

**PHY 711 Classical Mechanics and
Mathematical Methods**

11-11:50 AM MWF Olin 107
(shifted to 9-9:50 AM for 10/4/2016)

Plan for Lecture 14:

Continue reading Chapter 4

- 1. Normal modes for extended one-dimensional systems**
- 2. Normal modes for 2 and 3 dimensional systems**

10/04/2016

PHY 711 Fall 2016 -- Lecture 16

1

3	Wed, 9/07/2016	Chap. 1	Scattering theory	#3	9/9/2016
4	Fri, 9/09/2016	Chap. 1 & 2	Scattering theory and rotations	#4	9/12/2016
5	Mon, 9/12/2016	Chap. 3	Calculus of variations	#5	9/14/2016
6	Wed, 9/14/2016	Chap. 3	Calculus of variations	#6	9/16/2016
7	Fri, 9/16/2016	Chap. 3	Lagrangian mechanics	#7	9/19/2016
8	Mon, 9/19/2016	Chap. 3 and 6	Lagrangian mechanics and constraints	#8	9/21/2016
9	Wed, 9/21/2016	Chap. 3 and 6	Constants of the motion	#9	9/23/2016
10	Fri, 9/23/2016	Chap. 3 and 6	Hamiltonian and canonical equations of motion	#10	9/26/2016
11	Mon, 9/26/2016	Chap. 3 and 6	Phase space	#11	9/28/2016
12	Wed, 9/28/2016	Chap. 6	Canonical transformations	#12	9/30/2016
13	Fri, 9/30/2016	Chap. 4	Small oscillations	#13	10/04/2016
14	Tue, 10/04/2016	Chap. 4	Normal modes	#14	10/07/2016
15	Wed, 10/05/2016	Chap. 7	Wave motion in one dimension	#15	10/07/2016
16	Fri, 10/07/2016	Chap. 7			
17	Mon, 10/10/2016	Chap. 7			Take-home exam
18	Wed, 10/12/2016				Take-home exam
19	Fri, 10/14/2016				Take-home exam
20	Mon, 10/17/2016				Exam due
21	Wed, 10/19/2016				
	Fri, 10/21/2016		Fall break -- no class		
22	Mon, 10/24/2016				

10/04/2016


PHY 711 Fall 2016 -- Lecture 16

2


OREST
ITY

Department of Physics


News



Congratulations to Dr. Wealin Zolotarev, recent Ph.D. Recipient



Ryan Meritt Awarded Postdoctoral Fellowship



Congratulations to Dr. Katelyn Bault, recent Ph.D. Recipient

Events

Wed, Oct. 5, 2016
Modeling of the Interface and Interphases in Li-Ion Batteries
Professor Yue Qi, Michigan State University
4:00pm - Olin 101
Refreshments served
1:30pm - Olin Lounge

10/04/2016

PHY 711 Fall 2016 -- Lecture 16

3

For $m_1 = m_3 \equiv m_o$
and $m_2 \equiv m_c$

$\omega_1 = 0$

$\omega_2 = \sqrt{\frac{k}{m_c}}$

$\omega_3 = \sqrt{\frac{k}{m_o} + \frac{2k}{m_c}}$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 7

Consider an extended system of masses and springs:

Note: each mass coordinate is measured relative to its equilibrium position x_i^0

$$L = T - V = \frac{1}{2} m \sum_{i=1}^N \dot{x}_i^2 - \frac{1}{2} k \sum_{i=0}^N (x_{i+1} - x_i)^2$$

Note: In fact, we have N masses; x_0 and x_{N+1} will be treated using boundary conditions.

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 8

$$L = T - V = \frac{1}{2} m \sum_{i=1}^N \dot{x}_i^2 - \frac{1}{2} k \sum_{i=0}^N (x_{i+1} - x_i)^2$$

$x_0 \equiv 0$ and $x_{N+1} \equiv 0$

From Euler - Lagrange equations :

$m\ddot{x}_1 = k(x_2 - 2x_1)$

$m\ddot{x}_2 = k(x_3 - 2x_2 + x_1)$

.....

$m\ddot{x}_i = k(x_{i+1} - 2x_i + x_{i-1})$

.....

$m\ddot{x}_N = k(x_{N-1} - 2x_N)$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 9

From Euler - Lagrange equations :

$$m\ddot{x}_j = k(x_{j+1} - 2x_j + x_{j-1}) \quad \text{with } x_0 = 0 = x_{N+1}$$

$$\text{Try: } x_j(t) = Ae^{-i\omega t + iqa_j}$$

$$-\omega^2 Ae^{-i\omega t + iqa_j} = \frac{k}{m} (e^{iqa} - 2 + e^{-iqa}) Ae^{-i\omega t + iqa_j}$$

$$-\omega^2 = \frac{k}{m} (2\cos(qa) - 2)$$

$$\Rightarrow \omega^2 = \frac{4k}{m} \sin^2\left(\frac{qa}{2}\right)$$

10/04/2016

PHY 711 Fall 2016 -- Lecture 16

10

From Euler - Lagrange equations -- continued :

$$m\ddot{x}_j = k(x_{j+1} - 2x_j + x_{j-1}) \quad \text{with } x_0 = 0 = x_{N+1}$$

$$\text{Try: } x_j(t) = Ae^{-i\omega t + iqa_j} \quad \Rightarrow \omega^2 = \frac{4k}{m} \sin^2\left(\frac{qa}{2}\right)$$

$$\text{Note that: } x_j(t) = Be^{-i\omega t - iqa_j} \quad \Rightarrow \omega^2 = \frac{4k}{m} \sin^2\left(\frac{qa}{2}\right)$$

General solution :

$$x_j(t) = \Re(Ae^{-i\omega t + iqa_j} + Be^{-i\omega t - iqa_j})$$

Impose boundary conditions :

$$x_0(t) = \Re(Ae^{-i\omega t} + Be^{-i\omega t}) = 0$$

$$x_{N+1}(t) = \Re(Ae^{-i\omega t + iqa(N+1)} + Be^{-i\omega t - iqa(N+1)}) = 0$$

10/04/2016

PHY 711 Fall 2016 -- Lecture 16

11

Impose boundary conditions -- continued :

$$x_0(t) = \Re(Ae^{-i\omega t} + Be^{-i\omega t}) = 0$$

$$x_{N+1}(t) = \Re(Ae^{-i\omega t + iqa(N+1)} + Be^{-i\omega t - iqa(N+1)}) = 0$$

$$\Rightarrow B = -A$$

$$x_{N+1}(t) = \Re(Ae^{-i\omega t} (e^{iqa(N+1)} - e^{-iqa(N+1)})) = 0$$

$$\Rightarrow \sin(qa(N+1)) = 0$$

$$\Rightarrow qa(N+1) = \nu\pi \quad \text{where } \nu = 0, 1, 2, \dots$$

$$qa = \frac{\nu\pi}{N+1}$$

10/04/2016

PHY 711 Fall 2016 -- Lecture 16

12

Recap -- solution for integer parameter ν

$$x_j(t) = \Re \left(2iAe^{-i\omega_\nu t} \sin \left(\frac{\nu \pi j}{N+1} \right) \right)$$

$$\omega_\nu^2 = \frac{4k}{m} \sin^2 \left(\frac{\nu \pi}{2(N+1)} \right)$$

Note that non-trivial, unique values are

$$\nu = 1, 2, \dots, N$$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 13

Example for $N=4$:

$$\omega_\nu = \sqrt{\frac{4k}{m}} \left| \sin \left(\frac{\nu \pi}{2(N+1)} \right) \right|$$

Note that solution form remains correct for $N \rightarrow \infty$

$$\omega(qa) = \sqrt{4k/m} \left| \sin(qa) \right|$$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 14

For extended chain without boundaries:

From Euler-Lagrange equations:

$$m\ddot{x}_j = k(x_{j+1} - 2x_j + x_{j-1}) \quad \text{for all } x_j$$

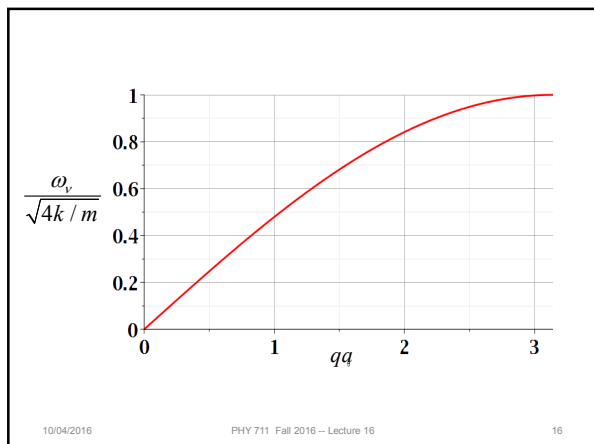
Try: $x_j(t) = Ae^{-i\omega t + iqaj}$

$$-\omega^2 Ae^{-i\omega t + iqaj} = \frac{k}{m} (e^{iqa} - 2 + e^{-iqa}) Ae^{-i\omega t + iqaj}$$

$$-\omega^2 = \frac{k}{m} (2\cos(qa) - 2)$$

$$\Rightarrow \omega^2 = \frac{4k}{m} \sin^2 \left(\frac{qa}{2} \right) \quad \text{distinct values for } 0 \leq qa \leq \pi$$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 15



Consider an infinite system of masses and springs now with two kinds of masses:

$x_i \quad y_i \quad x_{i+1} \quad y_{i+1} \quad x_{i+2}$

Note: each mass coordinate is measured relative to its equilibrium position x_i^0, y_i^0, \dots

$$L = T - V$$

$$= \frac{1}{2} m \sum_{i=0}^{\infty} \dot{x}_i^2 + \frac{1}{2} M \sum_{i=0}^{\infty} \dot{y}_i^2 - \frac{1}{2} k \sum_{i=0}^{\infty} (x_{i+1} - x_i)^2 - \frac{1}{2} k \sum_{i=0}^{\infty} (y_i - x_i)^2$$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 17

$$L = T - V$$

$$= \frac{1}{2} m \sum_{i=0}^{\infty} \dot{x}_i^2 + \frac{1}{2} M \sum_{i=0}^{\infty} \dot{y}_i^2 - \frac{1}{2} k \sum_{i=0}^{\infty} (x_{i+1} - x_i)^2 - \frac{1}{2} k \sum_{i=0}^{\infty} (y_i - x_i)^2$$

Euler - Lagrange equations :

$$m\ddot{x}_j = k(y_{j-1} - 2x_j + y_j)$$

$$M\ddot{y}_j = k(x_j - 2y_j + x_{j+1})$$

Trial solution :

$$x_j(t) = A e^{-i\omega t + i2qa_j}$$

$$y_j(t) = B e^{-i\omega t + i2qa_j}$$

$$\begin{pmatrix} m\omega^2 - 2k & k(e^{-i2qa} + 1) \\ k(e^{i2qa} + 1) & M\omega^2 - 2k \end{pmatrix} \begin{pmatrix} A \\ B \end{pmatrix} = 0$$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 18

$$\begin{pmatrix} m\omega^2 - 2k & k(e^{-i2qa} + 1) \\ k(e^{i2qa} + 1) & M\omega^2 - 2k \end{pmatrix} \begin{pmatrix} A \\ B \end{pmatrix} = 0$$

Solutions :

$$\omega_{\pm}^2 = \frac{k}{m} + \frac{k}{M} \pm k \sqrt{\frac{1}{m^2} + \frac{1}{M^2} + \frac{2\cos(2qa)}{mM}}$$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 19

Eigenvectors:

For $qa = 0$:

$$\omega_- = 0 \quad \omega_+ = \sqrt{\frac{2k}{m} + \frac{2k}{M}}$$

$$\begin{pmatrix} A \\ B \end{pmatrix}_- = N \begin{pmatrix} 1 \\ 1 \end{pmatrix} \quad \begin{pmatrix} A \\ B \end{pmatrix}_+ = N \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

For $qa = \frac{\pi}{2}$:

$$\omega_- = \sqrt{\frac{2k}{M}} \quad \omega_+ = \sqrt{\frac{2k}{m}}$$

$$\begin{pmatrix} A \\ B \end{pmatrix}_- = N \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \begin{pmatrix} A \\ B \end{pmatrix}_+ = N \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

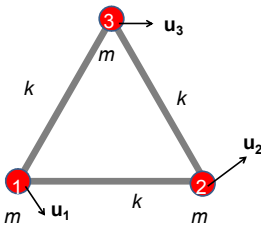
10/04/2016 PHY 711 Fall 2016 -- Lecture 16 20

Potential in 2 and more dimensions

$$V(x, y) \approx V(x_{eq}, y_{eq}) + \frac{1}{2}(x - x_{eq})^2 \frac{\partial^2 V}{\partial x^2} \Big|_{x_{eq}, y_{eq}} + \frac{1}{2}(y - y_{eq})^2 \frac{\partial^2 V}{\partial y^2} \Big|_{x_{eq}, y_{eq}} + (x - x_{eq})(y - y_{eq}) \frac{\partial^2 V}{\partial x \partial y} \Big|_{x_{eq}, y_{eq}}$$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 21

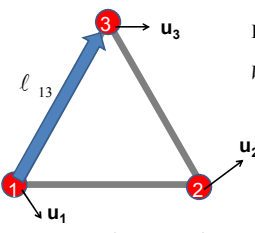
Example – normal modes of a system with the symmetry of an equilateral triangle



Degrees of freedom for 2-dimensional motion:
 $2N = 6$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 22

Example – normal modes of a system with the symmetry of an equilateral triangle -- continued



Potential contribution for spring 13:

$$V_{13} = \frac{1}{2}k(|\ell_{13} + \mathbf{u}_3 - \mathbf{u}_1| - |\ell_{13}|)^2$$

$$\approx \frac{1}{2}k \left(\frac{\ell_{13} \cdot (\mathbf{u}_3 - \mathbf{u}_1)}{|\ell_{13}|} \right)^2$$

$$\approx \frac{1}{2}k \left(\frac{1}{2}(u_{x3} - u_{x1}) + \frac{\sqrt{3}}{2}(u_{y3} - u_{y1}) \right)^2$$

$$\ell_{13} = |\ell_{13}| \left(\frac{1}{2}\hat{x} + \frac{\sqrt{3}}{2}\hat{y} \right)$$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 23

Example – normal modes of a system with the symmetry of an equilateral triangle -- continued

Potential contributions: $V = V_{12} + V_{13} + V_{23}$

$$\approx \frac{1}{2}k \left(\frac{\ell_{12} \cdot (\mathbf{u}_2 - \mathbf{u}_1)}{|\ell_{12}|} \right)^2 + \frac{1}{2}k \left(\frac{\ell_{13} \cdot (\mathbf{u}_3 - \mathbf{u}_1)}{|\ell_{13}|} \right)^2$$

$$+ \frac{1}{2}k \left(\frac{\ell_{23} \cdot (\mathbf{u}_3 - \mathbf{u}_2)}{|\ell_{23}|} \right)^2$$

$$\approx \frac{1}{2}k(u_{x2} - u_{x1})^2$$

$$+ \frac{1}{2}k \left(\frac{1}{2}(u_{x3} - u_{x1}) + \frac{\sqrt{3}}{2}(u_{y3} - u_{y1}) \right)^2$$

$$+ \frac{1}{2}k \left(\frac{1}{2}(u_{x2} - u_{x3}) - \frac{\sqrt{3}}{2}(u_{y2} - u_{y3}) \right)^2$$

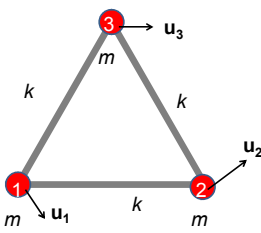
10/04/2016 PHY 711 Fall 2016 -- Lecture 16 24

Example – normal modes of a system with the symmetry of an equilateral triangle -- continued

$$\frac{k}{m} \begin{bmatrix} \frac{5}{4} & -1 & -\frac{1}{4} & \frac{1}{4}\sqrt{3} & 0 & -\frac{1}{4}\sqrt{3} \\ -1 & \frac{5}{4} & -\frac{1}{4} & 0 & -\frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} \\ -\frac{1}{4} & -\frac{1}{4} & \frac{1}{2} & -\frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} & 0 \\ \frac{1}{4}\sqrt{3} & 0 & -\frac{1}{4}\sqrt{3} & \frac{3}{4} & 0 & -\frac{3}{4} \\ 0 & -\frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} & 0 & \frac{3}{4} & -\frac{3}{4} \\ -\frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} & 0 & -\frac{3}{4} & -\frac{3}{4} & \frac{3}{2} \end{bmatrix} \begin{bmatrix} u_{x1} \\ u_{x2} \\ u_{x3} \\ u_{y1} \\ u_{y2} \\ u_{y3} \end{bmatrix} = \omega^2 \begin{bmatrix} u_{x1} \\ u_{x2} \\ u_{x3} \\ u_{y1} \\ u_{y2} \\ u_{y3} \end{bmatrix}$$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 25

Example – normal modes of a system with the symmetry of an equilateral triangle -- continued



$$\omega^2 = \begin{bmatrix} 3 \\ \frac{3}{2} \\ \frac{3}{2} \\ 0 \\ 0 \\ 0 \end{bmatrix} \frac{k}{m}$$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 26

3-dimensional periodic lattices
Example – face-centered-cubic unit cell (Al or Ni)

Diagram of atom positions

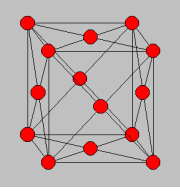
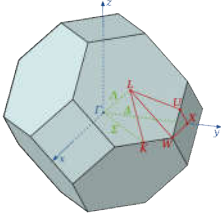
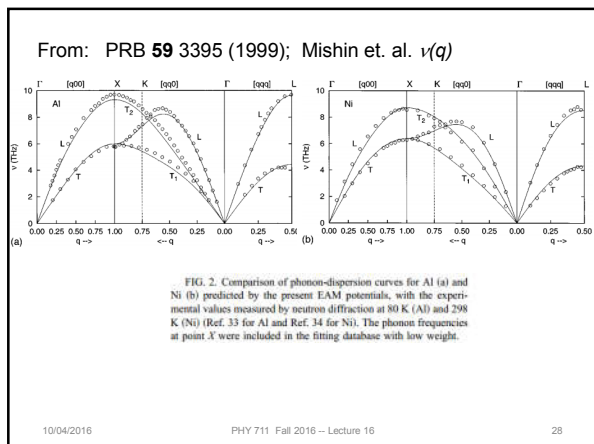
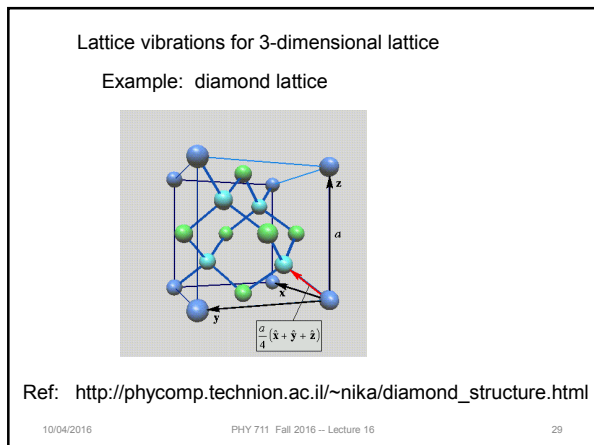


Diagram of q-space $\nu(q)$



10/04/2016 PHY 711 Fall 2016 -- Lecture 16 27





Atoms located at the positions:

$$\mathbf{R}^a = \mathbf{R}_0^a + \mathbf{u}^a$$

Potential energy function near equilibrium:

$$U(\{\mathbf{R}^a\}) \approx U(\{\mathbf{R}_0^a\}) + \frac{1}{2} \sum_{a,b} (\mathbf{R}^a - \mathbf{R}_0^a) \cdot \left. \frac{\partial^2 U}{\partial \mathbf{R}^a \partial \mathbf{R}^b} \right|_{\{\mathbf{R}_0^a\}} (\mathbf{R}^b - \mathbf{R}_0^b)$$

Define:

$$D_{jk}^{ab} \equiv \left. \frac{\partial^2 U}{\partial \mathbf{R}_j^a \partial \mathbf{R}_k^b} \right|_{\{\mathbf{R}_0^a\}}$$

so that

$$U(\{\mathbf{R}^a\}) \approx U_0 + \frac{1}{2} \sum_{a,b,j,k} u_j^a D_{jk}^{ab} u_k^b$$

$$I(u_j^a, u_j^a) = \frac{1}{2} \sum_{a,j} m_a (u_j^a)^2 - U_0 - \frac{1}{2} \sum_{a,b,j,k} u_j^a D_{jk}^{ab} u_k^b$$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 30

$$L(\{u_j^a, \dot{u}_j^a\}) = \frac{1}{2} \sum_{a,j} m_a (\dot{u}_j^a)^2 - U_0 - \frac{1}{2} \sum_{a,b,j,k} u_j^a D_{jk}^{ab} u_k^b$$

Equations of motion :

$$m_a \ddot{u}_j^a = - \sum_{b,k} D_{jk}^{ab} u_k^b$$

Solution form :

$$u_j^a(t) = \frac{1}{\sqrt{m_a}} A_j^a e^{-i\omega t + i\mathbf{q} \cdot \mathbf{R}_j^a}$$

Details: $\mathbf{R}_0^a = \boldsymbol{\tau}^a + \mathbf{T}$ where $\boldsymbol{\tau}^a$ denotes unique sites and \mathbf{T} denotes replicas

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 31

Define:

$$W_{jk}^{ab}(\mathbf{q}) = \sum_{\mathbf{T}} \frac{D_{jk}^{ab} e^{i\mathbf{q} \cdot (\boldsymbol{\tau}^a - \boldsymbol{\tau}^b)}}{\sqrt{m_a m_b}} e^{-i\mathbf{q} \cdot \mathbf{T}}$$

Eigenvalue equations:

$$\omega^2 A_j^a = \sum_{b,k} W(\mathbf{q})_{jk}^{ab} A_k^b$$

In this equation the summation is only over unique atomic sites.
 => Find "dispersion curves" $\omega(\mathbf{q})$

10/04/2016 PHY 711 Fall 2016 -- Lecture 16 32

