PHY 752 Solid State Physics 11-11:50 AM MWF Olin 107

Plan for Lecture 21:

- Electronic transport (Marder Chapter 16-18)
 - > Electron velocity
 - Effective mass
 - > Semi-classical equations of motion

Note: Some of these slides contain material from Marder's

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1	Wed: 03/18/2015	Chap. 16	Electron Transport	#20	03/20/2015
0	Mon: 03/16/2015		Review Mid-term exam	#19	03/18/2015
	Fri: 03/13/2015	Spring break			
	Wed: 03/11/2015	Spring break			
Н	Mon: 03/09/2015	Spring break	(sado modang)		
H	Fri: 03/06/2015	APS Meeting	Take-home exam (no class meeting)		
	Wed: 03/04/2015	APS Meeting	Take-home exam (no class meeting)		
	Mon: 03/02/2015	APS Meetina	Take-home exam (no class meeting)		
	Fri: 02/27/2015	Chap. 1-3,7-10	Review: Take-home exam distributed	# 10	0212112013
	Wed: 02/25/2015	Chap. 10	Electronic structure calculation methods	#18	02/27/2015
	Mon: 02/23/2015	Chap. 10	Electronic structure calculation methods	#17	02/25/2015
	Fri: 02/20/2015	Chap. 10	Electronic structure calculation methods	#16	02/23/2015
	Wed: 02/18/2015	Chap. 10	Electronic structure calculation methods	#15	02/10/2015
	Mon: 02/16/2015	Chap. 10	Electronic structure calculation methods	#14	02/16/2015
	Fri: 02/13/2015	Chap. 9	Electron-electron interactions	#12	02/16/2015
	Wed: 02/11/2015	Chap. 9	Electron-electron interactions	#12	02/11/2015
	Mon: 02/09/2015	Chap. 8 Chap. 9	Electron-electron interactions	#11	02/09/2015
9 10	Wed: 02/04/2015 Fri: 02/06/2015	Chap. 8	Electronic structure; LCAO and tight binding Band structure examples	#9 #10	02/06/2015
8	Mon: 02/02/2015	Chap. 8	Electronic structure; LCAO	#8	02/04/2015

News	Events
Prof. Jurchescu receives 2015 Excellence in Research Award	Wed. Mar. 18, 2015 Physics Colloquium: Understanding Human Disease Prof. Sethupathy, UNC Olin 101 4:00 PM Refreshments at 3:30 PM
Prof. Thonhauser awarded the Reid-Doyle Prize for Excellence In Teaching	Olin Lobby Wed. Mar. 25, 2015 Physics Colloquium: Mechanical Signaling in Cells Prof. Engler, UCSD
Prof Matthews' Studio Course Featured by Wake Forest News	Olin 101 4:00 PM Refreshments at 3:30 PM Olin Lobby Wed. Apr. 1, 2015 Physics Colloquium:
Prof Carroll receives Innovation Award	Molecular Simulation of Nanomaterials Prof. Garofalini, Rutgers Olin 101 4:00 PM Refreshments at 3:30 PM Olin Lobby

WFU Physics Colloquium

TITLE: How next-generation sequencing is used to understand human disease.

SPEAKER: Dr. Praveen Sethupathy,

Department of Genetics University of North Carolina Chapel Hill

TIME: Wednesday March 18, 2015 at 4:00 PM PLACE: Room 101 Olin Physical Laboratory

Refreshments will be served at 3:30 PM in the Olin Lounge. All interested persons are cordially invited to attend.

Persistent infections with hepatitis B virus (HBV) or hepatitis C virus (HCV) account for the majority of cases of hepatic cirrhosis and hepatocellular carcinoma (HCC) worldwide.

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Electron transport for Bloch electrons --

Electron velocity for Bloch electrons

$$\psi_{\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}}u_{\mathbf{k}}(\mathbf{r})$$

$$\left(-\frac{\hbar^{2}}{2m}\nabla^{2} + V(\mathbf{r})\right)\psi_{\mathbf{k}}(\mathbf{r}) = E_{\mathbf{k}}\psi_{\mathbf{k}}(\mathbf{r})$$

$$\left(-\frac{\hbar^{2}}{2m}(\nabla + \mathbf{k})^{2} + V(\mathbf{r})\right)u_{\mathbf{k}}(\mathbf{r}) = E_{\mathbf{k}}u_{\mathbf{k}}(\mathbf{r})$$

$$\left(-\frac{\hbar^2}{2m}(\nabla + \mathbf{k})^2 + V(\mathbf{r})\right)u_{\mathbf{k}}(\mathbf{r}) = E_{\mathbf{k}}u_{\mathbf{k}}(\mathbf{r})$$

electron mass

$$H(\mathbf{r}) = \frac{p^2}{2m} + V(\mathbf{r})$$

local potential of electron

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Commutation relations:

$$\begin{split} &\frac{\mathbf{p}}{m} = \frac{1}{i\hbar} [\mathbf{r}, H(\mathbf{r})] \\ &\left\langle \psi_{\mathbf{k}} \left| \frac{\mathbf{p}}{m} \right| \psi_{\mathbf{k}} \right\rangle = \left\langle \psi_{\mathbf{k}} \left| \mathbf{v} \right| \psi_{\mathbf{k}} \right\rangle = \frac{1}{i\hbar} \left\langle \psi_{\mathbf{k}} \left| \left[\mathbf{r}, H(\mathbf{r}) \right] \right| \psi_{\mathbf{k}} \right\rangle \end{split}$$

$$\langle \psi_{\mathbf{k}} | [\mathbf{r}, H(\mathbf{r})] | \psi_{\mathbf{k}} \rangle = \int d^{3}r u_{\mathbf{k}}^{*}(\mathbf{r}) e^{-i\mathbf{k}\cdot\mathbf{r}} [\mathbf{r}, H(\mathbf{r})] e^{i\mathbf{k}\cdot\mathbf{r}} u_{\mathbf{k}}(\mathbf{r})$$
Note that: $e^{-i\mathbf{k}\cdot\mathbf{r}} [\mathbf{r}, H(\mathbf{r})] e^{i\mathbf{k}\cdot\mathbf{r}} = i\nabla_{\mathbf{k}} (e^{-i\mathbf{k}\cdot\mathbf{r}} H(\mathbf{r}) e^{i\mathbf{k}\cdot\mathbf{r}})$

$$\langle \psi_{\mathbf{k}} | [\mathbf{r}, H(\mathbf{r})] | \psi_{\mathbf{k}} \rangle = i \int d^{3}r u_{\mathbf{k}}^{*}(\mathbf{r}) \nabla_{\mathbf{k}} \left(e^{-i\mathbf{k}\cdot\mathbf{r}} H(\mathbf{r}) e^{i\mathbf{k}\cdot\mathbf{r}} \right) u_{\mathbf{k}}(\mathbf{r})$$
$$= i \int d^{3}r \nabla_{\mathbf{k}} \left(u_{\mathbf{k}}^{*}(\mathbf{r}) e^{-i\mathbf{k}\cdot\mathbf{r}} H(\mathbf{r}) e^{i\mathbf{k}\cdot\mathbf{r}} u_{\mathbf{k}}(\mathbf{r}) \right)$$

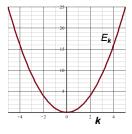
$$= i \nabla_{\mathbf{k}} E_{\mathbf{k}}$$

$$\left\langle \psi_{\mathbf{k}} \left| \frac{\mathbf{p}}{w} \right| \psi_{\mathbf{k}} \right\rangle = \left\langle \psi_{\mathbf{k}} \left| \mathbf{v} \right| \psi_{\mathbf{k}} \right\rangle = \frac{1}{\hbar} \nabla_{\mathbf{k}} E_{\mathbf{k}}$$

Electron velocity -- continued

$$\left\langle \psi_{\mathbf{k}} \left| \frac{\mathbf{p}}{m} \right| \psi_{\mathbf{k}} \right\rangle = \left\langle \psi_{\mathbf{k}} \left| \mathbf{v} \right| \psi_{\mathbf{k}} \right\rangle = \frac{1}{\hbar} \nabla_{\mathbf{k}} E_{\mathbf{k}}$$

For free electron:
$$E_{\mathbf{k}} = \frac{\hbar^2 k^2}{2m}$$



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Effective mass

$$\begin{split} \left\langle \psi_{\mathbf{k}} \middle| \frac{\mathbf{p}}{m} \middle| \psi_{\mathbf{k}} \right\rangle &= \left\langle \psi_{\mathbf{k}} \middle| \mathbf{v} \middle| \psi_{\mathbf{k}} \right\rangle = \frac{1}{\hbar} \nabla_{\mathbf{k}} E_{\mathbf{k}} \\ &(\mathbf{M}^{-1})_{\alpha\beta} \quad \equiv \quad \frac{1}{\hbar^2} \frac{\partial^2 \mathcal{E}_{n\vec{k}}}{\partial k_{\alpha} \partial k_{\beta}}. \\ &(\mathbf{M}^{-1})_{\alpha\beta} \quad = \quad \frac{1}{m} \delta_{\alpha\beta} + \\ &\quad \frac{1}{m^2} \sum_{n' \neq n} \frac{\left\langle \psi_{n\vec{k}} \middle| \hat{P}_{\alpha} \middle| \psi_{n'\vec{k}} \right\rangle \left\langle \psi_{n'\vec{k}} \middle| \hat{P}_{\beta} \middle| \psi_{n\vec{k}} \right\rangle + \text{c.c.}}{\mathcal{E}_{n\vec{k}} - \mathcal{E}_{n'\vec{k}}} \end{split}$$

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Semi-classical treatment of electron motion in presence of electric field **E** and magnetic field **B**:

$$\begin{split} m \dot{\vec{v}} &= -e \vec{E} - e \frac{\vec{v}}{c} \times \vec{B} - m \frac{\vec{v}}{\tau}, \\ \text{For $\emph{\textbf{E}}$=0, $\emph{\textbf{B}}$=0} \\ \vec{v}(t) &= \vec{v}_0 e^{-t/\tau} \,. \end{split} \qquad \text{relaxation time}$$

$$\vec{v}(t) = \vec{v}_0 e^{-t/\tau}.$$

$$\vec{v}(t) = -\frac{\tau e}{m}\vec{E} + [\vec{v}_0 + \frac{\tau e}{m}\vec{E}]e^{-t/\tau}$$

Effects of electric field -- continued

$$\vec{v}(t) = -\frac{\tau e}{m}\vec{E} + [\vec{v}_0 + \frac{\tau e}{m}\vec{E}]e^{-t/\tau}$$

Steady state response:

$$\vec{v} = -\frac{\tau e}{m} \vec{E}$$

$$\vec{j} = -ne\vec{v} = \frac{ne^2\tau}{m}\vec{E}$$

 $\Rightarrow \sigma = \frac{ne^2\tau}{m}, \quad \sigma$

Naïve treatment of semi-classical dynamics

$$\dot{\vec{r}} = \frac{1}{\hbar} \frac{\partial \mathcal{E}_{\vec{k}}}{\partial \vec{k}}.$$

$$\hbar \dot{\vec{k}} = -e\vec{E} - \frac{e}{c}\dot{\vec{r}} \times \vec{B}$$

Example with one-dimensional tight-binding band

$$\mathcal{E}_k = -2\mathsf{t}\cos ak,$$
 లో $-\pi/a = 0$ $\pi/a = 2\pi/a = 3\pi/a$ 3/18/2015 PHY 752 Spring 2015 — Lecture 21

 $\hbar \dot{k} = -eE$ $\Rightarrow k = -eEt/\hbar \leftarrow \text{unphysical!}$ $\Rightarrow \dot{r} = -\frac{2ta}{\hbar} \sin\left(\frac{aeEt}{\hbar}\right)$ $\Rightarrow r = \frac{2\mathfrak{t}}{eE}\cos\left(\frac{aeEt}{\hbar}\right).$

Part of the problem is that the electric field is incompatible with the periodic boundary conditions

Alternative treatment of electric field – Note that:

$$\vec{E} = -\frac{1}{c} \frac{\partial \vec{A}}{\partial t} - \vec{\nabla} V$$

Hamiltonian:

$$\hat{\mathcal{H}} = \frac{1}{2m} \left(\hat{P} + \frac{e}{c} A \right)^2 + \hat{U}(\hat{R})$$

Let:

$$A = -cEt$$

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Alternative treatment of electric field - continued

$$\label{eq:phi_def} \left[\frac{1}{2m}\left(\hat{P}+\frac{e}{c}A\right)^2+\hat{U}\right]\tilde{\phi}(x,t)=\mathcal{E}_t\tilde{\phi}(x,t).$$

$$\tilde{\phi}(x+L) = \tilde{\phi}(x).$$

$$\tilde{\phi}(x,t) = e^{-ieAx/\hbar c}\phi(x,t).$$

$$\left[\frac{\hat{P}^2}{2m} + \hat{U}\right]\phi(x,t) = \mathcal{E}_t\phi(x,t).$$

$$\phi_{nk(t)}(x) = e^{ik(t)x} u_{nk(t)}(x).$$

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Some additional relationships:

$$\begin{array}{rcl} e^{-ieA(x+L)/\hbar c}e^{ik(\iota)(x+L)}u_{nk(\iota)}(x+L) & = & e^{-ieAx/\hbar c + ik(\iota)x}u_{nk(\iota)}(x) \\ \Rightarrow & \frac{-eA}{\hbar c} + k_{(\iota)} & = & \frac{2\pi l}{L}. \\ \Rightarrow & \frac{eEt}{\hbar} + k_{(\iota)} & = & \frac{2\pi l}{L}. \end{array}$$

It follows that: $\hbar \dot{k} = -eE$.

"Houston" functions:

$$\tilde{\phi}(x,t) = e^{-ieAx/\hbar c}\phi(x,t).$$

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Better justification in terms of wave packets

$$\begin{split} W_{\vec{r}_c\vec{k}_c}(\vec{r}) &= \frac{1}{\sqrt{N}} \sum w_{\vec{k}\vec{k}_c} e^{-ie\vec{A}(\vec{r}_c)\cdot\vec{r}/\hbar c - i\vec{k}\cdot\vec{r}_c} \psi_{\vec{k}}(\vec{r}). \\ 1 &= \left\langle W_{\vec{r}_c\vec{k}_c} \middle| W_{\vec{r}_c\vec{k}_c} \right\rangle \\ &= \frac{1}{N} \sum_{\vec{k}\vec{k}'} \int d\vec{r} \, e^{i(\vec{k}' - \vec{k})\cdot\vec{r}_c} w_{\vec{k}\vec{k}_c} w_{\vec{k}'\vec{k}_c}^* \psi_{\vec{k}'}^*(\vec{r}) \psi_{\vec{k}}(\vec{r}) \\ &= \sum_{\vec{k}\vec{k}'} w_{\vec{k}\vec{k}_c} w_{\vec{k}'\vec{k}_c}^* \delta_{\vec{k}\vec{k}'} \\ &\Rightarrow 1 \quad = \sum_{\vec{k}} |w_{\vec{k}\vec{k}_c}|^2. \end{split}$$

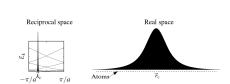


Figure 16.7: A wave packet involves a range of wave vectors that is considerably smaller than the width of the Brillouin zone, so the spatial extent of the wave packet is much larger than an atomic spacing. By taking the spatial extent to be on the order of 100 Å, it is possible to have the packet tightly localized in comparison with external potentials, making it possible to speak simultaneously of the wave number \vec{k}_c and position \vec{r}_c of an electron.

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