

TOTALLY ACYCLIC APPROXIMATIONS

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ABSTRACT. Let R be a commutative local ring. We study the subcategory of the homotopy category of R -complexes consisting of the totally acyclic R -complexes. In particular, in the context where $Q \rightarrow R$ is a surjective local ring homomorphism such that R has finite projective dimension over Q , we define an adjoint pair of functors between the homotopy category of totally acyclic R -complexes and that of Q -complexes, which are analogous to the classical adjoint pair between the module categories of R and Q . We give detailed proofs of the adjunction in terms of the unit and counit. As a consequence, one obtains a precise notion of approximations of totally acyclic R -complexes by totally acyclic Q -complexes.

INTRODUCTION

This paper was motivated by the desire to approximate in a meaningful way totally acyclic complexes over a commutative local ring R by simpler totally acyclic complexes, possibly even periodic ones. The subcategory $\mathbf{K}_{\text{tac}}(R)$ of the homotopy category of R -complexes consisting of totally acyclic R -complexes is a thick subcategory, and therefore is also a triangulated category. To facilitate an approximation, we assume that there exists a surjective local ring homomorphism $\varphi : Q \rightarrow R$ such that Q is Gorenstein and R has finite projective dimension as a Q -module. Our approximation is then achieved through an adjoint pair of triangle functors

$$\mathbf{K}_{\text{tac}}(Q) \begin{array}{c} \xrightarrow{S_\varphi} \\ \xleftarrow{T_\varphi} \end{array} \mathbf{K}_{\text{tac}}(R)$$

which are consonant with the classical adjoint pair of functors between the module categories of Q and R . Indeed, as is the case in the classical setting, S_φ is simply the base change functor. However, as nontrivial totally acyclic R -complexes are never totally acyclic Q -complexes when $R \neq Q$, obtaining the right adjoint T_φ requires a modification of the forgetful functor. Its construction is given in Section 2, and we prove in detail that it is a triangle functor. We remark also that the condition of $\text{pd}_Q R < \infty$ is key to the existence of T_φ . In Section 3 we prove that S_φ and T_φ form an adjoint pair via the unit and counit natural transformations. In Section 4 we recall the precise notion of approximation, in terms of the counit of the adjunction. The approximations are especially meaningful in Remark 4.7, where totally acyclic complexes over a quotient of a hypersurface ring are approximated by period 2 complexes. Our interest in this project was also motivated by recent work in [Kr], [Ne] on approximations and adjoints in related categories.

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To put the functors $S = S_\varphi$ and $T = T_\varphi$ in further perspective, Let $\mathbf{D}^b(R)$ denote the bounded derived category of R and $\mathbf{D}^b(Q)$ that of Q . The classical adjoint pair of the base change functor and the forgetful functor for the module categories extend to an adjoint pair of the bounded derived categories. Since the projective dimension of R over Q is finite, a perfect complex in $\mathbf{D}^b(R)$ under the forgetful functor is a perfect complex in $\mathbf{D}^b(Q)$. Thus the adjoint pair extends to the verdier quotients of the bounded derived category modulo the corresponding thick subcategories of perfect complexes, in other words the singularity categories $\mathbf{D}_{\text{sg}}^b(Q)$ and $\mathbf{D}_{\text{sg}}^b(R)$. This explains the right pair of vertical arrows in the diagram below. The top horizontal arrow is the equivalence of categories proved in [Bu]; the functor is defined by hard truncation of a totally acyclic complex to the right of zero. It is proved in [BeJoOp] that the same functor is fully faithfully in general, which explains the bottom arrow.

$$\begin{array}{ccc} \mathbf{K}_{\text{tac}}(Q) & \xrightarrow{\cong} & \mathbf{D}_{\text{sg}}^b(Q) \\ \begin{array}{c} \uparrow S \\ \downarrow T \end{array} & & \begin{array}{c} \uparrow \sigma \\ \downarrow \tau \end{array} \\ \mathbf{K}_{\text{tac}}(R) & \hookrightarrow & \mathbf{D}_{\text{sg}}^b(R) \end{array}$$

The adjoint pair S and T of this paper is the corresponding adjoint pair making the square commute.

1. PRELIMINARIES

Let R be a ring. By an R -complex C we mean a sequence of left R -module homomorphisms

$$C : \cdots \rightarrow C_{n+1} \xrightarrow{\partial_{n+1}^C} C_n \xrightarrow{\partial_n^C} C_{n-1} \rightarrow \cdots$$

graded homologically, where each C_n is a left R -module, and n is its *homological degree*. Without prior stipulation, an arbitrary R -module is assumed also to be a complex concentrated in homological degree 0.

Recall that a *semi-free* R -complex F is one whose underlying graded R -module F^\natural has graded basis E which can be written as a disjoint union $E = \bigsqcup_{n \geq 0} E^n$ such that $\partial^F(E^n) \subseteq R(\bigcup_{i=1}^{n-1} E^i)$ for every $n \geq 1$.

Definition. A *semi-free resolution* of an R -complex C is a quasi-isomorphism $F \rightarrow C$ of complexes where F is a semi-free R -complex.

We now state some important facts, and simply give a reference when the proofs are available in the literature.

1.1. [ChFoHo, 5.1.7, 5.1.13] Every R -complex C has a semi-free resolution $\pi : F \rightarrow C$ with $F_n = 0$ for all $n < \inf\{i \mid H_i(C) \neq 0\}$. Moreover, π can be chosen to be surjective. If R is left Noetherian and C is a bounded below complex of finitely generated R -modules, then π can even be chosen to be surjective with F_n finitely generated for all n , and $F_n = 0$ for all $n < \inf\{i \mid C_i \neq 0\}$.

The next result is the so-called ‘Comparison Theorem.’

1.2. [ChFoHo, 5.2.9] Let $\pi' : F' \rightarrow C'$ be a quasiisomorphism of R -complexes, and $\alpha : F \rightarrow C'$ a morphism of R -complexes with F semi-free. Then there exists a morphism of complexes $\mu : F \rightarrow F'$ such that $\pi'\mu \sim \alpha$. If π' is surjective, then μ

can be chosen such that $\pi'\mu = \alpha$. Moreover, μ is homotopic to any other morphism of R -complexes μ' with $\pi'\mu' = \alpha$.

From this point on we assume that R is a commutative Noetherian ring.

Definition. Recall from [AvMa] that an R -complex C of finitely generated free modules is called *totally acyclic* if

$$H(C) = 0 = H(\text{Hom}_R(C, R)).$$

We denote by $\mathbf{K}_{\text{tac}}(R)$ the homotopy category of totally acyclic R -complexes; the objects in $\mathbf{K}_{\text{tac}}(R)$ are the totally acyclic R -complexes, and the morphisms are homotopy equivalence classes of morphisms of R -complexes. For a morphism $f : C \rightarrow C'$ of R -complexes we write $[f]$ for its homotopy equivalence class. Thus for two morphisms $f, g : C \rightarrow C'$ of R -complexes, one has $f \sim g$ if and only if $[f] = [g]$.

The following facts we use often in the rest of the paper. Given an R -complex C , from now on we write C^* for the R -complex $\text{Hom}_R(C, R)$.

1.3. Suppose that s is an integer, $C, C' \in \mathbf{K}_{\text{tac}}(R)$ and $f : C \rightarrow C'$ is a morphism of complexes. If there exist maps $\sigma_n : C_n \rightarrow C'_{n+1}$ satisfying $f_n = \sigma_{n-1}\partial_n^C + \partial_{n+1}^{C'}\sigma_n$ for all $n > s$, then the σ_n can be extended to a homotopy showing that $f \sim 0$.

Proof. By induction it suffices to show there exists a map $\sigma_s : C_s \rightarrow C'_{s+1}$ such that $f_{s+1} = \sigma_s\partial_{s+1}^C + \partial_{s+2}^{C'}\sigma_{s+1}$.

Note that since C is a totally acyclic complex and C'_{s+1} is free, the complex $\text{Hom}_R(C, C'_{s+1})$ is exact. We have

$$\begin{aligned} \partial_{s+1}^{\text{Hom}_R(C, C'_{s+1})}(f_{s+1} - \partial_{s+2}^{C'}\sigma_{s+1}) &= (f_{s+1} - \partial_{s+2}^{C'}\sigma_{s+1})\partial_{s+2}^C \\ &= f_{s+1}\partial_{s+2}^C - \partial_{s+2}^{C'}(f_{s+2} - \partial_{s+3}^{C'}\sigma_{s+2}) \\ &= (f_{s+1}\partial_{s+2}^C - \partial_{s+2}^{C'}f_{s+2}) - \partial_{s+3}^{C'}\partial_{s+3}^{C'}\sigma_{s+2} \\ &= 0 \end{aligned}$$

Thus $f_{s+1} - \partial_{s+2}^{C'}\sigma_{s+1} \in \text{Ker } \partial_{s+1}^{\text{Hom}_R(C, C'_{s+1})} = \text{Im } \partial_s^{\text{Hom}_R(C, C'_{s+1})}$. Therefore there exists a map $\sigma_s : C_s \rightarrow C'_{s+1}$ such that $\partial_s^{\text{Hom}_R(C, C'_{s+1})}(\sigma_s) = f_{s+1} - \partial_{s+2}^{C'}\sigma_{s+1}$, in other words $f_{s+1} = \sigma_s\partial_{s+1}^C + \partial_{s+2}^{C'}\sigma_{s+1}$. \square

1.4. Suppose that s is an integer and $C, C' \in \mathbf{K}_{\text{tac}}(R)$. If there exist maps $f_n : C_n \rightarrow C'_n$ satisfying $f_{n-1}\partial_n^C = \partial_n^{C'}f_n$ for all $n > s$, then the f_n can be extended to a morphism of complexes $f : C \rightarrow C'$. Any two such extensions are homotopic, that is, if $g : C \rightarrow C'$ is a morphism of complexes such that there exist maps $\sigma_n : C_n \rightarrow C'_{n+1}$ with $f_n - g_n = \sigma_{n-1}\partial_n^C + \partial_n^{C'}\sigma_n$ for all $n \geq s$, then $f \sim g$.

Proof. The second statement follows immediately from 1.3. The proof of the first statement is essentially that of 1.3, and is left to the reader. \square

Definition. A *complete resolution* (see, for example, [AvMa]) of an R -complex C is a diagram of morphisms of R -complexes

$$U \xrightarrow{\rho} F \xrightarrow{\pi} C$$

such that $U \in \mathbf{K}_{\text{tac}}(R)$, F is a semi-free resolution of C , and ρ_n is bijective for all $n \gg 0$. We will often abuse terminology and call U a complete resolution of C .

The following is a slightly generalized version of [AvMa, 5.3]. The proof is essentially the same as that in *ibid.*

1.5. Complete resolutions are well-defined up to homotopy equivalence. Specifically, if $U \xrightarrow{\rho} F \xrightarrow{\pi} C$ and $U' \xrightarrow{\rho'} F' \xrightarrow{\pi'} C'$ are complete resolutions of R -complexes C and C' , and $\mu : C \rightarrow C'$ is a morphism of complexes, then by the comparison theorem 1.2 there exists a unique-up-to-homotopy morphism $\bar{\mu}$ making the right-hand square of the diagram

$$\begin{array}{ccccc} U & \longrightarrow & F & \longrightarrow & C \\ \downarrow \hat{\mu} & & \downarrow \bar{\mu} & & \downarrow \mu \\ U' & \longrightarrow & F' & \longrightarrow & C' \end{array}$$

commute up to homotopy, and for each choice of $\bar{\mu}$ there exists a unique-up-to-homotopy morphism $\hat{\mu}$ making the left-hand square commute up to homotopy. If $\mu = \text{Id}_C$, then $\bar{\mu}$ and $\hat{\mu}$ are homotopy equivalences.

1.6. Suppose that Q and R are commutative Noetherian rings, and $\varphi : Q \rightarrow R$ is a surjective ring homomorphism. Let C be a complex of finitely generated free Q -modules. Then

$$\text{Hom}_Q(C, Q) \otimes_Q R \text{ and } \text{Hom}_R(C \otimes_Q R, R)$$

are isomorphic as R -complexes.

Proof. By Hom-tensor adjunction for complexes, and after making the canonical identification of $\text{Hom}_R(R, R)$ with R , we immediately get that $\text{Hom}_R(C \otimes_Q R, R)$ and $\text{Hom}_Q(C, R)$ are isomorphic. Therefore it suffices to prove that $\text{Hom}_Q(C, Q) \otimes_Q R$ and $\text{Hom}_Q(C, R)$ are isomorphic.

Define maps $\alpha_n : \text{Hom}_Q(C_{-n}, Q) \otimes_Q R \rightarrow \text{Hom}_Q(C_{-n}, R)$ by $\alpha_n(f \otimes r)(x) = \varphi(f(x))r$, and $\beta_n : \text{Hom}_Q(C_{-n}, R) \rightarrow \text{Hom}_Q(C_{-n}, Q) \otimes_Q R$ by $\beta_n(g) = g' \otimes_Q 1_R$ where $\varphi g' = g$. Thus $\alpha_n(\beta_n(g))(x) = \alpha_n(g' \otimes 1_R)(x) = \varphi(g'(x)) = g(x)$, and so $\alpha_n \beta_n = \text{Id}_{\text{Hom}_Q(C_{-n}, R)}$. Also, $\beta_n(\alpha_n(f \otimes r)) = g' \otimes 1_R$ where $\varphi(g'(x)) = \varphi(f(x))r$, and so $\beta_n \alpha_n = \text{Id}_{\text{Hom}_Q(C_{-n}, Q) \otimes_Q R}$. Finally one just needs to check that α_n and β_n commute with the differentials, and this is left to the reader. \square

2. THE ‘FORGETFUL’ TRIANGLE FUNCTOR

Let Q be a commutative local Gorenstein ring, and $\varphi : Q \rightarrow R$ a surjective local ring homomorphism such that $\text{pd}_Q R < \infty$.

The main objective of this section is to define the ‘forgetful’ functor

$$T = T_\varphi : \mathbf{K}_{\text{tac}}(R) \rightarrow \mathbf{K}_{\text{tac}}(Q)$$

and prove that it is a triangle functor. The definition of T is as follows.

Definition. Let $C \in \mathbf{K}_{\text{tac}}(R)$. Then $TC \in \mathbf{K}_{\text{tac}}(Q)$ is a complete resolution of $\text{Im } \partial_0^C$ over Q (which is uniquely defined by 1.5.) Given a morphism $[f] : C \rightarrow C'$ in $\mathbf{K}_{\text{tac}}(R)$, we have the map $\mu : \text{Im } \partial_0^C \rightarrow \text{Im } \partial_0^{C'}$ induced by the morphism $f : C \rightarrow C'$ of R -complexes. Then $T[f] : TC \rightarrow TC'$ is the homotopy equivalence class $[\hat{\mu}]$ of the comparison map $\hat{\mu} : TC \rightarrow TC'$ between complete resolutions (which is uniquely determined by μ , by 1.5.)

Proposition 2.1. $T : \mathbf{K}_{\text{tac}}(R) \rightarrow \mathbf{K}_{\text{tac}}(Q)$ is an additive functor.

Proof. The only verifications of the axioms of additive functor that are possibly not obvious follow easily from the fact that T is well-defined on morphisms in $\mathbf{K}_{\text{tac}}(R)$, which we now prove. Let $[f] : C \rightarrow C'$ be the zero morphism in $\mathbf{K}_{\text{tac}}(R)$. Let $\mu : \text{Im } \partial_0^C \rightarrow \text{Im } \partial_0^{C'}$ be the map induced by f . Then there exists a homotopy $\sigma \in \text{Hom}_R(C, C')_1$ such that $\overline{\partial_0^{C'}}(\sigma_{-1}|_{\text{Im } \partial_0^C}) = \mu$, where $\overline{\partial_0^{C'}} : C'_0 \rightarrow \text{Im } \partial_0^{C'}$ is the map induced by $\partial_0^{C'}$. Let F and F' be Q -free resolutions of $\text{Im } \partial_0^C$ and $\text{Im } \partial_0^{C'}$, respectively, and K a minimal Q -free resolution of C'_0 . Then any chain map $\bar{\mu} : F \rightarrow F'$ lifting μ is homotopic to a lifting of the composition

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & F_1 & \xrightarrow{\partial_1^F} & F_0 & \longrightarrow & \text{Im } \partial_0^C \longrightarrow 0 \\
 & & \vdots & & \vdots & & \downarrow \sigma_{-1}|_{\text{Im } \partial_0^C} \\
 \cdots & \longrightarrow & K_1 & \xrightarrow{\partial_1^K} & K_0 & \longrightarrow & C'_0 \longrightarrow 0 \\
 & & \vdots & & \vdots & & \downarrow \overline{\partial_0^{C'}} \\
 \cdots & \longrightarrow & F'_1 & \xrightarrow{\partial_1^{F'}} & F'_0 & \longrightarrow & \text{Im } \partial_0^{C'} \longrightarrow 0
 \end{array}$$

which is eventually zero since K is a finite complex. This shows that $\hat{\mu} \sim 0$, in other words, $T[f] = 0$. \square

Theorem 2.2. $T : \mathbf{K}_{\text{tac}}(R) \rightarrow \mathbf{K}_{\text{tac}}(Q)$ is a triangle functor.

Proof. We first show that T commutes with shifts, that is, there is a natural isomorphism between $T\Sigma$ and ΣT . Let $M = \text{Im } \partial_0^C$. From Corollary 2.4 below we see that a minimal free resolution of $\Omega_1^R(M)$ agrees with that of M beginning at degree $\text{pd}_Q R + 1$. Specifically if F and F' are minimal free resolutions of M and $\Omega_1^R(M)$ over Q , respectively, then we have $\Sigma^{-1}(F_{\geq c+2}) \cong F'_{\geq c+1}$, for $c = \text{pd}_Q R$. This implies that $\Sigma^{-1}(TC) \simeq T(\Sigma^{-1}C)$, which is another way of stating the result. It is clear that this isomorphism respects morphisms in $\mathbf{K}_{\text{tac}}(R)$, that is, if $[f] : C \rightarrow C'$ is a morphism in $\mathbf{K}_{\text{tac}}(R)$, then the following diagram commutes.

$$\begin{array}{ccc}
 T\Sigma C & \xrightarrow{T\Sigma[f]} & T\Sigma C' \\
 \downarrow \simeq & & \downarrow \simeq \\
 \Sigma TC & \xrightarrow{\Sigma T[f]} & \Sigma TC'
 \end{array}$$

Next we show that T takes distinguished triangles to distinguished triangles. Any distinguished triangle in $\mathbf{K}_{\text{tac}}(R)$ is isomorphic as a triangle to one of the form $C \xrightarrow{[f]} C' \rightarrow \text{cone}([f]) \rightarrow \Sigma C$. We need to complete the diagram

$$\begin{array}{ccccccc}
 TC & \xrightarrow{T[f]} & TC' & \longrightarrow & T \text{cone}([f]) & \longrightarrow & \Sigma(TC) \\
 \parallel & & \parallel & & \vdots & & \parallel \\
 TC & \xrightarrow{T[f]} & TC' & \longrightarrow & \text{cone}(T[f]) & \longrightarrow & \Sigma(TC)
 \end{array}$$

so that the second two squares commute.

Let $TC \xrightarrow{\rho} F \xrightarrow{\pi} C_{\geq -1}$ and $TC' \xrightarrow{\rho'} F' \xrightarrow{\pi'} C'_{\geq 0}$ be complete resolutions over Q , and $\mu : C_{\geq -1} \rightarrow C'_{\geq 0}$ be the map induced by f . Then by 1.5 there exists

a morphism of Q -complexes $\bar{\mu} : F \rightarrow F'$ such that all squares in the following diagram commute (we can assume that π' is surjective.)

$$\begin{array}{ccccccc}
& & F_2 & \longrightarrow & F_1 & \longrightarrow & F_0 & \longrightarrow & F_{-1} \\
& & \swarrow \bar{\mu}_2 & & \swarrow \bar{\mu}_1 & & \swarrow \bar{\mu}_0 & & \\
F'_2 & \xrightarrow{\quad} & F'_1 & \xrightarrow{\quad} & F'_0 & \xrightarrow{\quad} & & & \\
\downarrow \pi'_2 & & \downarrow \pi'_1 & & \downarrow \pi'_0 & & \downarrow \pi_0 & & \downarrow \pi_{-1} \\
& & C_2 & \longrightarrow & C_1 & \longrightarrow & C_0 & \longrightarrow & C_{-1} \\
& & \swarrow \mu_2 & & \swarrow \mu_1 & & \swarrow \mu_0 & & \\
C'_2 & \xrightarrow{\quad} & C'_1 & \xrightarrow{\quad} & C'_0 & \xrightarrow{\quad} & & &
\end{array}$$

This diagram gives rise to a commutative diagram of short exact sequences of morphisms of Q -complexes

$$\begin{array}{ccccccc}
0 & \longrightarrow & F' & \longrightarrow & \text{cone}(\bar{\mu}) & \longrightarrow & \Sigma F & \longrightarrow & 0 \\
& & \downarrow \pi' & & \downarrow \begin{pmatrix} \pi' & 0 \\ 0 & \Sigma\pi \end{pmatrix} & & \downarrow \Sigma\pi & & \\
0 & \longrightarrow & C'_{\geq 0} & \longrightarrow & \text{cone}(\mu) & \longrightarrow & \Sigma(C_{\geq -1}) & \longrightarrow & 0
\end{array}$$

The resulting commutative diagram of long exact sequences of homology shows that the morphism of Q -complexes $\text{cone}(\bar{\mu}) \rightarrow \text{cone}(\mu)$ is a quasiisomorphism. Thus $\text{cone}(\bar{\mu})$ is a semi-free resolution of $\text{cone}(\mu)$, and therefore also of $\text{Im } \partial_0^{\text{cone}(f)}$. It follows that

$$\text{cone}(\hat{\mu}) \rightarrow \text{cone}(\bar{\mu}) \rightarrow \text{Im } \partial_0^{\text{cone}(f)}$$

is a complete resolution of $\text{Im } \partial_0^{\text{cone}(f)}$, where $\hat{\mu} : TC \rightarrow TC'$ is a morphism of Q -complexes as in 1.5. We now have that the diagram of morphisms

$$\begin{array}{ccccccc}
TC & \xrightarrow{\hat{\mu}} & TC' & \longrightarrow & T \text{cone}(f) & \longrightarrow & \Sigma(TC) \\
\parallel & & \parallel & & \parallel & & \parallel \\
TC & \xrightarrow{\hat{\mu}} & TC' & \longrightarrow & \text{cone}(\hat{\mu}) & \longrightarrow & \Sigma(TC)
\end{array}$$

which commutes up to homotopy. The result follows. \square

For an arbitrary commutative local ring A , consider a short exact sequence of finitely generated A -modules $0 \rightarrow X \rightarrow Y \xrightarrow{\pi} Z \rightarrow 0$. Let F and G be minimal free resolutions of Y and Z , respectively. Let $\text{cone}(\phi)$ denote the mapping cone of the morphism $\phi : F \rightarrow G$ lifting the surjection π :

$$\text{cone}(\phi) : \cdots \rightarrow F_1 \oplus G_2 \xrightarrow{\begin{pmatrix} -\partial_1^F & 0 \\ \phi_1 & \partial_2^G \end{pmatrix}} F_0 \oplus G_1 \xrightarrow{(\phi_0 \ \partial_1^G)} G_0.$$

Lemma 2.3. *In the notation of the previous discussion, the truncated mapping cone*

$$\text{trcone}(\phi) : \cdots \rightarrow F_2 \oplus G_3 \xrightarrow{\begin{pmatrix} -\partial_2^F & 0 \\ \phi_2 & \partial_3^G \end{pmatrix}} F_1 \oplus G_2 \xrightarrow{\begin{pmatrix} -\partial_1^F & 0 \\ \phi_1 & \partial_2^G \end{pmatrix}} \ker(\phi_0 \ \partial_1^G)$$

is (after shift) a free resolution of X .

Proof. We first note that Since F and G are both minimal free resolutions, the map ϕ_0 is surjective. Therefore so is the map $(\phi_0 \partial_1^G)$, and so $\ker(\phi_0 \partial_1^G)$ is free.

From the short exact sequence of complexes $0 \rightarrow G \rightarrow \text{cone}(\phi) \rightarrow \Sigma F \rightarrow 0$, we get the long exact sequence of homology

$$\cdots \rightarrow H_1(G) \rightarrow H_1(\text{cone}(\phi)) \rightarrow H_0(F) \xrightarrow{\pi} H_0(G) \rightarrow H_0(\text{cone}(\phi)) \rightarrow 0.$$

Since $(\phi_0 \partial_1^G)$ is surjective, $H_0(\text{cone}(\phi)) = 0$. Thus this long exact sequence of homology reduces to the short exact sequence

$$0 \rightarrow H_1(\text{cone}(\phi)) \rightarrow Y \xrightarrow{\pi} Z \rightarrow 0,$$

and so $X \cong H_1(\text{cone}(\phi))$. Since $H_i(\text{cone}(\phi)) = H_i(\text{trcone}(\phi))$ for all $i \geq 1$, the result follows, that is $\Sigma^{-1} \text{trcone}(\phi)$ is a free resolution of X . \square

Corollary 2.4. *Let K be a minimal free resolution of R over Q , F a minimal resolution of M over Q , and $\mu = \text{rank } F_0$. Consider the morphism of complexes $\phi : K^\mu \rightarrow F$ lifting the surjection $F_0 \rightarrow M$. Then $\Sigma^{-1} \text{trcone}(\phi)$ is a free resolution of $\Omega_1^R(M)$ over Q . If $c = \text{pd}_Q R < \infty$ then $(\Sigma^{-1} \text{trcone} \phi)_{\geq c+1} = \Sigma^{-1}(F_{\geq c+2})$*

3. ADJUNCTION

Keeping the same assumptions on Q and R as in the previous section, the goal of this section is to compare $\mathbf{K}_{\text{tac}}(R)$ with $\mathbf{K}_{\text{tac}}(Q)$. This will be done by constructing an adjoint pair of triangle functors between the two categories.

The descension functor is easy:

$$S = S_\varphi : \mathbf{K}_{\text{tac}}(Q) \rightarrow \mathbf{K}_{\text{tac}}(R)$$

is defined by $SC = C \otimes_Q R$ and $S[f] = [f \otimes_Q R]$ for C an object and $[f]$ a morphism in $\mathbf{K}_{\text{tac}}(Q)$. This is a triangle functor due in part to 1.6. Indeed, if C is acyclic complex of free Q -modules, then $C \otimes_Q R$ is an acyclic complex of free R -modules (since $\text{pd}_Q R < \infty$), and $\text{Hom}_Q(C, Q)$ being acyclic implies $\text{Hom}_Q(C, Q) \otimes_Q R$ is acyclic. Hence $\text{Hom}_R(C \otimes_Q R, R)$ is acyclic by 1.6. It is easy to see that S takes homotopic morphisms of complexes to homotopic morphisms of complexes, commutes with shifts and takes distinguished triangles to distinguished triangles.

The ascension functor

$$T = T_\varphi : \mathbf{K}_{\text{tac}}(R) \rightarrow \mathbf{K}_{\text{tac}}(Q)$$

is the functor defined in Section 2.

Our main result for this section is the following.

Theorem 3.1. *The triangle functors S and T form an adjoint pair, that is, they satisfy the following property: for all $C \in \mathbf{K}_{\text{tac}}(R)$ and $D \in \mathbf{K}_{\text{tac}}(Q)$ there exist a bijection*

$$(1) \quad \text{Hom}_{\mathbf{K}_{\text{tac}}(Q)}(D, TC) \rightarrow \text{Hom}_{\mathbf{K}_{\text{tac}}(R)}(SD, C)$$

which is natural in each variable.

Before engaging the proof, we observe that for $D \in \mathbf{K}_{\text{tac}}(Q)$ one has

$$TSD \simeq D \otimes_Q K$$

where K is a Q -free resolution of R . Indeed, one has that $D_{\geq 0} \otimes_Q K$ is a Q -free resolution of $\text{Im } \partial_0^{SD} \cong \text{Im } \partial_0^D \otimes_Q R$. The assertion is now clear.

Proof. In order to prove the theorem we define natural transformations

$$\eta : \text{Id}_{\mathbf{K}_{\text{tac}}(Q)} \rightarrow TS$$

and

$$\epsilon : ST \rightarrow \text{Id}_{\mathbf{K}_{\text{tac}}(R)}$$

— the unit and counit, respectively, of the adjunction — as follows. For $D \in \mathbf{K}_{\text{tac}}(Q)$ define $\eta_D : D \rightarrow TSD$ to be the morphism of complexes embedding D_n into the first component of $TSD_n = \bigoplus_{i=0}^n D_{n-i} \otimes_Q K_i$ for all n . And for $C \in \mathbf{K}_{\text{tac}}(R)$ define $\epsilon_C : STC \rightarrow C$ to be the morphism induced by the comparison map $F \rightarrow C_{\geq 0}$, where F is a free resolution of $\text{Im } \partial_0^C$ over Q . It follows from 1.4 and 1.3 that η and ϵ are natural in their arguments.

We just need to show that

$$T\epsilon_C \circ \eta_{TC} \sim \text{Id}_{TC} \quad \text{and} \quad \epsilon_{SD} \circ S\eta_D \sim \text{Id}_{SD}$$

First we discuss the map $T\epsilon_C$. We have a morphism of complexes

$$\begin{array}{ccccccc} \cdots & \longrightarrow & TC_1 & \xrightarrow{\partial_1^{TC}} & TC_0 & \longrightarrow & \text{Im } \partial_0^{TC} \longrightarrow 0 \\ & & \downarrow \hat{\mu}_1 & & \downarrow \hat{\mu}_0 & & \downarrow \nu \\ \cdots & \longrightarrow & F_1 & \xrightarrow{\partial_1^F} & F_0 & \longrightarrow & \text{Im } \partial_0^C \longrightarrow 0 \end{array}$$

where F is a Q -free resolution of $\text{Im } \partial_0^C$. Suppose that $\ker \varphi = (x_1, \dots, x_r)$. For each $1 \leq i \leq r$, let $\sigma_i : TC_0 \rightarrow F_1$ be the beginning of homotopies expressing the fact that the morphisms $x_i \hat{\mu}$ are null homotopic, that is, $\partial_1^F \circ \sigma_i = x_i \hat{\mu}_0$ for $1 \leq i \leq r$. Now let K be a minimal free resolution of R over Q and define maps $u_0 : TC_0 \otimes_Q K_0 \rightarrow F_0$ by $u_0(a \otimes b) = \hat{\mu}_0(a)b$, and $u_1(a \otimes (b_1, \dots, b_r)) = \sigma_1(a)b_1 + \dots + \sigma_r(a)b_r$ for $a \otimes (b_1, \dots, b_r) \in TC_0 \otimes_Q K_1$, $u_1(a \otimes b) = \hat{\mu}_1(a)b$ for $a \otimes b \in TC_1 \otimes_Q K_0$. Then one checks easily that the following diagram commutes.

$$\begin{array}{ccccccc} & & TC_0 \otimes_Q K_1 & & & & \\ & & \oplus & \searrow^{TC_0 \otimes \partial_1^K} & & & \\ & & & & TC_0 \otimes_Q K_0 & \longrightarrow & \text{Im } \partial_0^{TC} \otimes_Q R \longrightarrow 0 \\ TC_1 \otimes_Q K_0 & \xrightarrow{\partial_1^{TC} \otimes K_0} & & & & & \\ \downarrow u_1 & & \downarrow u_0 & & \downarrow \nu \otimes R & & \\ F_1 & \xrightarrow{\partial_1^F} & F_0 & \longrightarrow & \text{Im } \partial_0^C & \longrightarrow & 0 \end{array}$$

This gives rise to a morphism of Q -complexes $(TC_{\geq 0} \otimes_Q K) \rightarrow F$ such that $u_n(a \otimes b) = \hat{\mu}_n(a)b$ for $a \otimes b \in TC_n \otimes_Q K_0$, the former complex being a Q -free resolution of $\text{Im } \partial_0^{TC} \otimes_Q R$. It follows that we may achieve the morphism $T\epsilon_C : TSTC \rightarrow TC$ satisfying $(T\epsilon_C)_n(a \otimes b) = ab$ for $a \otimes b \in TC_n \otimes_Q K_0$.

We have the natural embedding $\eta_{TC} : TC \rightarrow TSTC$ with $\eta_{TC}(a) = a \otimes 1 \in TC_n \otimes_Q K_0$ for all $a \in TC_n$. Thus we have shown that $T\epsilon_C \circ \eta_{TC} \sim \text{Id}_{TC}$.

The morphism $S\eta_D : SD \rightarrow STSD$ embeds SD_n into the first component of $STSD \simeq \bigoplus_{i=0}^c SD_{n-i} \otimes_Q SK_i$ for all n . And the morphism $\epsilon_{SD} : STSD \rightarrow SD$ takes the first component of $STSD \simeq \bigoplus_{i=0}^c SD_{n-i} \otimes_Q SK_i$ to SD_n for all $n \in \mathbb{Z}$. Thus we have $\epsilon_{SD} \circ S\eta_D \sim \text{Id}_{SD}$. \square

4. APPROXIMATIONS OF TOTALLY ACYCLIC COMPLEXES

Our main application of Theorem 3.1 is a resulting notion of approximation in the homotopy category of totally acyclic complexes. We now recall the notion of approximation we use, due to Auslander and Smalø [AuSm], and independently, Enochs [En],

Let \mathcal{X} be a full subcategory of a category \mathcal{C} . Then a *right \mathcal{X} -approximation* of $C \in \mathcal{C}$ is a morphism $X \xrightarrow{\epsilon} C$, with $X \in \mathcal{X}$, such that for all objects $Y \in \mathcal{X}$, the sequence $\text{Hom}_{\mathcal{C}}(Y, X) \xrightarrow{\text{Hom}(Y, \epsilon)} \text{Hom}_{\mathcal{C}}(Y, C) \rightarrow 0$ is exact.

Dually, one has the concept of left \mathcal{X} -approximations. Specifically, a morphism $C \xrightarrow{\mu} X$, with $X \in \mathcal{X}$, is called a *left \mathcal{X} -approximation* of $C \in \mathcal{C}$ if for all objects $Y \in \mathcal{X}$, the sequence $\text{Hom}_{\mathcal{C}}(X, Y) \xrightarrow{\text{Hom}(\mu, Y)} \text{Hom}_{\mathcal{C}}(C, Y) \rightarrow 0$ is exact.

The full subcategory \mathcal{X} is called *functorially finite* in \mathcal{C} if for every object $C \in \mathcal{C}$, there exists a right \mathcal{X} -approximation of C and a left \mathcal{X} -approximation of C .

We let $S\mathbf{K}_{\text{tac}}(Q) = \{D \otimes_Q R \mid D \in \mathbf{K}_{\text{tac}}(Q)\}$. Our main application of Theorem 3.1 is the following.

Theorem 4.1. *$S\mathbf{K}_{\text{tac}}(Q)$ is functorially finite in $\mathbf{K}_{\text{tac}}(R)$.*

Proof. That every $C \in \mathbf{K}_{\text{tac}}(R)$ has a right $S\mathbf{K}_{\text{tac}}(Q)$ -approximation follows immediately from Theorem 3.1: the morphism $[\epsilon_C] : STC \rightarrow C$ is a right approximation in $\mathbf{K}_{\text{tac}}(R)$. Indeed, if $[f] : SD \rightarrow C$ is any morphism in $\mathbf{K}_{\text{tac}}(R)$ with $D \in \mathbf{K}_{\text{tac}}(Q)$, then from the natural transformation $\epsilon : ST \rightarrow \text{Id}_{\mathbf{K}_{\text{tac}}(R)}$ we have equality $[\epsilon_C] \circ ST[f] = [f] \circ [\epsilon_{SD}]$. Composing on the right with $S[\eta_D]$ we obtain $[\epsilon_C] \circ ST[f] \circ S[\eta_D] = [f]$, and thus $ST[f] \circ S[\eta_D] : SD \rightarrow STC$ is the morphism we seek.

Now we show that every $C \in \mathbf{K}_{\text{tac}}(R)$ has a left approximation. This can be done by simply dualizing a right approximation. For this we will use several times that for any given $D \in \mathbf{K}_{\text{tac}}(Q)$, one has the natural isomorphism of complexes $\text{Hom}_R(D \otimes_Q R, R) \cong \text{Hom}_Q(D, Q) \otimes_Q R$ from 1.6. We have the right approximation $[\epsilon_{C^*}] : STC^* \rightarrow C^*$ of C^* . The claim is that $[\epsilon_{C^*}^*] : C \cong C^{**} \rightarrow (STC^*)^*$ is a left approximation of C . Note that the target of $\epsilon_{C^*}^*$ is in $S\mathbf{K}_{\text{tac}}(Q)$ by the aforementioned isomorphism of 1.6. Now let $E \in \mathbf{K}_{\text{tac}}(Q)$ and $f : C \rightarrow SE$ be a morphism in $\mathbf{K}_{\text{tac}}(R)$. Then we have the morphism $f^* : (SE)^* \rightarrow C^*$, with $(SE)^*$ in $S\mathbf{K}_{\text{tac}}(Q)$. Therefore we have that $f^* \sim \epsilon_{C^*} g$ for some morphism $g : (SE)^* \rightarrow STC^*$. Dualizing back we have that $f \sim g^* \epsilon_{C^*}^*$, which is what we needed to show. \square

Motivated by results along the lines of [Ne, Proposition 1.4], we ask the following:

Question 4.2. Is $S\mathbf{K}_{\text{tac}}(Q)$ a thick subcategory of $\mathbf{K}_{\text{tac}}(R)$?

We illustrate Theorem 4.1 with an example.

Example 4.3. Let $R = k[x, y]/(x^2, y^2)$, and C be the totally acyclic R -complex with $\text{Im } \partial_0^C = Rxy \cong k$:

$$C : \dots \rightarrow R^3 \xrightarrow{\begin{pmatrix} x & 0 & -y \\ 0 & y & x \end{pmatrix}} R^2 \xrightarrow{\begin{pmatrix} x & y \end{pmatrix}} R \xrightarrow{\begin{pmatrix} xy \end{pmatrix}} R \xrightarrow{\begin{pmatrix} x \\ y \end{pmatrix}} R^2 \rightarrow \dots$$

Then a free resolution of $\text{Im } \partial_0^C$ over $Q = k[x, y]/(x^2)$ is given by

$$F : \dots \rightarrow Q^2 \xrightarrow{\begin{pmatrix} x & -y \\ 0 & x \end{pmatrix}} Q^2 \xrightarrow{\begin{pmatrix} x & y \\ 0 & x \end{pmatrix}} Q^2 \xrightarrow{\begin{pmatrix} x & -y \\ 0 & x \end{pmatrix}} Q^2 \xrightarrow{\begin{pmatrix} x & y \end{pmatrix}} Q \rightarrow 0$$

The right approximation $\epsilon_C : STC \rightarrow C$ takes the form

$$\begin{array}{ccccccccccc}
\cdots & \longrightarrow & R^2 & \xrightarrow{\begin{pmatrix} x & -y \\ 0 & x \end{pmatrix}} & R^2 & \xrightarrow{\begin{pmatrix} x & y \\ 0 & x \end{pmatrix}} & R^2 & \xrightarrow{\begin{pmatrix} x & -y \\ 0 & x \end{pmatrix}} & R^2 & \xrightarrow{\begin{pmatrix} x & y \\ 0 & x \end{pmatrix}} & R^2 & \longrightarrow & \cdots \\
& & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
& & \begin{pmatrix} 1 & 0 \\ 0 & 0 \\ 0 & 1 \end{pmatrix} & & \text{Id}_{R^2} & & (1 \ 0) & & (y \ 0) & & \begin{pmatrix} y & 0 \\ 0 & 0 \end{pmatrix} & & \\
\cdots & \longrightarrow & R^3 & \xrightarrow{\begin{pmatrix} x & 0 & -y \\ 0 & y & x \end{pmatrix}} & R^2 & \xrightarrow{(x \ y)} & R & \xrightarrow{(xy)} & R & \xrightarrow{(x)} & R^2 & \longrightarrow & \cdots
\end{array}$$

Since C is self-dual in this example, that is $C \cong \Sigma^{-1}(C^*)$, the left approximation $[\epsilon_C^*] : C \rightarrow (STC)^*$ takes the form

$$\begin{array}{ccccccccccc}
\cdots & \longrightarrow & R^3 & \xrightarrow{\begin{pmatrix} x & 0 & -y \\ 0 & y & x \end{pmatrix}} & R^2 & \xrightarrow{(x \ y)} & R & \xrightarrow{(xy)} & R & \xrightarrow{(x)} & R^2 & \longrightarrow & \cdots \\
& & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
& & \begin{pmatrix} y & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & & \begin{pmatrix} y & 0 \\ 0 & 0 \end{pmatrix} & & \begin{pmatrix} y \\ 0 \end{pmatrix} & & \begin{pmatrix} 1 \\ 0 \end{pmatrix} & & \text{Id}_{R^2} & & \\
\cdots & \longrightarrow & R^2 & \xrightarrow{\begin{pmatrix} x & 0 \\ -y & x \end{pmatrix}} & R^2 & \xrightarrow{\begin{pmatrix} x & 0 \\ y & x \end{pmatrix}} & R^2 & \xrightarrow{\begin{pmatrix} x & 0 \\ -y & x \end{pmatrix}} & R^2 & \xrightarrow{\begin{pmatrix} x & 0 \\ y & x \end{pmatrix}} & R^2 & \longrightarrow & \cdots
\end{array}$$

Approximations may be trivial, in particular, when the projective dimension of $\text{Im } \partial_0^C$ is finite over Q , as is the case in the next example.

Example 4.4. Let $R = k[x, y]/(x^2, y^2)$ and C the totally acyclic R -complex with $\text{Im } \partial_0^C = Ry$:

$$C : \cdots \rightarrow R \xrightarrow{(y)} R \xrightarrow{(y)} R \xrightarrow{(y)} R \xrightarrow{(y)} R \rightarrow \cdots$$

Then for $Q = k[x, y]/(x^2)$, $\text{pd}_Q \text{Im } \partial_0^C < \infty$ and the approximation is $[\epsilon_C] : 0 \rightarrow C$.

Recall (from [AuSm], for example) that a morphism $X \xrightarrow{\epsilon} C$ is called *right minimal* if for every morphism $X \xrightarrow{f} X$ such that $\epsilon f = \epsilon$, we have that f is an isomorphism. We now point out that the right approximation $[\epsilon_C] : STC \rightarrow C$ may or may not be right minimal.

Proposition 4.5. *Suppose that $D \in \mathbf{K}_{\text{tac}}(Q)$. Then $[\epsilon_{SD}] : STSD \rightarrow SD$ is not a minimal approximation.*

Proof. Let K be a Q -free resolution of R . As described in the proof of 3.1, $\epsilon_{SD} : STSD \rightarrow SD$ takes the first component of $STSD \simeq \bigoplus_{i=0}^c SD_{n-i} \otimes_Q SK_i$ to SD_n for all $n \in \mathbb{Z}$. Thus taking as $[f] : STSD \rightarrow STSD$ the morphism sending $SD_n \otimes_Q SK_0$ to itself and everything else to zero, we have $[\epsilon_{SD}] \circ [f] = [\epsilon_{SD}]$ and $[f]$ is not an isomorphism in $\mathbf{K}_{\text{tac}}(R)$. \square

Example 4.6. Let $R = k[x, y]/(x^2, y^2)$ and C the totally acyclic complex

$$C : \cdots \rightarrow R \xrightarrow{x} R \xrightarrow{x} R \xrightarrow{x} R \rightarrow \cdots$$

Then $M = \text{Im } \partial_0^C = Rx$ and a free resolution of M over $Q = k[x, y]/(x^2)$ is given by

$$\cdots \rightarrow Q^2 \xrightarrow{\begin{pmatrix} x & -y^2 \\ 0 & x \end{pmatrix}} Q^2 \xrightarrow{\begin{pmatrix} x & y^2 \\ 0 & x \end{pmatrix}} Q^2 \xrightarrow{\begin{pmatrix} x & -y^2 \\ 0 & x \end{pmatrix}} Q^2 \xrightarrow{\begin{pmatrix} x & y^2 \\ 0 & x \end{pmatrix}} Q \rightarrow 0$$

Thus STC takes the form

$$\dots \rightarrow R^2 \xrightarrow{\begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix}} R^2 \xrightarrow{\begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix}} R^2 \xrightarrow{\begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix}} R^2 \rightarrow \dots$$

and $\epsilon_C : STC \rightarrow C$ is given by $(\epsilon_C)_n = (1 \ 0)$ for all n . This is not a minimal right approximation. Indeed, consider the morphism $f : STC \rightarrow STC$ given by $f_n = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$. Then one has $\epsilon_C f = \epsilon_C$ and f is not a homotopy equivalence.

4.7. Approximations by period 2 complexes. Recall that a local ring Q is a *hypersurface ring* if Q is the quotient of a regular local ring by a principal ideal; hypersurface rings are Gorenstein. In this case, Eisenbud [Ei] has shown that totally acyclic complexes are always periodic of period at most two. Thus in the setup where Q is a hypersurface (and, as always, that $\text{pd}_Q R < \infty$ via $\varphi : Q \rightarrow R$), our approximations compare nonperiodic totally acyclic complexes with those of period two. This setup occurs when R has an embedded deformation [Av].

We next state a few results for later reference. They have to do with compositions of approximations, in two different senses.

Proposition 4.8. *Consider a sequence of finite local ring homomorphisms*

$$Q \xrightarrow{\varphi} R' \xrightarrow{\psi} R$$

such that Q and R' are Gorenstein, $\text{pd}_Q R' < \infty$, and $\text{pd}_{R'} R < \infty$. Then $S_{\psi\varphi}$ and $T_{\psi\varphi}$ are naturally isomorphic to $S_\psi S_\varphi$ and $T_\psi T_\varphi$, respectively.

Proof. This follows from the fact that the assertion is clear for the S functors, and from uniqueness of adjoints. \square

4.9. Upon computing the right approximation $[\epsilon_C] : STC \rightarrow C$, one may iterate this process. Indeed, complete $[\epsilon_C]$ to a triangle in $\mathbf{K}_{\text{tac}}(R)$ and rotate it to obtain

$$\Sigma^{-1} \text{cone}([\epsilon_C]) \rightarrow STC \rightarrow C \rightarrow .$$

Now compute a right approximation of $\Sigma^{-1}STC$, and repeat. One then obtains a sequence of maps in $\mathbf{K}_{\text{tac}}(R)$:

$$\mathbf{B} : \dots \rightarrow B_3 \rightarrow B_2 \rightarrow B_1 \rightarrow B_0 \rightarrow C$$

where B_0 is a right approximation of C , B_1 is a right approximation of $\Sigma^{-1} \text{cone}(B_0 \rightarrow C)$, etc. Note that since composing two consecutive maps in an exact triangle is the zero map, one has that the same holds for the maps in \mathbf{B} .

Proposition 4.10. *Let $\varphi : Q \rightarrow R$ be a surjective local homomorphism of Gorenstein rings with $\text{pd}_Q R = 1$, and let $C \in \mathbf{K}_{\text{tac}}(R)$. If $[\epsilon_C] : STC \rightarrow C$ is the right approximation of C , then $\text{cone}([\epsilon_C])$ is isomorphic to $\Sigma^2 C$.*

Proof. By the assumptions of the proposition, we have that $R = Q/(x)$ for some nonzerodivisor x contained in the maximal ideal of Q . Now let $t : C \rightarrow \Sigma^2 C$ be the cohomology operator defined by the embedded deformation $R = Q/(x)$ (see [Av]). Then one can show that we have an exact triangle

$$C \xrightarrow{t} \Sigma^2 C \rightarrow \Sigma STC \rightarrow$$

where the last arrow is the approximation map $\Sigma[\epsilon_C]$. Therefore, after rotation we have

$$STC \xrightarrow{[\epsilon_C]} C \xrightarrow{t} \Sigma^2 C \rightarrow$$

which proves the claim. \square

The following proposition follows from the previous one.

Proposition 4.11. *Let $\varphi : Q \rightarrow R$ be a surjective local homomorphism of Gorenstein rings with $\mathrm{pd}_Q R = 1$, and let $C \in \mathbf{K}_{\mathrm{tac}}(R)$. Then the triangle resolution, as in 4.9, of C in $\mathbf{K}_{\mathrm{tac}}(R)$ with respect to $\mathbf{K}_{\mathrm{tac}}(Q)$ has the form*

$$\cdots \rightarrow \Sigma^{-2}STC \rightarrow \Sigma^{-1}STC \rightarrow STC \rightarrow C.$$

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