

PHY 711 Classical Mechanics and  
Mathematical Methods  
10-10:50 AM MWF Olin 103

Plan for Lecture 16:

Continue reading Chapter 4

Normal modes for 2 and 3  
dimensional systems

1. Finite systems

2. Periodic systems

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Course schedule

(Preliminary schedule -- subject to frequent adjustment) rrr>

| Date               | F&W Reading | Topic                               | Assignment         |
|--------------------|-------------|-------------------------------------|--------------------|
| 1 Wed, 8/27/2014   | Chap. 1     | Review of basic principles          | #1                 |
| 2 Fri, 8/29/2014   | Chap. 1     | Scattering theory                   | #2                 |
| 3 Mon, 9/01/2014   | Chap. 1     | Scattering theory continued         | #3                 |
| 4 Wed, 9/03/2014   | Chap. 2     | Accelerated coordinate systems      | #4                 |
| 5 Fri, 9/05/2014   | Chap. 3     | Calculus of variations              | #5                 |
| 6 Mon, 9/08/2014   | Chap. 3     | Calculus of variations              | #6                 |
| 7 Wed, 9/10/2014   | Chap. 3     | Hamilton's principle                | #7                 |
| 8 Fri, 9/12/2014   | Chap. 3 & 6 | Hamilton's principle                | #8                 |
| 9 Mon, 9/15/2014   | Chap. 3 & 6 | Lagrangians with constraints        | #9                 |
| 10 Wed, 9/17/2014  | Chap. 3 & 6 | Lagrangians and constants of motion | #10                |
| 11 Fri, 9/19/2014  | Chap. 3 & 6 | Hamiltonian formalism               | #11                |
| 12 Mon, 9/22/2014  | Chap. 3 & 6 | Hamiltonian formalism               | #11                |
| 13 Wed, 9/24/2014  | Chap. 3 & 6 | Hamiltonian Jacobi transformations  |                    |
| 14 Fri, 9/26/2014  | Chap. 4     | Small oscillations                  | Begin Take-Home    |
| 15 Mon, 9/29/2014  | Chap. 4     | Normal modes of motion              | Continue Take-Home |
| 16 Wed, 10/01/2014 | Chap. 4     | Normal modes of motion              | Continue Take-Home |
| 17 Fri, 10/03/2014 | Chap. 4     | Normal modes of motion              | Take-Home due      |

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Department of Physics

**News**

[Randall D. Ledford Scholarship](#)  
in Physics



[Andrea Belanger Awarded](#)  
Poster Prize



[Ryan Godwin Awarded](#)  
Predoctoral Fellowship

**Events**

Wed, Oct 1, 2014  
**Physics Colloquium:**  
Multiferroic BiFeO<sub>3</sub>  
Dr. Dixt. ORNL  
Olin 101 4:00 PM  
Refreshments at 3:30 PM  
Olin Lobby

Wed, Oct 8, 2014  
**Physics Colloquium:**  
Granular Physics  
Prof. M. Shaluck\*, CUNY  
Olin 101 4:00 PM  
Refreshments at 3:30 PM  
Olin Lobby  
\*WFU alum

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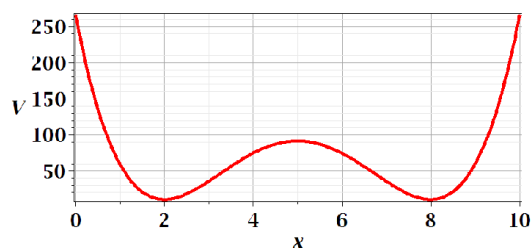
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Motivation for studying small oscillations – many interacting systems have stable and meta-stable configurations which are well approximated by:

$$V(x) \approx V(x_{eq}) + \frac{1}{2}(x - x_{eq})^2 \left. \frac{d^2V}{dx^2} \right|_{x_{eq}} = V(x_{eq}) + \frac{1}{2}k(x - x_{eq})^2$$



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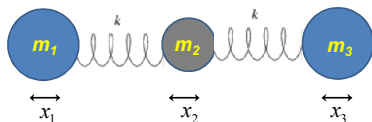
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Example – linear molecule



$$L = \frac{1}{2}m_1\dot{x}_1^2 + \frac{1}{2}m_2\dot{x}_2^2 + \frac{1}{2}m_3\dot{x}_3^2 - \frac{1}{2}k(x_2 - x_1)^2 - \frac{1}{2}k(x_3 - x_2)^2$$

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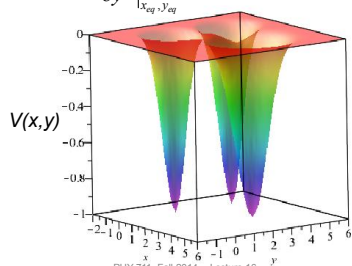
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Potential in 2 and more dimensions

$$V(x, y) \approx V(x_{eq}, y_{eq}) + \frac{1}{2}(x - x_{eq})^2 \left. \frac{\partial^2 V}{\partial x^2} \right|_{x_{eq}, y_{eq}} + \frac{1}{2}(y - y_{eq})^2 \left. \frac{\partial^2 V}{\partial y^2} \right|_{x_{eq}, y_{eq}} + (x - x_{eq})(y - y_{eq}) \left. \frac{\partial^2 V}{\partial x \partial y} \right|_{x_{eq}, y_{eq}}$$



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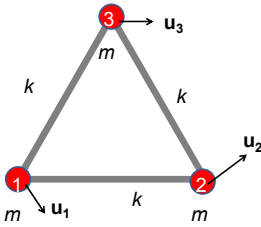
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Example – normal modes of a system with the symmetry of an equilateral triangle



Degrees of freedom for  
2-dimensional motion:  
 $2N = 6$

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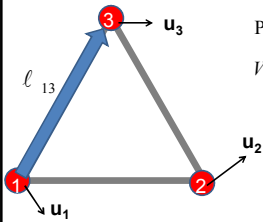
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Example – normal modes of a system with the symmetry of an equilateral triangle -- continued



Potential contribution for spring 13:

$$\begin{aligned} V_{13} &= \frac{1}{2} k (|\ell_{13} + \mathbf{u}_3 - \mathbf{u}_1| - |\ell_{13}|)^2 \\ &\approx \frac{1}{2} k \left( \frac{\ell_{13} \cdot (\mathbf{u}_3 - \mathbf{u}_1)}{|\ell_{13}|} \right)^2 \\ &\approx \frac{1}{2} k \left( \frac{1}{2} (u_{x3} - u_{x1}) + \frac{\sqrt{3}}{2} (u_{y3} - u_{y1}) \right)^2 \end{aligned}$$

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Example – normal modes of a system with the symmetry of an equilateral triangle -- continued

Potential contributions:  $V = V_{12} + V_{13} + V_{23}$

$$\begin{aligned} &\approx \frac{1}{2} k \left( \frac{\ell_{12} \cdot (\mathbf{u}_2 - \mathbf{u}_1)}{|\ell_{12}|} \right)^2 + \frac{1}{2} k \left( \frac{\ell_{13} \cdot (\mathbf{u}_3 - \mathbf{u}_1)}{|\ell_{13}|} \right)^2 \\ &\quad + \frac{1}{2} k \left( \frac{\ell_{23} \cdot (\mathbf{u}_3 - \mathbf{u}_2)}{|\ell_{23}|} \right)^2 \\ &\approx \frac{1}{2} k (u_{x2} - u_{x1})^2 \\ &\quad + \frac{1}{2} k \left( \frac{1}{2} (u_{x3} - u_{x1}) + \frac{\sqrt{3}}{2} (u_{y3} - u_{y1}) \right)^2 \\ &\quad + \frac{1}{2} k \left( \frac{1}{2} (u_{x2} - u_{x3}) - \frac{\sqrt{3}}{2} (u_{y2} - u_{y3}) \right)^2 \end{aligned}$$

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Example – normal modes of a system with the symmetry of an equilateral triangle -- continued

$$\frac{k}{m} \begin{bmatrix} \frac{5}{4} & -1 & -\frac{1}{4} & \frac{1}{4}\sqrt{3} & 0 & -\frac{1}{4}\sqrt{3} \\ -1 & \frac{5}{4} & -\frac{1}{4} & 0 & -\frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} \\ \frac{1}{4} & \frac{1}{4} & \frac{1}{2} & \frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} & 0 \\ \frac{1}{4}\sqrt{3} & 0 & -\frac{1}{4}\sqrt{3} & \frac{3}{4} & 0 & -\frac{3}{4} \\ 0 & -\frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} & 0 & \frac{3}{4} & -\frac{3}{4} \\ \frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} & 0 & \frac{3}{4} & \frac{3}{4} & \frac{3}{2} \end{bmatrix} \begin{bmatrix} u_{x1} \\ u_{x2} \\ u_{x3} \\ u_{y1} \\ u_{y2} \\ u_{y3} \end{bmatrix} = \omega^2 \begin{bmatrix} u_{x1} \\ u_{x2} \\ u_{x3} \\ u_{y1} \\ u_{y2} \\ u_{y3} \end{bmatrix}$$

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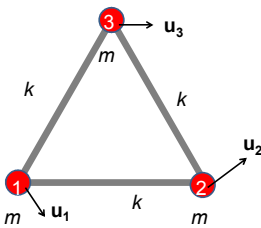
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Example – normal modes of a system with the symmetry of an equilateral triangle -- continued



$$\omega^2 = \begin{bmatrix} 3 \\ \frac{3}{2} \\ \frac{3}{2} \\ 0 \\ 0 \\ 0 \end{bmatrix} \frac{k}{m}$$

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3-dimensional periodic lattices

Example – face-centered-cubic unit cell (Al or Ni)

Diagram of atom positions

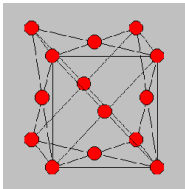
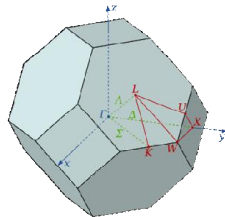


Diagram of q-space  $\nu(q)$



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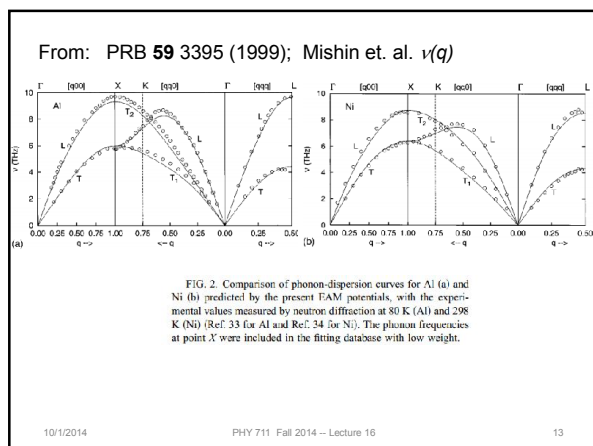
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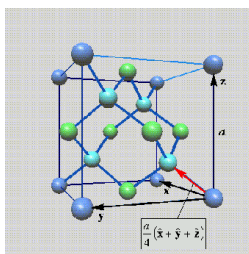
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### Lattice vibrations for 3-dimensional lattice

Example: diamond lattice



Ref: [http://phycomp.technion.ac.il/~nika/diamond\\_structure.html](http://phycomp.technion.ac.il/~nika/diamond_structure.html)

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Atoms located at the positions :

$$\mathbf{R}^a = \mathbf{R}_0^a + \mathbf{u}^a$$

Potential energy function near equilibrium :

$$U(\{\mathbf{R}^a\}) \approx U(\{\mathbf{R}_0^a\}) + \frac{1}{2} \sum_{a,b} (\mathbf{R}^a - \mathbf{R}_0^a) \cdot \left. \frac{\partial^2 U}{\partial \mathbf{R}^a \partial \mathbf{R}^b} \right|_{\{\mathbf{R}_0^a\}} (\mathbf{R}^b - \mathbf{R}_0^b)$$

Define :

$$D_{jk}^{ab} \equiv \left. \frac{\partial^2 U}{\partial \mathbf{R}_j^a \partial \mathbf{R}_k^b} \right|_{\{\mathbf{R}_0^a\}}$$

so that

$$U(\{\mathbf{R}^a\}) \approx U_0 + \frac{1}{2} \sum_{a,b,j,k} u_j^a D_{jk}^{ab} u_k^b$$

$$L(\{u_j^a, \dot{u}_j^a\}) = \frac{1}{2} \sum_{a,j} m_a (\dot{u}_j^a)^2 - U_0 - \frac{1}{2} \sum_{a,b,j,k} u_j^a D_{jk}^{ab} u_k^b$$

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$$L(\{u_j^a, \dot{u}_j^a\}) = \frac{1}{2} \sum_{a,j} m_a (\dot{u}_j^a)^2 - U_0 - \frac{1}{2} \sum_{a,b,j,k} u_j^a D_{jk}^{ab} u_k^b$$

Equations of motion :

$$m_a \ddot{u}_j^a = - \sum_{b,k} D_{jk}^{ab} u_k^b$$

Solution form :

$$u_j^a(t) = \frac{1}{\sqrt{m_a}} A_j^a e^{-i\omega t + i\mathbf{q} \cdot \mathbf{R}_j^a}$$

Details:  $\mathbf{R}_0^a = \boldsymbol{\tau}^a + \mathbf{T}$  where  $\boldsymbol{\tau}^a$  denotes  
unique sites and  
 $\mathbf{T}$  denotes replicas

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Define:

$$W_{jk}^{ab}(\mathbf{q}) = \sum_{\mathbf{T}} \frac{D_{jk}^{ab} e^{i\mathbf{q} \cdot (\boldsymbol{\tau}^a - \boldsymbol{\tau}^b)}}{\sqrt{m_a m_b}} e^{i\mathbf{q} \cdot \mathbf{T}}$$

Eigenvalue equations:

$$\omega^2 A_j^a = \sum_{b,k} W(\mathbf{q})_{jk}^{ab} A_k^b$$

In this equation the summation is only over  
unique atomic sites.

$\Rightarrow$  Find "dispersion curves"  $\omega(\mathbf{q})$

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B. P. Pandey and B.  
Dayal, J. Phys. C.  
Solid State Phys. 6  
2943 (1973)

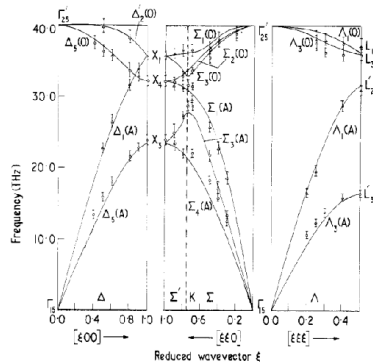


Figure 2. Phonon dispersion curves of diamond. Experimental points  
et al (1965, 1967).  $\Delta$  and  $\circ$  represent the longitudinal and transverse m

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