - \mathbf{r}_j|}$$

$$\mathbf{F}_i = -\sum_{j \neq i} \frac{Gm_i m_j (\mathbf{r}_i - \mathbf{r}_j)}{|\mathbf{r}_i - \mathbf{r}_j|^3}$$

# Virial Theorem (2)

- We will assume that the system isn't changing much; *i.e.*, though the individual stars are moving, there will be as many moving one way as another

- Galaxy has no net motion
- Any quantity that “adds up” effects of all components will be constant

$$E_K = \sum_i \frac{1}{2} m \mathbf{v}_i^2$$

$$E_P = - \sum_{i < j} \frac{G m_i m_j}{|\mathbf{r}_i - \mathbf{r}_j|}$$

$$\mathbf{F}_i = - \sum_{j \neq i} \frac{G m_i m_j (\mathbf{r}_i - \mathbf{r}_j)}{|\mathbf{r}_i - \mathbf{r}_j|^3}$$

- Consider the following quantity:  $\sum_i m_i \mathbf{r}_i \cdot \mathbf{v}_i = \text{constant}$
- Time derivative should vanish:

$$\begin{aligned} 0 &= \sum_i \frac{d}{dt} (m_i \mathbf{r}_i \cdot \mathbf{v}_i) = \sum_i \left( m_i \frac{d\mathbf{r}_i}{dt} \cdot \mathbf{v}_i + m_i \mathbf{r}_i \cdot \frac{d\mathbf{v}_i}{dt} \right) = \sum_i (m_i \mathbf{v}_i^2 + \mathbf{r}_i \cdot \mathbf{F}_i) \\ &= 2E_K - \sum_i \sum_{j \neq i} G m_i m_j \frac{\mathbf{r}_i \cdot (\mathbf{r}_i - \mathbf{r}_j)}{|\mathbf{r}_i - \mathbf{r}_j|^3} = 2E_K - \sum_{i < j} G m_i m_j \left[ \frac{\mathbf{r}_i \cdot (\mathbf{r}_i - \mathbf{r}_j)}{|\mathbf{r}_i - \mathbf{r}_j|^3} + \frac{\mathbf{r}_j \cdot (\mathbf{r}_j - \mathbf{r}_i)}{|\mathbf{r}_j - \mathbf{r}_i|^3} \right] \\ &= 2E_K - \sum_{i < j} G m_i m_j \frac{(\mathbf{r}_i - \mathbf{r}_j)^2}{|\mathbf{r}_i - \mathbf{r}_j|^3} = 2E_K + E_P \end{aligned}$$

$$2E_K + E_P = 0$$

# Measuring Rotation

## The Sun's Revolution

Measuring rotation in our galaxy is *hard* because we are inside it.

One method for measuring circular rate of rotation at our radius:

- Study proper motion of Sagittarius A\* over period of years
  - Possible using radio telescopes and interferometry
- Multiply by distance,  $8.23 \pm 0.12$  kpc
  - Result is about  $230 \pm 10$  km/s
- Subtract the Sun's motion compared to nearby objects (local standard of rest):
  - Sun moves forward at  $12 \pm 2$  km/s, upwards at  $7 \pm 1$  km/s, inwards at  $11 \pm 1$  km/s

$$V_0 = 220 \pm 20 \text{ km/s}$$



# Measuring Other Object's Revolutions (1)

For other objects, it is *hard* to measure their rotation rates

- Assume they are going in circular orbits at speed  $V$
- Let  $l$  (galactic longitude) be angle as viewed by us
- Let  $\alpha$  be angle of star viewed from center
- Law of cosines:
- Take time derivative:  $D^2 = R^2 + R_0^2 - 2RR_0 \cos \alpha$

$$v_r = \frac{RR_0}{D} \sin \alpha \frac{d\alpha}{dt} \qquad 2D \frac{dD}{dt} = 2RR_0 \sin \alpha \frac{d\alpha}{dt}$$

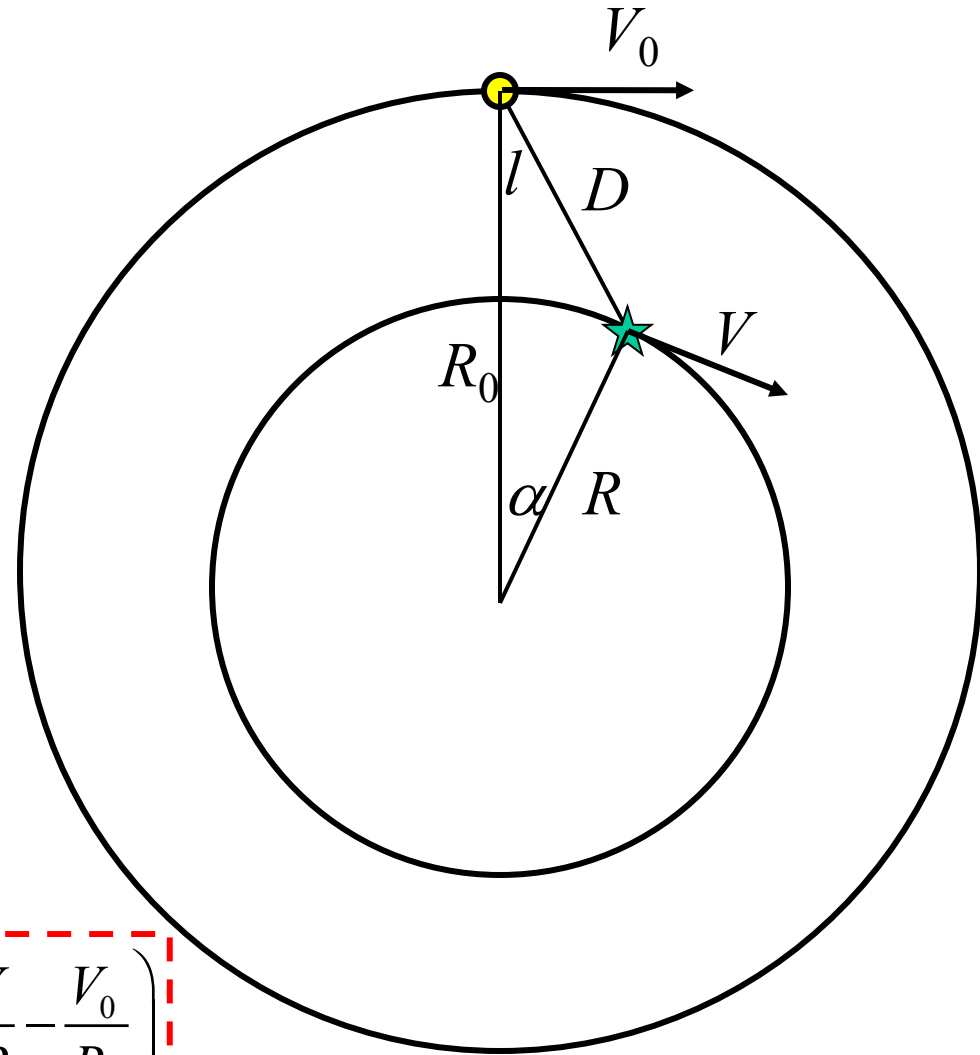
- The angular velocity of us and the other star is:

$$\frac{d\theta_0}{dt} = \frac{V_0}{R_0} \qquad \frac{d\theta}{dt} = \frac{V}{R} \qquad \frac{d\alpha}{dt} = \frac{V}{R} - \frac{V_0}{R_0}$$

$$v_r = \frac{RR_0}{D} \left( \frac{V}{R} - \frac{V_0}{R_0} \right) \sin \alpha$$

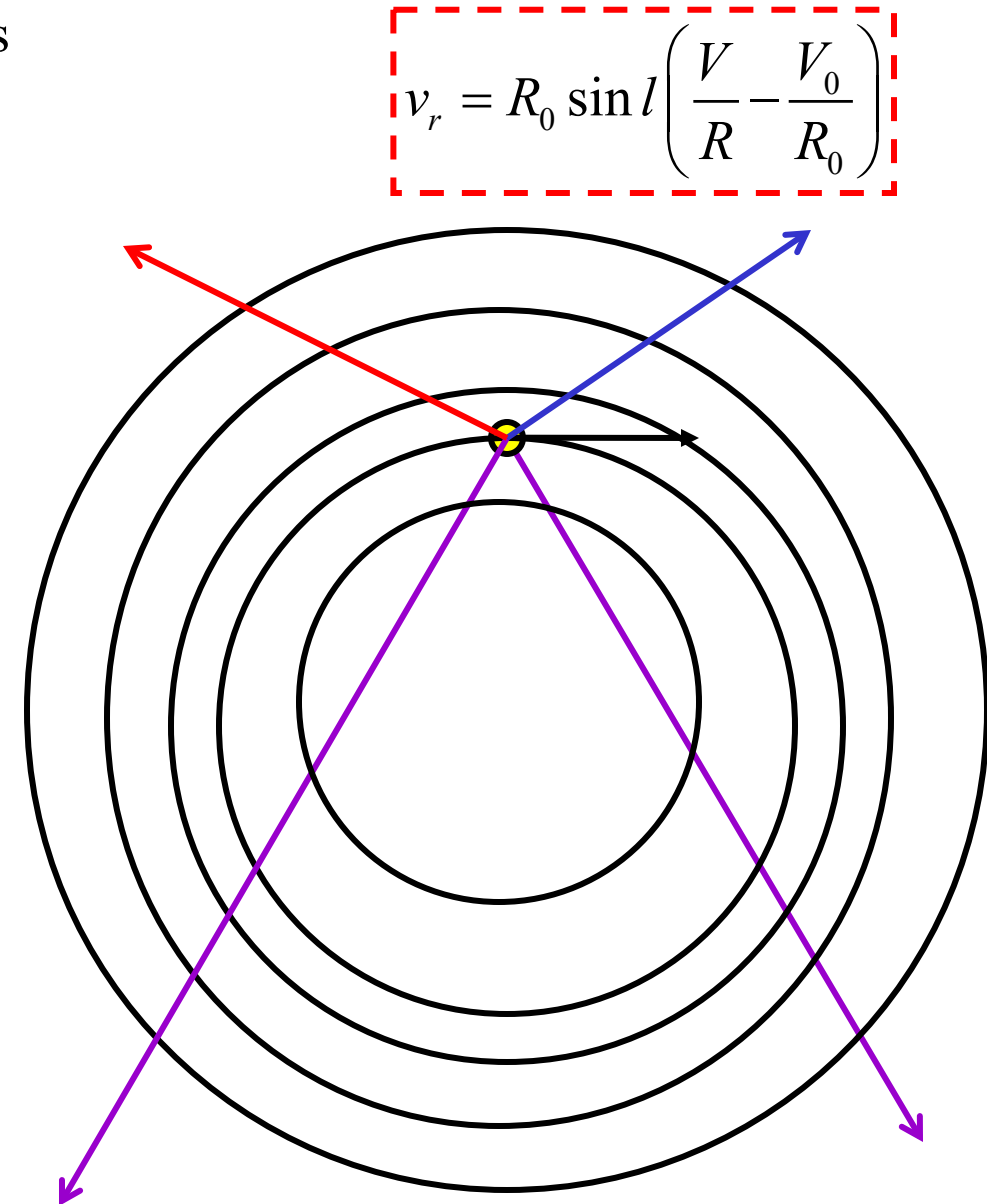
- Law of sines:  $\frac{\sin \alpha}{D} = \frac{\sin l}{R}$

$$v_r = R_0 \sin l \left( \frac{V}{R} - \frac{V_0}{R_0} \right)$$



# Measuring Other Object's Revolutions (2)

- As we look inward, we see both closer and farther orbits
  - We see a mix of red and blue shifts
- As we look outward, we see only more distant orbits
  - We see only red or blue shifts, depending on  $l$
  - Blue shift forwards
  - Red shift backwards
- Conclusion: Gas clouds at larger radius have smaller angular velocity ( $V/R$  is smaller)
- $V/R$  decreases with radius



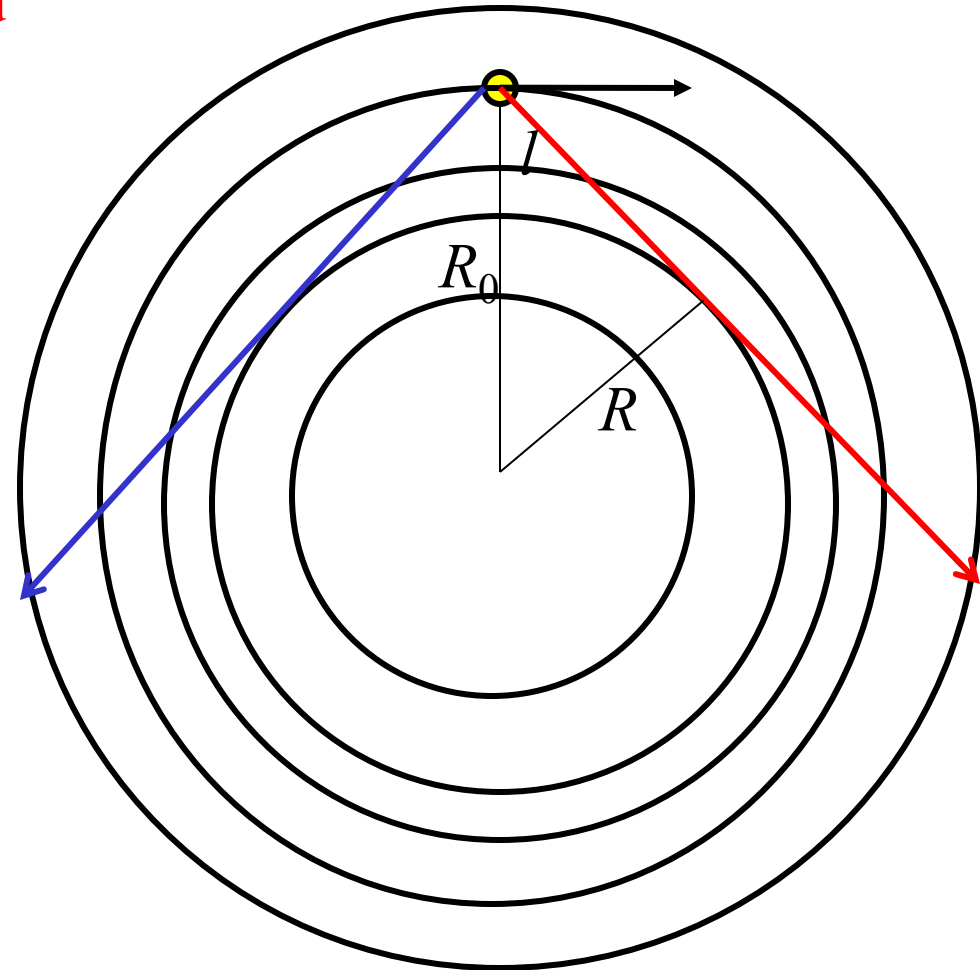
# The Tangent Method

- Look inwards/forward at an angle
  - Clouds closer to the center will be red-shifted
  - Because they are moving at higher angular velocity
- The one closest to the center will be the most red-shifted
- The biggest Doppler red shift lets you calculate  $V$

$$V = \frac{R}{R_0} \left( \frac{v_r}{\sin l} + V_0 \right) \quad R = R_0 \sin l$$

$$V|_{R_0 \sin l} = v_r + V_0 \sin l$$

$$v_r = R_0 \sin l \left( \frac{V}{R} - \frac{V_0}{R_0} \right)$$



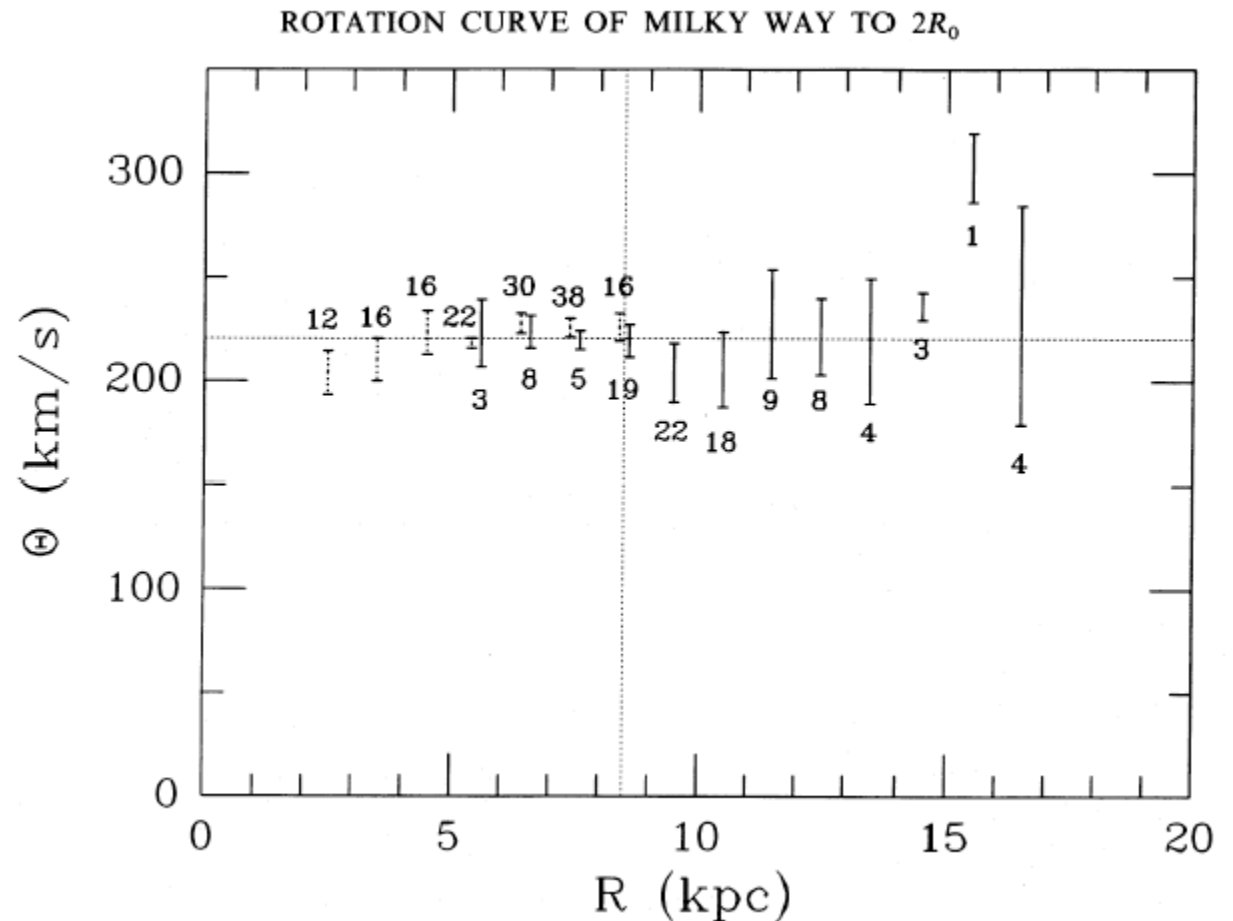
- In a similar manner, you can look backwards
  - Clouds closer to the center will be blue-shifted
  - Because they are moving at higher angular velocity
- The one closest to the center will be the most blue-shifted
- The biggest Doppler blue shift lets you calculate  $V$

$$V|_{-R_0 \sin l} = -v_r - V_0 \sin l$$

# Rotations for Other Radii

- At small radii, the gas cloud orbits are not very circular
  - Tangent method gives inaccurate results
- Tangent method only tells you results for inner orbits

- For more distant orbits:
- Measure the distance to a star or cluster of stars
- Measure radial velocity
- Deduce orbital velocity



# Rotation Curves and Mass Distribution

- Let's crudely assume the mass is distributed in a spherically symmetric manner
  - This is *not* true, but probably only introduces 10 – 20% error

$$V \approx 220 \text{ km/s}$$

- Then we can find the mass closer than the Sun using  $R_0 = 8.3 \text{ kpc}$

$$M(R_0) \approx 93 \times 10^9 M_\odot$$

- This is the same as the mass of *all* the stars and gas in the galaxy
  - Suggests some missing mass
- Stars can be seen out to at least twice this radius
  - Total mass is at least twice this
  - Mass is *not* concentrated near the center
- 21 cm line from atomic hydrogen out to at least 5 times this, maybe more
- We can also study orbits of globular clusters out past 50 kpc
- Finally, there are small galaxies orbiting ours out to 200 kpc or so
  - Again, speeds remain comparable

$$V^2 = \frac{GM(R)}{R}$$

- 90% of the mass of the galaxy is not in the disk (nor the bulge), but in the halo
- Approximately spherically symmetric
- Mass contained in radius  $R$  is roughly proportional to  $R$

# Dark Matter

- The Halo contains most of the mass of our galaxy
  - Probably around 90%
- This matter is dark – it contributes little or nothing to the luminosity
- We don't know how far it goes out
  - At least 100 kpc
  - Probably less than half the distance to the next large galaxy
    - Andromeda galaxy  $\frac{1}{2}(800 \text{ kpc}) = 400 \text{ kpc}$
  - Probably around 300 kpc
- Total galaxy mass is probably around  $10^{12} M_{\odot}$

$$V \approx 220 \text{ km/s}$$

$$V^2 = \frac{GM(R)}{R}$$

<u>Object</u>	<u>Mass (<math>M_{Sun}</math>)</u>
Disk Stars	$60 \times 10^9$
Disk Gas	$\sim 10 \times 10^9$
Bulge	$20 \times 10^9$
Halo Stars	$1 \times 10^9$
Nucleus	$0.01 \times 10^9$
Dark Matter	$> 500 \times 10^9$

# What is the Dark Matter? (1)

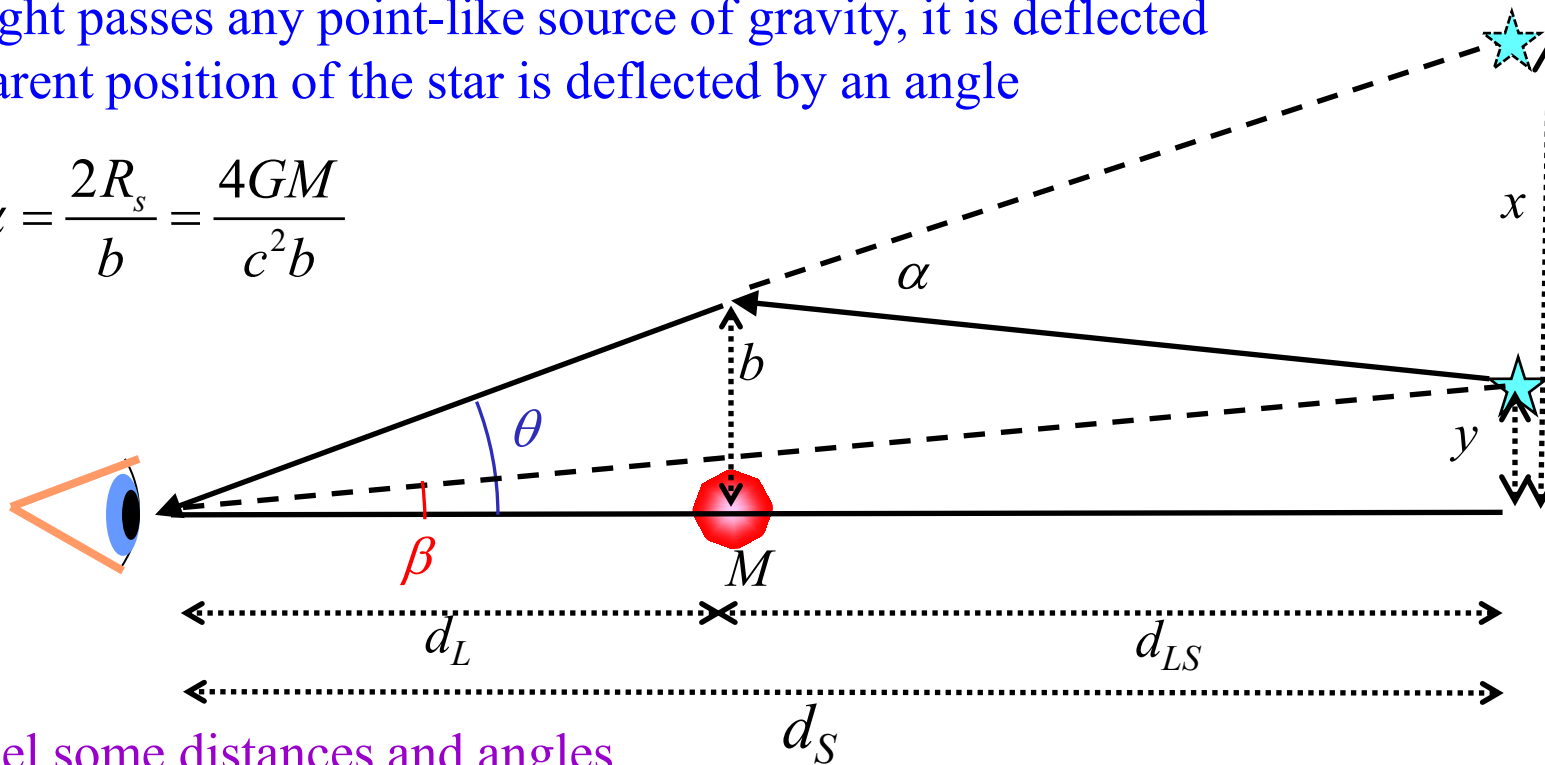
What could the dark matter be?

- Could it be gas?
  - HI regions – produce spectral lines or X-rays – **NO**
  - HII regions – produce the 21 cm line – **NO**
  - Molecular clouds
    - Contaminants like CO produce spectral lines – **NO**
    - But perhaps there are clouds that are pure hydrogen – **MAYBE**
- Arguments based on cosmology suggest we see most of the gas that is present – **PROBABLY NOT**
- Could it be massive objects like:
  - White dwarfs – difficult to see since they are dim
  - Neutron stars – even harder to see
  - Black holes – impossible to see
  - “Jupiters” or “brown dwarfs” –formed without stars
- These objects are collectively called Massive Compact Halo Objects (MACHOs)
- Invisible massive particles

# MACHOs and Bending of Light (1)

- All of these objects are dim and hard to see
- However, they all have a lot of gravity
- According to Einstein, gravity bends light
- As light passes any point-like source of gravity, it is deflected
- Apparent position of the star is deflected by an angle

$$\alpha = \frac{2R_s}{b} = \frac{4GM}{c^2 b}$$



## MACHOS

- White dwarfs
- Neutron stars
- Black Holes
- Brown dwarfs/“Jupiters”

$$\theta = \frac{b}{d_L} = \frac{x}{d_S}$$

$$\beta = \frac{y}{d_S}$$

$$\alpha = \frac{x - y}{d_{LS}}$$

- Label some distances and angles
- Use small angle approximations

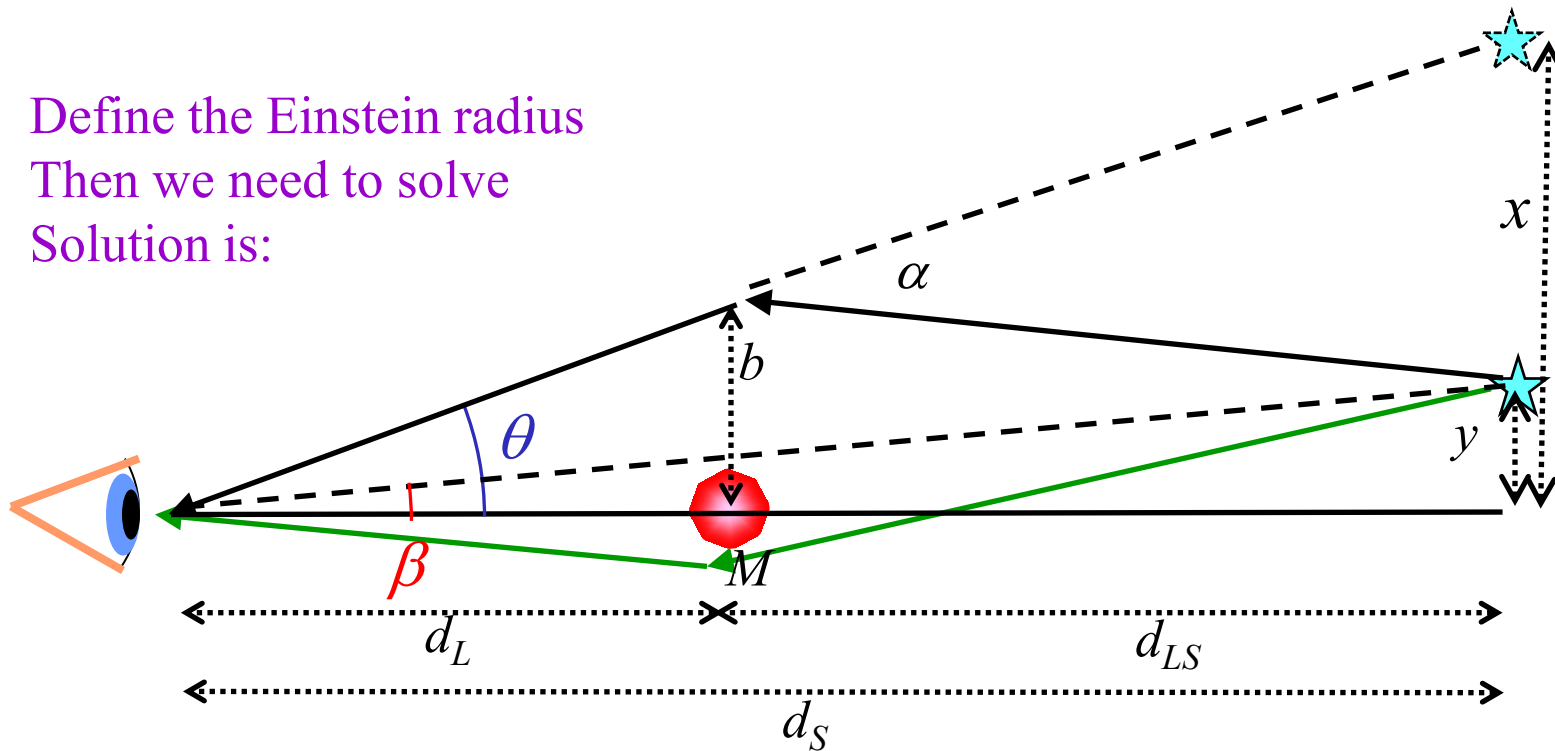
$$\theta - \beta = \frac{x - y}{d_S} = \frac{d_{LS} \alpha}{d_S} = \frac{4GM d_{LS}}{c^2 b d_S} = \frac{4GM d_{LS}}{c^2 d_L d_S \theta}$$

- Do some math



# MACHOs and Bending of Light (2)

- Define the Einstein radius
- Then we need to solve
- Solution is:



$$\theta - \beta = \frac{4GMd_{LS}}{c^2 d_L d_S \theta}$$

$$\theta_E^2 \equiv \frac{4GMd_{LS}}{c^2 d_L d_S}$$

$$\theta^2 - \beta\theta - \theta_E^2 = 0$$

$$\theta = \frac{1}{2} \left( \beta \pm \sqrt{\beta^2 + 4\theta_E^2} \right)$$

- There are, in fact, *two* images
  - One deflected above, as sketched
  - One deflected below
- Unfortunately, these angles are too small to detect
- Nonetheless, they can still magnify the star, making it brighter

# MACHOs and Magnification of Light

- To make things simple, assume shape of star is part of an annulus centered on the mass

- Any shape can be made of such annuli

- The star *actually* goes from angle  $\beta$  to  $\beta + d\beta$ 
  - And from azimuthal angle  $\phi$  to  $\phi + d\phi$

- Without the mass, the star's brightness would be proportional to

$$F_s \propto (\beta d\phi)(d\beta)$$

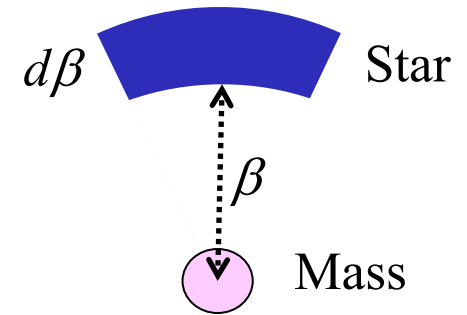
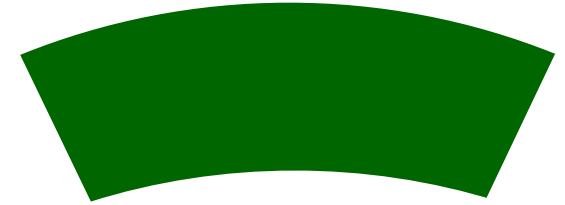
- The star's *image* goes from angle  $\theta$  to  $\theta + d\theta$ 
  - And from azimuthal angle  $\phi$  to  $\phi + d\phi$

- The brightness of the image is, therefore,

$$A_{\pm} = \left| \frac{\theta}{\beta} \frac{d\theta}{d\beta} \right| = \frac{1}{4} \left( \frac{\beta}{\sqrt{\beta^2 + 4\theta_E^2}} + \frac{\sqrt{\beta^2 + 4\theta_E^2}}{\beta} \pm 2 \right)$$

- The ratio of these is the magnification
- The actual brightness is the sum of the two images put together

$$A_{tot} = \frac{1}{2} \left( \frac{\beta}{\sqrt{\beta^2 + 4\theta_E^2}} + \frac{\sqrt{\beta^2 + 4\theta_E^2}}{\beta} \right)$$



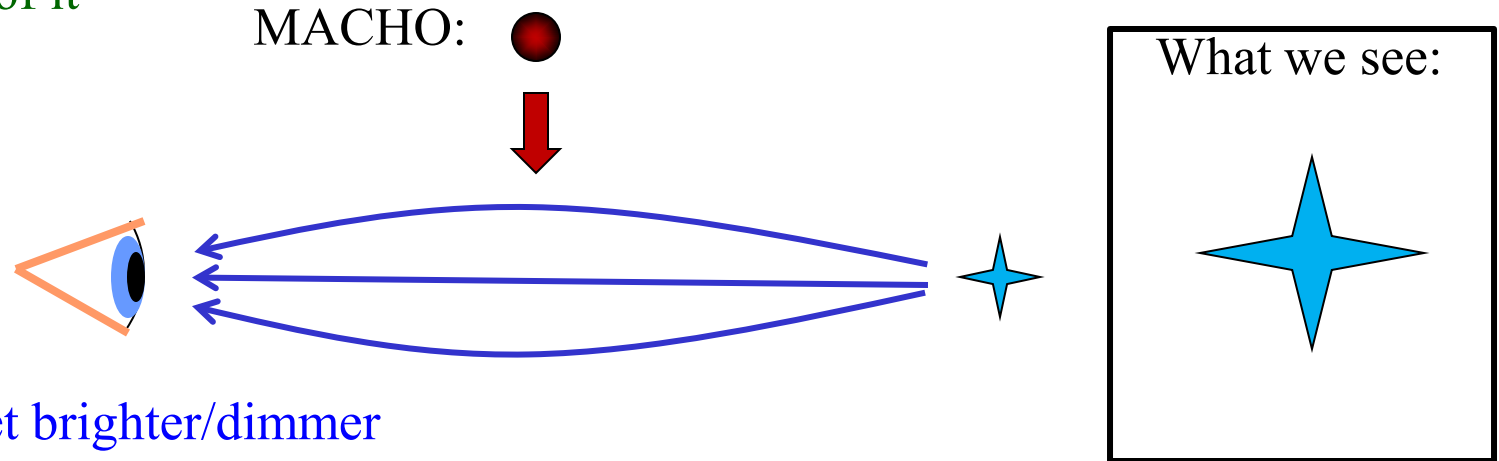
# How to Catch a MACHO

$$A_{tot} = \frac{1}{2} \left( \frac{\beta}{\sqrt{\beta^2 + 4\theta_E^2}} + \frac{\sqrt{\beta^2 + 4\theta_E^2}}{\beta} \right)$$

## MACHOS

- White dwarfs
- Neutron stars
- Black Holes
- Brown dwarfs/“Jupiters”

- Watch a random star
- MACHO will pass in front of it
- Light gets bent
- Star gets brighter
- MACHO moves away
- Star gets dimmer again
- Lots of stars are variable
- However, these stars will get brighter/dimmer equally at all wavelengths
- And it will follow curve predicted by theory
- Realistically, watch thousands of stars in small area
  - Bulge is a good place to look for them

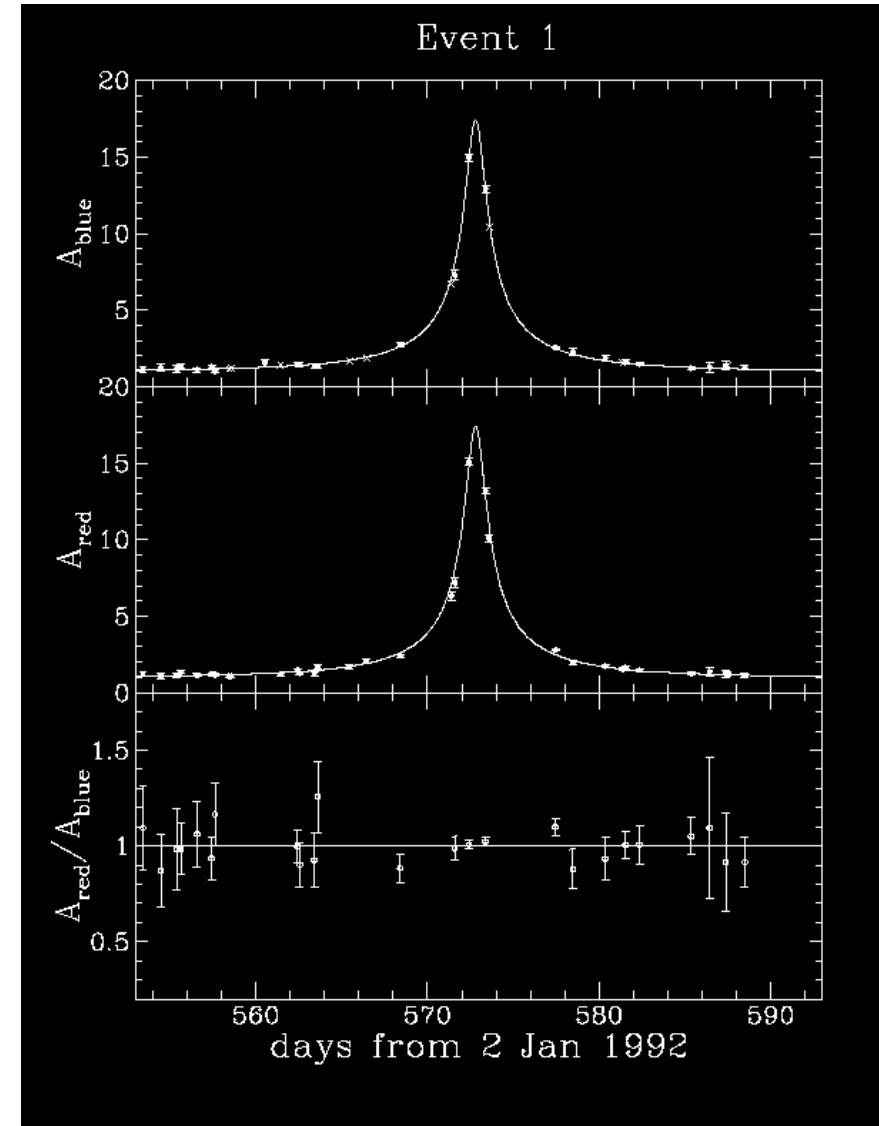


# How Many MACHOS Are There?

- We can study many background stars by looking at the bulge, or at the Larger Magellenic Cloud (a small, nearby galaxy)
- Let a computer watch *many* stars and flag those that change brightness
- If they do, study them over time
- Compare multiple wavelengths (variable stars tend to change temperature)

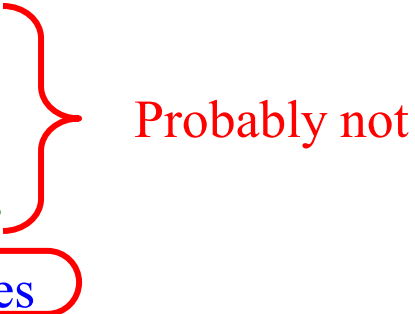
## Conclusions:

- MACHOS exist
- Mostly white dwarfs
- Substantial fraction of stars, but *not* the dark matter



# What Is the Dark Matter? (2)

## Dark matter candidates

- Cold hydrogen gas - Probably not
  - White dwarfs
  - Neutron stars
  - Black Holes
  - Brown dwarfs/“Jupiters”
  - Invisible massive particles
- 

- We already argued against gas
- MACHOS seem to be ruled out
  - A caveat – very small or very large black holes might still work
- Invisible massive particles seem to work
  - Neutrinos are particles that we know exist and have mass
    - But they probably won't work
  - No other known particles work
  - But many speculative theories contain such particles

# Orbits of Disk Stars

## Conservation of Angular Momentum

What governs stellar orbits of disk stars:

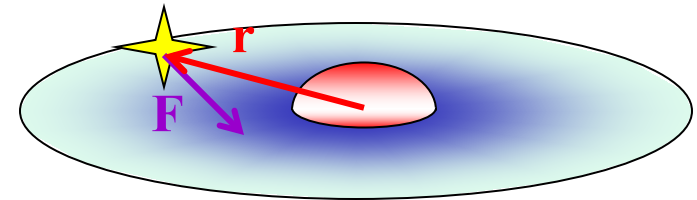
- For the most part, we can treat all the “other” stars as being uniformly spread out
  - Don’t worry about effects of individual other stars

What conservation laws on a particular star can we use to figure out the motion?

- Momentum of star is not conserved – there are forces on it
- Energy conservation helps – but I won’t use this
- Angular momentum conservation?

$$\frac{d\mathbf{L}}{dt} = \frac{d\mathbf{r}}{dt} \times \mathbf{p} + \mathbf{r} \times \frac{d\mathbf{p}}{dt} = \frac{1}{m} \mathbf{p} \times \mathbf{p} + \mathbf{r} \times \mathbf{F} = \mathbf{r} \times \mathbf{F}$$

$$\mathbf{L} = \mathbf{r} \times \mathbf{p}$$



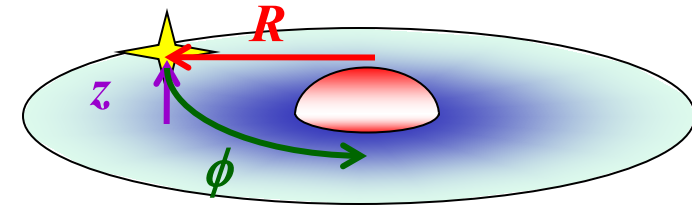
- The cross product  $\mathbf{r} \times \mathbf{F}$  will not generally vanish
- However, the cross product *will* always be perpendicular to the vertical direction
  - Call this the  $z$ –direction
- This *component* of the motion will be conserved
- The combination  $Rv_\phi$  is conserved

$$L_z = (\mathbf{r} \times \mathbf{p})_z = mRv_\phi$$

# Three Types of Motion

- We will be using cylindrical coordinates:
  - $R$  – the distance from the  $z$ -axis,  $v_r$  the corresponding velocity
  - $z$  – the vertical distance from the plane,  $v_z$  the corresponding velocity
  - $\phi$  – the angle around,  $v_\phi$  the corresponding velocity
- There will potentially be three kinds of motion
- These will have associated with them three angular frequencies
  - $\kappa$  – angular rate at which it wanders in and out
  - $\nu$  – angular rate at which it bobs up and down
  - $\Omega$  – angular rate at which it goes around

$$Rv_\phi = \text{constant}$$



Angular motion – the easiest to understand

- The star goes *approximately* in a circle
- Assume we know the angular velocity for circular orbits:
- Assume that at some radius  $R_0$  this is exactly  $v_\theta$
- Approximate angular velocity and angular period is:
- At all other radii, we must have:

$$Rv_\phi = R_0V_0$$

$V^2$  known

$$v_\theta|_{R_0} = V|_{R_0} = V_0$$

$$\Omega = \frac{V_0}{R_0}$$

$$v_\phi = \frac{R_0V_0}{R}$$

$$T_\phi = \frac{2\pi}{\Omega}$$

# Up and Down Motion

In the vertical direction, there will be small motions

- The star should only be moving a small amount,  $z$  small
- Locally, the disk looks much like a uniform slab
- We found the gravitational acceleration previously

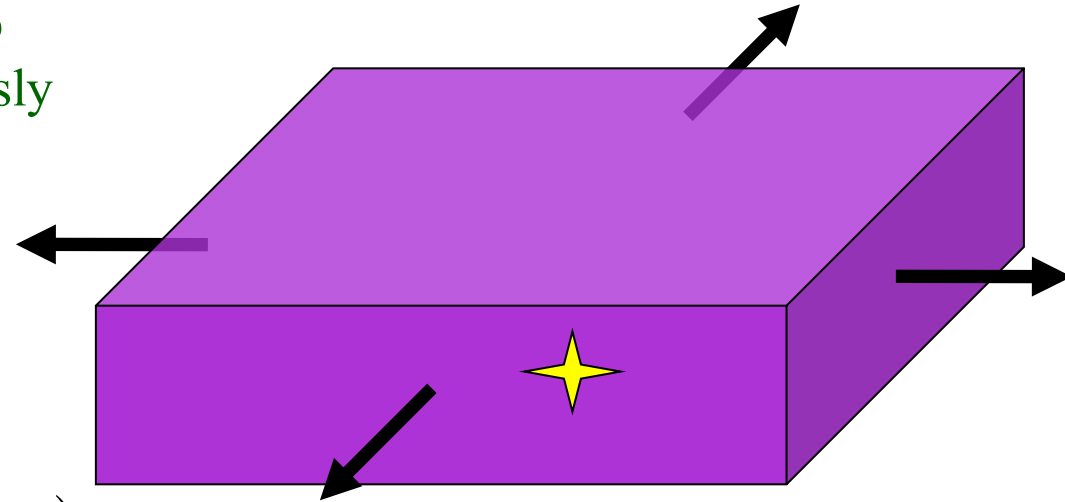
$$\mathbf{g}(z) = -4\pi G \rho_0 z \hat{\mathbf{z}} \quad \frac{d^2 z}{dt^2} = -4\pi G \rho_0 z$$

- This looks like Hooke's Law
  - Simple harmonic motion

$$\boxed{\nu = \sqrt{4\pi G \rho_0}} \quad \frac{d^2 z}{dt^2} = -\nu^2 z \quad z(t) = z_0 \sin(\nu t)$$

- Star bounces up and down

$$\boxed{T_z = \frac{2\pi}{\nu}}$$





# In and Out Motion: Epicycles (1)

In the radial direction, star moves in and out somewhat

- I will work in a frame that is rotating around with the galaxy
  - In this frame, there will apparently be a centrifugal force

$$v_\phi = \frac{R_0 V_0}{R}$$

$$F_c = \frac{mv_\phi^2}{R}$$

- If it were in a perfectly circular orbit, force would cancel gravitational force, so

- Effective force is the sum of these two

$$F_g = -\frac{mV^2}{R}$$

$$F_r = F_c + F_g = \frac{mv_\phi^2}{R} - \frac{mV^2}{R} = m \frac{d^2 R}{dt^2}$$

- This will equal mass times radial acceleration
- We are only interested in near circular orbits, so  $R \approx R_0$  circular and  $V \approx V_0$
- Expand, keep only leading order term

$$\frac{d^2 R}{dt^2} = \frac{R_0^2 V_0^2}{R^3} - \frac{V_0^2}{R} + \frac{V_0^2}{R} - \frac{V^2}{R} = \frac{V_0^2 (R_0^2 - R^2)}{R^3} + \frac{V_0^2 - V^2}{R}$$

# In and Out Motion: Epicycles (2)

$$\frac{d^2 R}{dt^2} = \frac{V_0^2 (R_0^2 - R^2)}{R^3} + \frac{(V_0^2 - V^2)}{R}$$

- Taylor expand  $V^2$  around  $R_0$ , then substitute:

$$\frac{d^2 R}{dt^2} = -(R - R_0) \frac{V_0^2 (R + R_0)}{R^3} - (R - R_0) \frac{1}{R} \frac{d}{dR} V^2 \Big|_{R_0}$$

- Keep only leading order

$$\frac{d^2 R}{dt^2} = -(R - R_0) \left[ \frac{2V_0^2}{R_0^2} + \frac{1}{R_0} \frac{d}{dR} V^2 \Big|_{R_0} \right]$$

- This is yet another Harmonic oscillator

$$R(t) = R_0 + (\Delta R) \sin(\kappa t)$$

Example: flat rotation curves:

$$\kappa^2 = \frac{2V_0^2}{r_0^2} = 2\Omega^2$$

$$\kappa = \sqrt{2}\Omega$$

$$T_r = \frac{T_\phi}{\sqrt{2}}$$

$$V^2 \approx V_0^2 + (R - R_0) \frac{d}{dR} V^2 \Big|_{R_0}$$

$$\kappa^2 = \frac{2V_0^2}{R_0^2} + \frac{1}{R_0} \frac{d}{dR} V^2 \Big|_{R_0}$$

$$\frac{d^2 R}{dt^2} = -\kappa^2 (R - R_0)$$

$$T_r = \frac{2\pi}{\kappa}$$

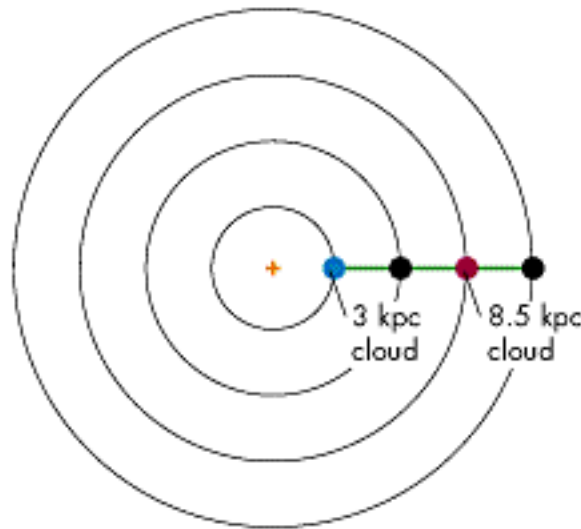
# Spiral Arms: What Causes Them?

- Spiral Arms vary between galaxies
  - Most spiral galaxies have two arms
  - Some have three or four
  - Some have “partial” spiral arms
- The “winding” nature of them is a bit tricky
  - Not just simple winding!

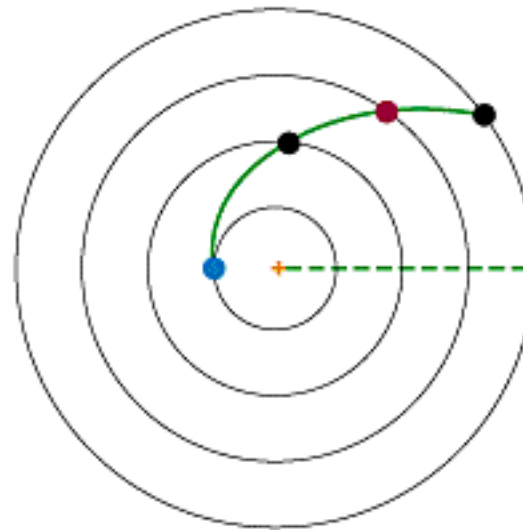


# Simple Winding: The Wrong Theory

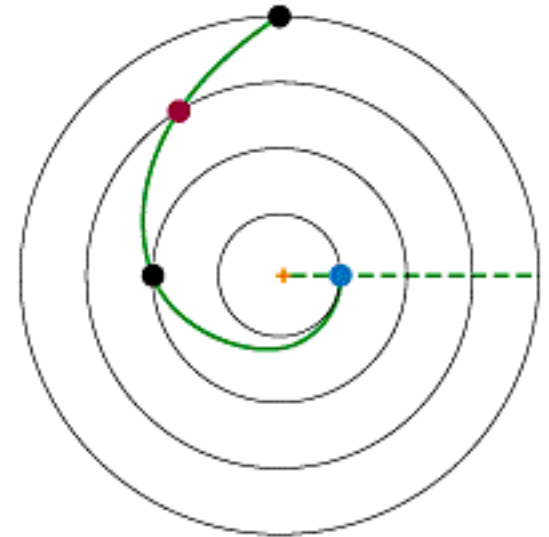
- In one cycle, spiral arms would end up completely wound up
- There have been 20 or so circuits since the beginning
- Therefore, it's not this simple
- It cannot be the *same stars* that inhabit the spiral arm on each cycle
- Different stars, clouds and gas inhabit it in each cycle



**A** A string of gas clouds lines up radially



**B** The 3 kpc cloud completes half of a revolution in the time the 8.5 kpc cloud completes  $\frac{1}{6}$  of a revolution

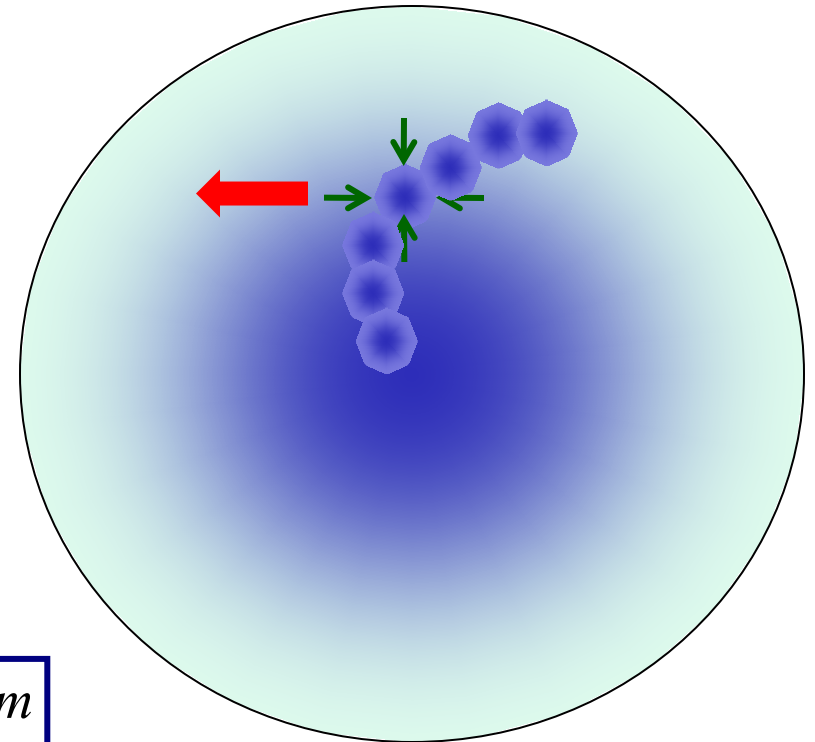


**C** The 3 kpc cloud will pass the 8.5 kpc cloud in little more than one orbit

# Density Waves: The Idea

- Suppose a region in a rotating spiral galaxy has higher density “clump”
  - Due to random fluctuations, nearby galaxies, etc.
- It attracts gas from in front, from behind, from inside, from outside
- But they rotate at different rates, so the “clump” gets spread out
- Which causes still more clumps to form
- The pattern angular frequency  $\Omega_{gp}$  will be a little different than the rotation rate  $\Omega$ 
  - Because it is spreading in both directions
- How widespread the pattern can be depends on how many arms  $m$  you need
- Can show that pattern only works if  $\Omega_{gp}$  differs from  $\Omega$  by at most  $\kappa/m$ .

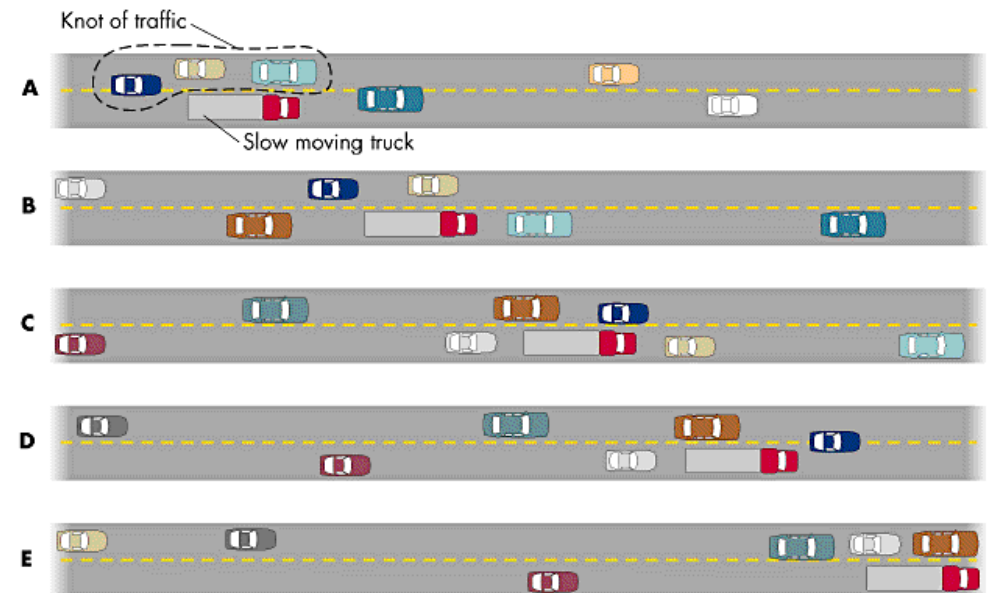
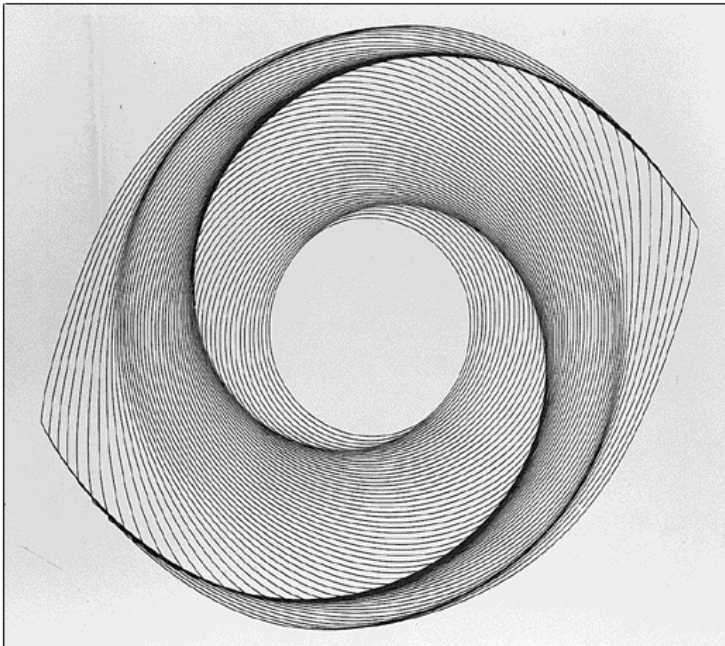
$$\Omega - \kappa/m < \Omega_{gp} < \Omega + \kappa/m$$





# The Spiral Arms: What We're Seeing

- The “clumping” works best for objects with nearly perfect circular orbits to start with
- Works best for cool gas
  - Molecular clouds – almost in perfect circular orbits
- These regions are where the young stars will form
- Young stars (the brightest) mark out the spiral arms
- Once stars are born, they typically “fall out” of the spiral arms
  - The spiral arms are *not* made of particular stars – they change over time

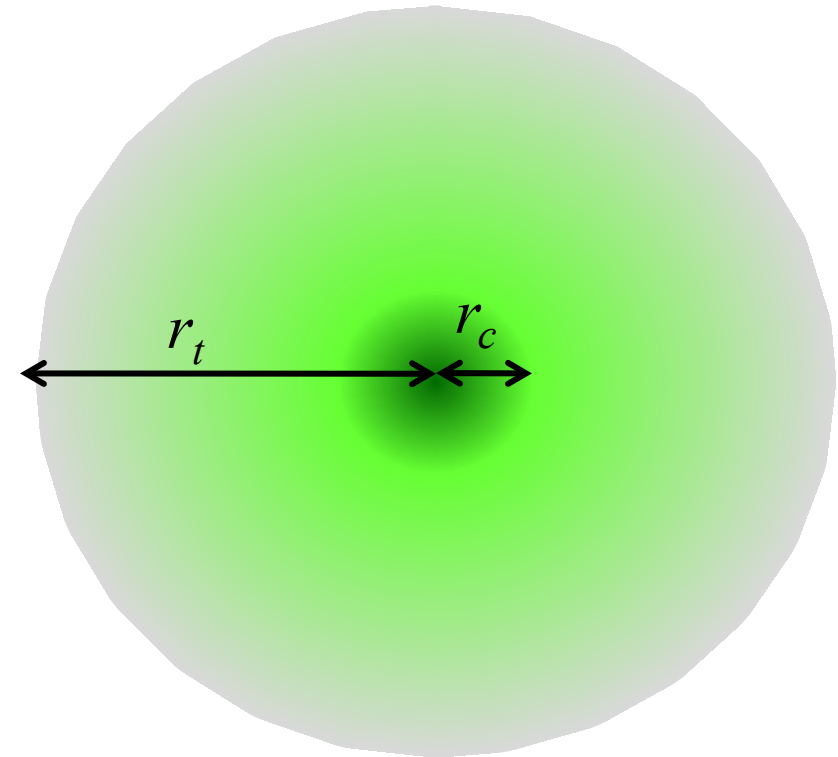


# Shapes of Clusters

## Core and Tidal Radius

Shape of a cluster (especially globular clusters)

- Typically roughly spherical
- Dense inner region
  - Core radius  $r_c$
- Sharp dropoff at large radius
  - Tidal disruption radius  $r_t$
  - Region where other gravitational objects have stripped stars away



# Conservation Laws with Clusters

- Clusters (especially globular clusters) *most* of the time have relatively little interaction with other objects
  - Conservation laws should hold within the cluster
- Though they have net momentum, we can ignore that
  - Work in center of mass frame of the cluster
- They usually were formed with little or no net angular momentum
  - Generally, this will just be conserved, so they stay that way
- Over the course of approximately one orbit, potential energy  $\leftarrow \rightarrow$  kinetic energy
- Therefore, over time, the system will *virialize*

$$2E_K + E_P = 0$$

They then evolve due to two types of effects

- Close encounters of pairs of stars in the cluster
- Interaction of passing stars or other mass sources

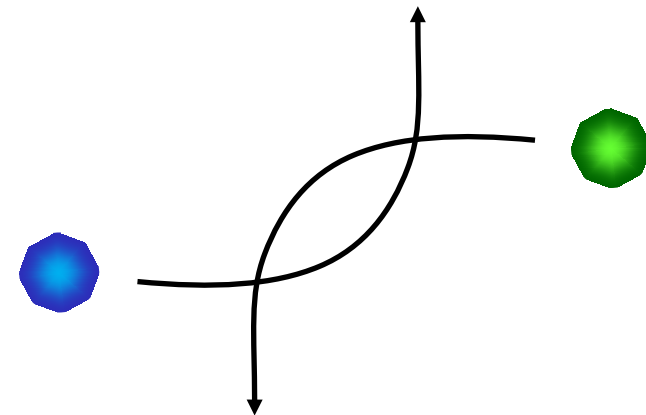


# Close Encounters of Pairs of Stars

- When two stars pass near each other, they will alter each others' orbits
- This changes each star's momentum and energy, but not the total
- Over time, this allows transfer of energy between all the stars

- System ends up in a sort of thermal type distribution

$$P(E) \propto e^{-E/k_B T_d}$$



- Don't think of this dynamical "temperature"  $T_D$  too literally
- Does not correspond to the temperature of the stars themselves

- Recall, energy is kinetic plus potential energy
- Note that *both* terms in the energy are proportional to mass
- The probability distribution prefers lower energy states
  - This effect has the most effect on high mass stars

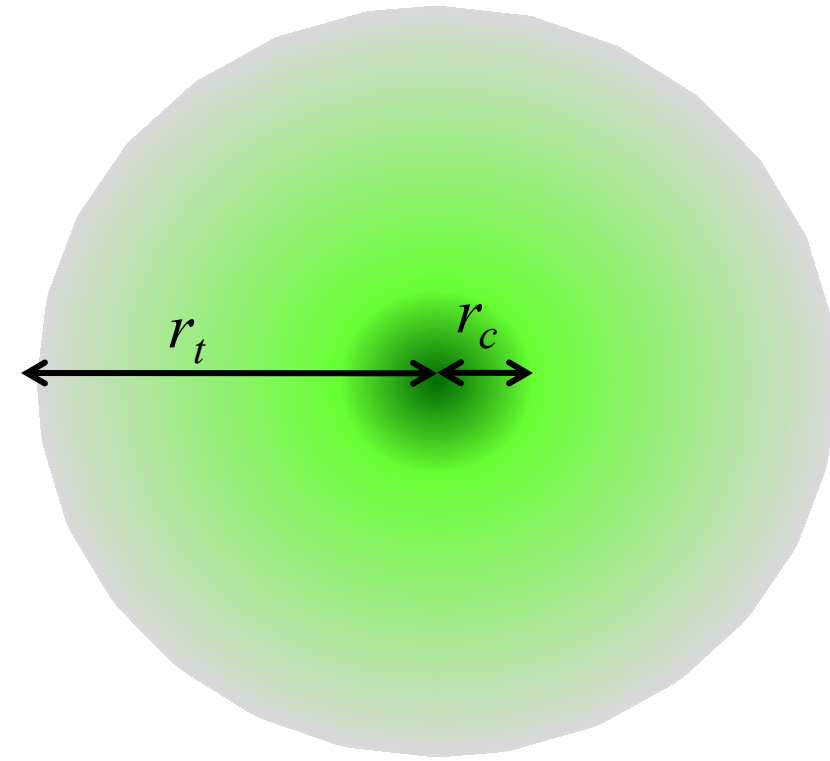
$$E = E_K + E_P = \frac{1}{2}mv^2 + m\Phi$$

- Therefore:
  - High mass stars tend to move at lower velocities
  - High mass stars tend to "fall" to the center of the cluster

# Evolution of Cluster Shapes

Due to interactions between stars within the cluster:

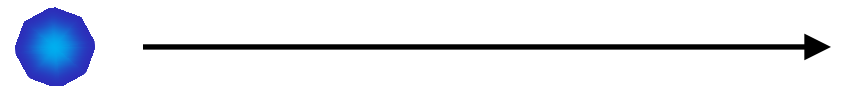
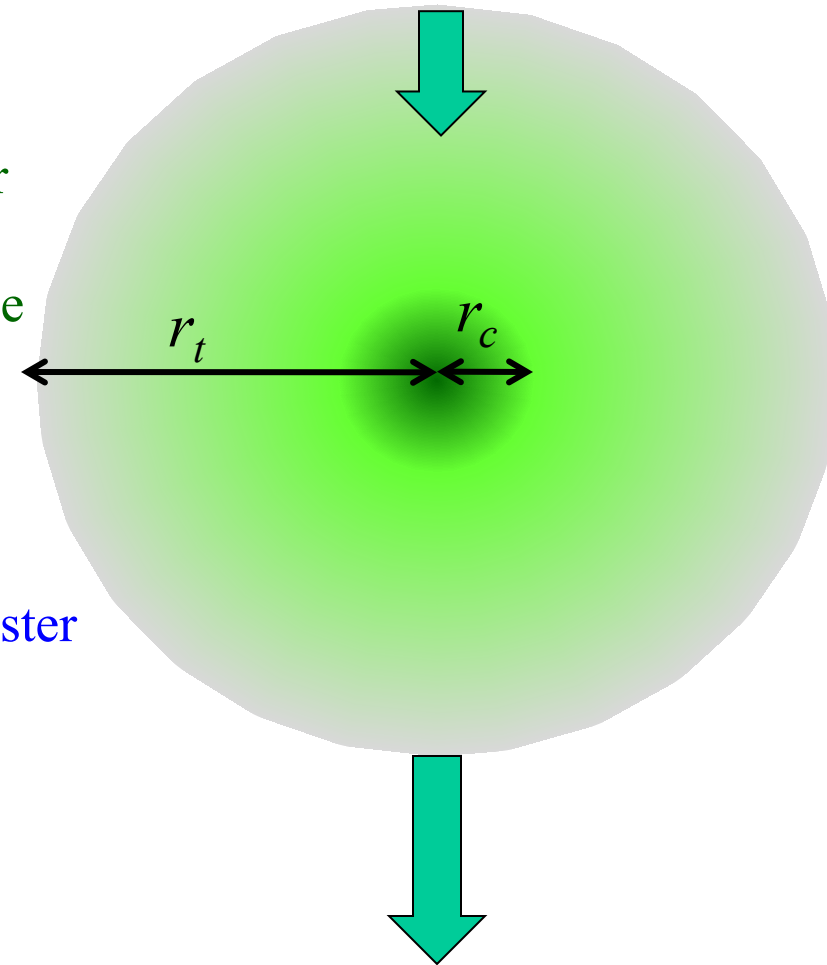
- Because the distribution depends only on energy, and this is the same in all directions, the cluster ends up as a sphere
- The more massive stars are gradually moving towards the center, and slowing down
- Less massive stars drift towards the edge
- Over time, the core radius  $r_c$  shrinks smaller and smaller
- Eventually  $r_c$  shrinks to zero
  - Many globular clusters seem to have already reached this stage
- The outer layer *should*, over time, expand and slowly evaporate off
  - But that's not what we see



# Effects of Passing Mass on a Cluster (1)

What effect does a passing mass have on a cluster?

- Typically, the passing mass is moving quickly past the cluster
- As it goes by, it pulls on the stars
- Net effect: The entire cluster accelerates in the direction of the passing star
  - But not evenly!
- We don't care about the net motion of the cluster
- But we do care about what effect it has on the stars in the cluster
- It pulls most strongly on the part of the cluster near it
- It pulls more weakly on the far part of the cluster
- It pulls diagonally on the parts to the side
- This means it is adding internal kinetic energy to the cluster

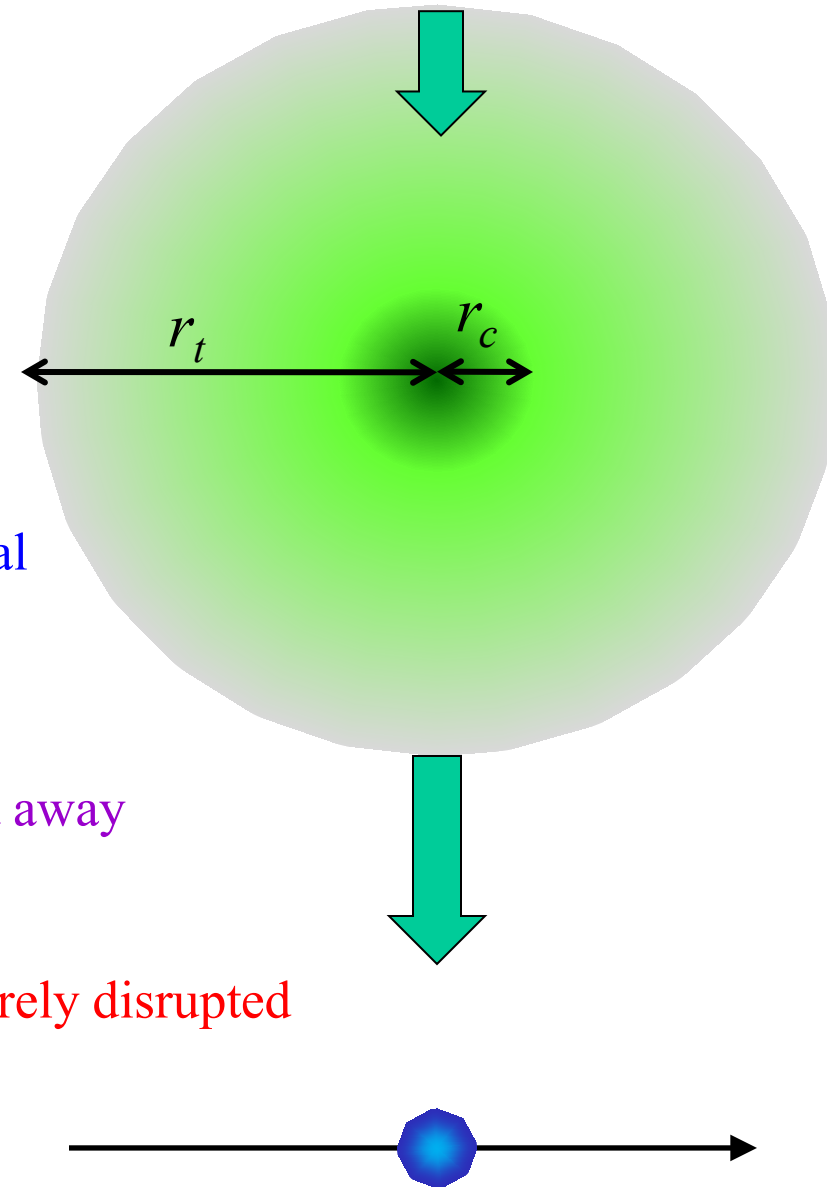


# Effects of Passing Mass on a Cluster (2)

- The initial energy was negative
  - Can be easily seen from the total energy and the virial theorem

$$E = E_K + E_P$$
$$E_k = \frac{1}{2} |E_p|$$

- The total energy has increased
- Over one dynamical time scale, this energy will get distributed between the kinetic and potential energy according to the virial theorem
- The energy gets redistributed so that the kinetic and potential energy is shared
- The cluster gets larger and more loosely bound
- Stars near the outer edge eventually get completely stripped away
- This causes there to be a relatively sharp outer boundary
- If the cluster has insufficient mass, it will eventually be entirely disrupted



# CAUTION!

- Many of the details about how galaxies form structure are not well understood
  - Much of our understanding comes from computer simulations, without detailed theories
- If an expert told you everything they knew, some of it would be wrong
- I am not an expert, and hence some of what I am going to tell you is *probably* wrong
- Take my comments as probably generally right, but probably wrong in details
- And it will doubtless need revision over time

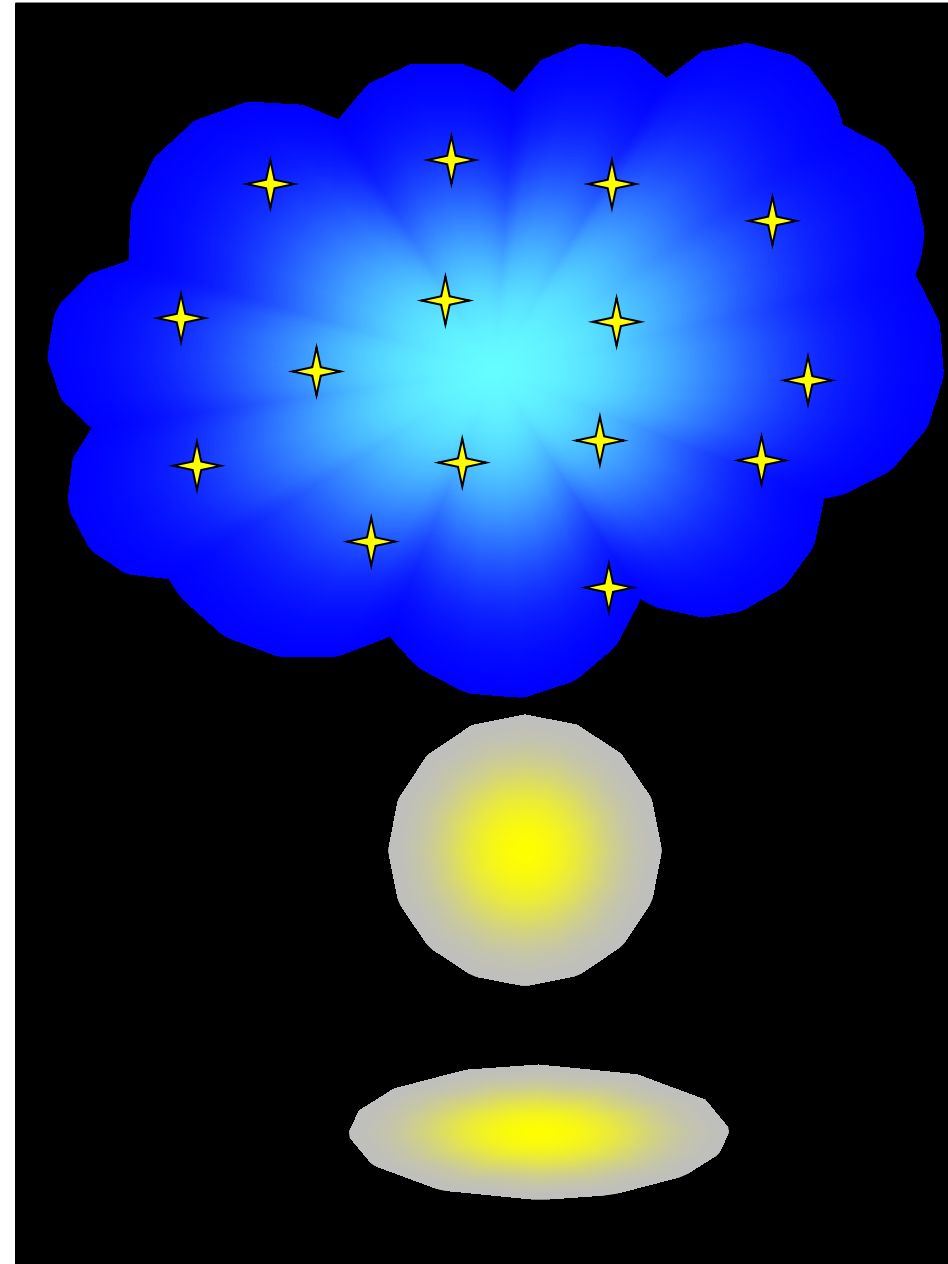
# Shapes of Galaxies

## Conservation Laws in Disks

- The stars in a disk are formed from gas and dust in the disk
  - We need to understand these objects to understand the stars in them
- Although a galaxy is moving, we are once again not interested in the *net* motion of the galaxy
  - Work in the center of mass frame of the galaxy
- Unlike stars, gas clouds are *huge* and frequently undergo collisions
- These collisions heat the gas
- The gas then starts to radiate the heat, which leaves the disk
- So we have Kinetic Energy  $\rightarrow$  Heat  $\rightarrow$  Radiation  $\rightarrow$  Lost
- Effectively, energy is *not* conserved in the gas of the disk
- However, radiation carries off very little momentum
- And therefore, very little angular momentum
- Angular momentum *is* conserved in the disk

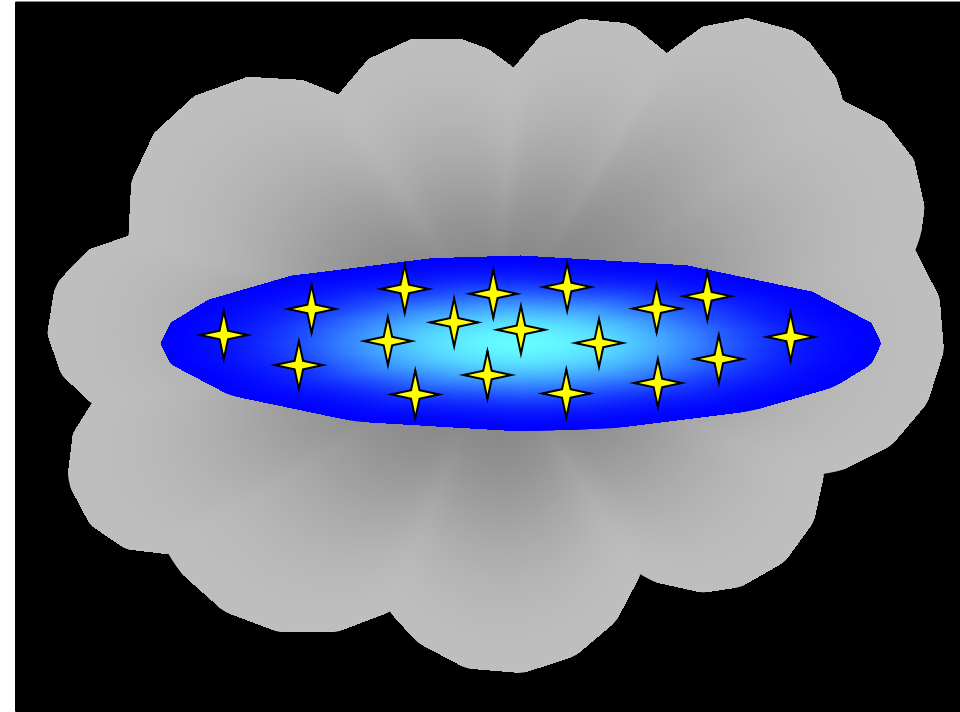
# Early Star Formation In Galaxies

- All stars come initially from clouds of gas
- Initially, the clouds of gas can be any shape
- Stars form throughout the cloud
  - Initially probably having little motion
- Gravity pulls the stars towards the center
  - Converting gravitational energy to kinetic
- Ultimately, the system virializes
- If there is no net angular momentum, it will form a sphere
  - With stars having random motion in it
- If there is some net angular momentum, it will form an oblate sphere
  - Stars having some random motion
  - But more going in direction of rotation than counter to it
- These early stars may be the bulge stars



# The Shapes of Disks

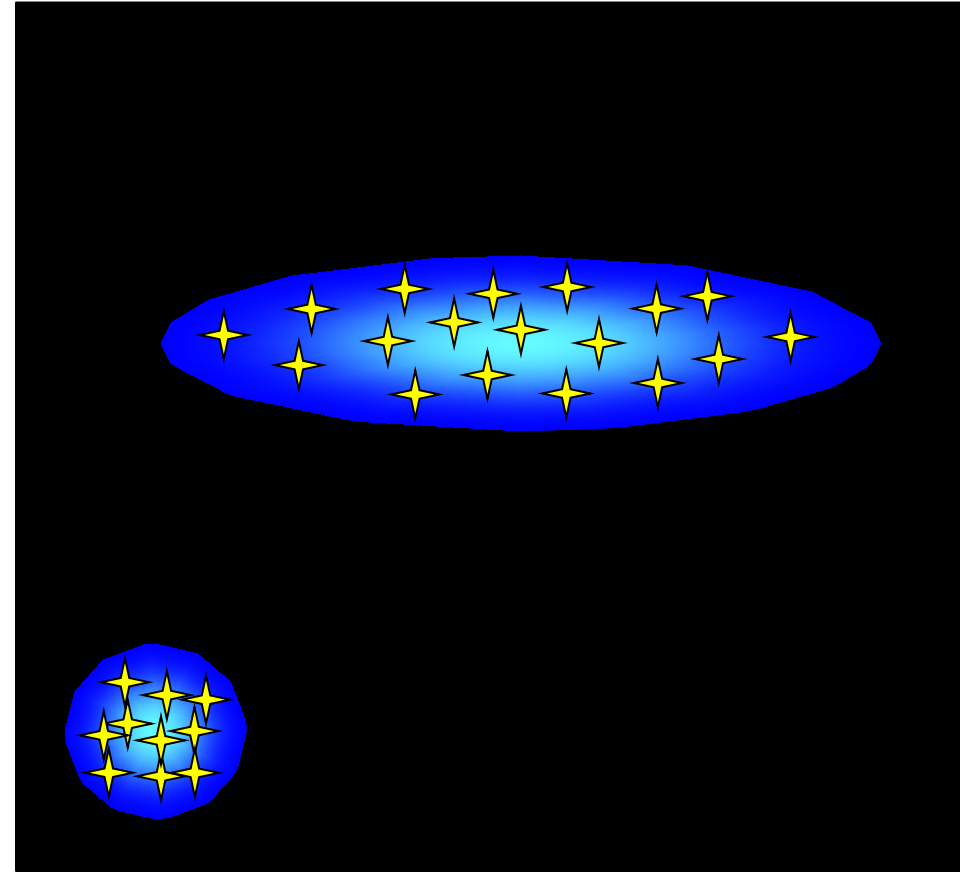
- The cloud of gas is also getting pulled together
- Because energy is not conserved in this cloud, it can shrink down a lot more
- It can't shrink to a point if it has angular momentum
- It will ultimately become a disk
- Only thing opposing it becoming infinitely thin is pressure
- The lowest temperature gas (molecular clouds) will make a very thin disk
- New stars will form in this disk
- Hence the youngest stars always form in the thinnest disk





# Evolution of Shapes of Disks

- Passing galaxies and collisions with small galaxies will add kinetic energy to the remaining gas and stars
- This causes orbits to distort, no longer circular, and moving above and below the plane
- The gas ultimately loses this excess energy and goes back to being a disk
- But the disk of the stars thickens permanently
- The older parts of the disk will tend to be thicker than the younger parts



# Instabilities in Disks and Bulges

- A perfectly symmetric ellipsoid should remain that way indefinitely
- But passing galaxies and other perturbations cause distortions
- In the bulge, there is an instability that makes the oblate ellipsoid become more elongated (cigar shaped)
- In the disk, there are instabilities that cause spiral arms to form
- Much of this information comes from computer simulations

