

**PHY 745 Group Theory**  
**11-11:50 AM MWF Olin 102**

**Plan for Lecture 19:**

**Review of topics in group theory**  
**Chapters 1-10 in DDJ**

- 1. General concepts and definitions in group theory**
- 2. Representations of groups; great orthogonality theorem**
- 3. Point groups; space groups**

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9	Wed: 02/01/2017	Chap. 8	Vibrational excitations	#7	02/03/2017
10	Fri: 02/03/2017	Notes	Continuous groups	#8	02/06/2017
11	Mon: 02/06/2017	Notes	Group of three-dimensional rotations	#9	02/08/2017
12	Wed: 02/08/2017	Notes	Continuous groups	#10	02/10/2017
13	Fri: 02/10/2017	Chap. 5	Atomic orbitals	#11	02/13/2017
14	Mon: 02/13/2017	Chap. 6	Direct product groups	#12	02/15/2017
15	Wed: 02/15/2017	Chap. 7	Molecular orbital	#13	02/17/2017
16	Fri: 02/17/2017	Chap. 9	Introduction to Space Groups	#14	02/20/2017
17	Mon: 02/20/2017	Chap. 10	Group theory for the periodic lattice		
18	Wed: 02/22/2017	Chap. 10	Group theory for the periodic lattice		
19	Fri: 02/24/2017	Chap. 1-10	Review – Distribute take-home exam		
20	Mon: 02/27/2017				Exam
21	Wed: 03/01/2017				Exam
22	Fri: 03/03/2017				Exam Due
	Mon: 03/06/2017		Spring break - no class		
	Wed: 03/08/2017		Spring break - no class		
	Fri: 03/10/2017		Spring break - no class		
	Mon: 03/13/2017		APS Meeting - no class		
	Wed: 03/15/2017		APS Meeting - no class		
	Fri: 03/17/2017		APS Meeting - no class		
23	Mon: 03/20/2017				
24	Wed: 03/22/2017				

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**Group theory**  
**An abstract algebraic construction in mathematics**

**Definition of a group:**

A group is a collection of “elements” –  $A, B, C, \dots$  and a “multiplication” process. The abstract multiplication ( $\cdot$ ) pairs two group elements, and associates the “result” with a third element. (For example  $(A \cdot B = C)$ .) The elements and the multiplication process must have the following properties.

1. The collection of elements is closed under multiplication. That is, if elements  $A$  and  $B$  are in the group and  $A \cdot B = C$ , element  $C$  must be in the group.
2. One of the members of the group is a “unit element” ( $E$ ). That is, for any element  $A$  of the group,  $A \cdot E = E \cdot A = A$ .
3. For each element  $A$  of the group, there is another element  $A^{-1}$  which is its “inverse”. That is  $A \cdot A^{-1} = A^{-1} \cdot A = E$ .
4. The multiplication process is “associative”. That is for sequential multiplication of group elements  $A, B$ , and  $C$ ,  $(A \cdot B) \cdot C = A \cdot (B \cdot C)$ .

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Some definitions:	
<b>Order of the group</b> → number of elements (members) in the group (positive integer for finite group, $\infty$ for infinite group)	
<b>Subgroup</b>	→ collection of elements within a group which by themselves form a group
<b>Coset</b>	→ Given a subgroup $g_i$ of a group a right coset can be formed by multiply an element of $g$ with each element of $g_i$
<b>Class</b>	→ members of a group generated by the conjugate construction $C = X_i^{-1}YX_i$ where $Y$ is a fixed group element and $X_i$ are all of the elements of the group.

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Example of a 6-member group  $E, A, B, C, D, F, G$

Group multiplication table						
Group of order 6						
	E	A	B	C	D	F
E	E	A	B	C	D	F
A	A	E	D	F	B	C
B	B	F	E	D	C	A
C	C	D	F	E	A	B
D	D	C	A	B	F	E
F	F	B	C	A	E	D

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For our example:

	E	A	B	C	D	F
E	E	A	B	C	D	F
A	A	E	D	F	B	C
B	B	F	E	D	C	A
C	C	D	F	E	A	B
D	D	C	A	B	F	E
F	F	B	C	A	E	D

$A^{-1} = A$

$B^{-1} = B$

$C^{-1} = C$

$D^{-1} = F$

$F^{-1} = D$

Classes:

$\mathcal{C}_1 = E$

$\mathcal{C}_2 = A, B, C$

$\mathcal{C}_3 = D, F$

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### Representations of a group

A representation of a group is a set of matrices (one for each group element) --  $\Gamma(A), \Gamma(B)...$  that satisfies the multiplication table of the group. The dimension of the matrices is called the dimension of the representation.

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### Example:

	E	A	B	C	D	F
E	E	A	B	C	D	F
A	A	E	D	F	B	C
B	B	F	E	D	C	A
C	C	D	F	E	A	B
D	D	C	A	B	F	E
F	F	B	C	A	E	D

#### Identical Representation:

$$\begin{aligned}\Gamma^1(A) &= \Gamma^1(B) = \Gamma^1(C) \\ &= \Gamma^1(D) = \Gamma^1(E) = \Gamma^1(F) = 1\end{aligned}$$

#### Another Representation

$$\begin{aligned}\Gamma^2(A) &= \Gamma^2(B) = \Gamma^2(C) = -1 \\ \Gamma^2(E) &= \Gamma^2(D) = \Gamma^2(F) = 1\end{aligned}$$

#### Third Representation

$$\begin{aligned}\Gamma^3(E) &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & \Gamma^3(A) &= \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} & \Gamma^3(B) &= \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix} \\ \Gamma^3(C) &= \begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix} & \Gamma^3(D) &= \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix} & \Gamma^3(F) &= \begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}\end{aligned}$$

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### The great orthogonality theorem on unitary irreducible representations

Notation:  $h \equiv$  order of the group

$R \equiv$  element of the group

$\Gamma^i(R)_{\alpha\beta} \equiv$   $i$ th representation of  $R$

${}_{\mu\nu\alpha\beta}$  denote matrix indices

$l_i \equiv$  dimension of the representation

$$\sum_R \left( \Gamma^i(R)_{\mu\nu} \right)^* \Gamma^j(R)_{\alpha\beta} = \frac{h}{l_i} \delta_{ij} \delta_{\mu\alpha} \delta_{\nu\beta}$$

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Great orthogonality theorem for characters

$$\sum_R \left( \Gamma^i(R)_{\mu\nu} \right)^* \Gamma^j(R)_{\alpha\beta} = \frac{h}{l_i} \delta_{ij} \delta_{\mu\alpha} \delta_{\nu\beta}$$

Let  $\mu = \nu$  and  $\alpha = \beta$  and perform summations

$$\sum_{R \mu\alpha} \left( \Gamma^i(R)_{\mu\mu} \right)^* \Gamma^j(R)_{\alpha\alpha} = \frac{h}{l_i} \delta_{ij} \sum_{\mu\alpha} \delta_{\mu\alpha} \delta_{\mu\alpha}$$

$$\sum_R \left( \chi^i(R) \right)^* \chi^j(R) = h \delta_{ij}$$

In terms of classes  $\mathcal{C}_e$ , each with  $N_e$  elements :

$$\sum_e N_e \left( \chi^i(\mathcal{C}_e) \right)^* \chi^j(\mathcal{C}_e) = h \delta_{ij}$$

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Character table for  $P(3)$ :

$$\Gamma^1(A) = \Gamma^1(B) = \Gamma^1(C) = \Gamma^1(D) = \Gamma^1(E) = \Gamma^1(F) = 1$$

$$\Gamma^2(A) = \Gamma^2(B) = \Gamma^2(C) = -1 \quad \Gamma^2(E) = \Gamma^2(D) = \Gamma^2(F) = 1$$

$$\Gamma^3(E) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \Gamma^3(A) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \Gamma^3(B) = \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix}$$

$$\Gamma^3(C) = \begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix} \quad \Gamma^3(D) = \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix} \quad \Gamma^3(F) = \begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}$$

Classes:  $\mathcal{C}_1 = E$      $\mathcal{C}_2 = A, B, C$      $\mathcal{C}_3 = D, F$

	$\mathcal{C}_1$	$3\mathcal{C}_2$	$2\mathcal{C}_3$
$\chi^1$	1	1	1
$\chi^2$	1	-1	1
$\chi^3$	2	0	-1

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The characters  $\chi$  behave as a vector space with the dimension equal to the number of classes.

→ The number of characters=the number of classes

Second character identity:

$$\sum_i \left( \chi^i(\mathcal{C}_k) \right)^* \chi^i(\mathcal{C}_l) = \frac{h}{N_{\mathcal{C}_k}} \delta_{kl}$$

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Example of H<sub>2</sub>O

Table 3.14: Character Table for Group  $C_{2v}$

$C_{2v}$ (2mm)	$E$	$C_2$	$\sigma_v$	$\sigma'_v$
$x^2, y^2, z^2$	1	1	1	1
$xy$	$R_z$	$A_2$	1	-1
$xz$	$R_y, x$	$B_1$	-1	1
$yz$	$R_x, y$	$B_2$	-1	-1

"Standard" notation for representations of  $C_{2v}$

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Symmetry analysis

$$R = \begin{bmatrix} \Delta x_1 \\ \Delta y_1 \\ \Delta z_1 \\ \Delta x_2 \\ \Delta y_2 \\ \Delta z_2 \\ \Delta x_3 \\ \Delta y_3 \\ \Delta z_3 \end{bmatrix}$$

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$$E = \begin{bmatrix} \Delta x_1 \\ \Delta y_1 \\ \Delta z_1 \\ \Delta x_2 \\ \Delta y_2 \\ \Delta z_2 \\ \Delta x_3 \\ \Delta y_3 \\ \Delta z_3 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \Delta x_1 \\ \Delta y_1 \\ \Delta z_1 \\ \Delta x_2 \\ \Delta y_2 \\ \Delta z_2 \\ \Delta x_3 \\ \Delta y_3 \\ \Delta z_3 \end{bmatrix}$$

$\chi(E)=9$

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$$C_2 \begin{bmatrix} \Delta x_1 \\ \Delta y_1 \\ \Delta z_1 \\ \Delta x_2 \\ \Delta y_2 \\ \Delta z_2 \\ \Delta x_3 \\ \Delta y_3 \\ \Delta z_3 \end{bmatrix} = \begin{bmatrix} -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \Delta x_1 \\ \Delta y_1 \\ \Delta z_1 \\ \Delta x_2 \\ \Delta y_2 \\ \Delta z_2 \\ \Delta x_3 \\ \Delta y_3 \\ \Delta z_3 \end{bmatrix}$$

$$\chi(C_2) = -1$$

Similarly:  $\chi(\sigma_v) = 3$   
 $\chi(\sigma_v) = 1$

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Decomposition of the displacement representation into irreducible representations

$$\chi(R) = \sum_i a_i \chi^i(R)$$

$$a_i = \frac{1}{h_R} \sum_R (\chi^i(R))^* \chi(R)$$

Table 3.14: Character Table for Group  $C_{2v}$ 

$C_{2v}$ (2mm)		$E$	$C_2$	$\sigma_v$	$\sigma'_v$
$x^2, y^2, z^2$	$z$	$A_1$	1	1	1
$xy$	$R_z$	$A_2$	1	1	-1
$xz$	$R_y, x$	$B_1$	1	-1	1
$yz$	$R_x, y$	$B_2$	1	-1	-1
$\chi(R)$		9	-1	3	1

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Table 3.14: Character Table for Group  $C_{2v}$ 

$C_{2v}$ (2mm)		$E$	$C_2$	$\sigma_v$	$\sigma'_v$
$x^2, y^2, z^2$	$z$	$A_1$	1	1	1
$xy$	$R_z$	$A_2$	1	1	-1
$xz$	$R_y, x$	$B_1$	1	-1	1
$yz$	$R_x, y$	$B_2$	1	-1	-1
$\chi(R)$		9	-1	3	1

$$\Rightarrow \text{Coordinate representation} = 3A_1 + A_2 + 3B_1 + 2B_2$$

$$\text{translations} = A_1 + B_1 + B_2$$

$$\text{rotations} = A_2 + B_1 + B_2$$

$$\text{vibrations} = 2A_1 + B_1$$

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Some properties of continuous group SO(3)

Taylor expansion:

$$\begin{aligned}\psi(\phi' - \alpha) &= \psi(\phi') - \alpha \frac{\partial \psi(\phi')}{\partial \phi'} + \frac{1}{2} \alpha^2 \frac{\partial^2 \psi(\phi')}{\partial \phi'^2} + \dots \\ &= e^{-\alpha \frac{\partial}{\partial \phi'}} \psi(\phi') \\ &= R_{-\alpha} \psi(\phi')\end{aligned}$$

Generator operator for rotation:  $= e^{-\alpha \frac{\partial}{\partial \phi'}}$

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$$O_{-\alpha} = e^{-\alpha \frac{\partial}{\partial \phi}} = e^{-i\alpha L_z/\hbar}$$

More "standard" notation --  
operator for counterclockwise  
rotation about the  $\hat{\mathbf{n}}$  axis by angle  $\alpha$ :

$$O_R(\alpha, \hat{\mathbf{n}}) = e^{-i\alpha \mathbf{L} \cdot \hat{\mathbf{n}}/\hbar}$$

Eigenfunctions of rotation operator

$$O_R(\alpha, \hat{\mathbf{n}}) = e^{-i\alpha L_z/\hbar}$$

$$O_R(\alpha, \hat{\mathbf{z}}) |lm\rangle = e^{-i\alpha L_z/\hbar} |lm\rangle = e^{-i\alpha m} |lm\rangle$$

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Irreducible representations in terms of angular momentum eigenfunctions

$$\chi^l(\alpha) = \sum_{m=-l}^l \langle lm | O_R(\alpha, \hat{\mathbf{z}}) | lm \rangle = \sum_{m=-l}^l e^{-i\alpha m} = \frac{\sin[\alpha(l + \frac{1}{2})]}{\sin(\alpha/2)}$$

Note that:  $\chi^l(\alpha + 2\pi) = (-1)^{2l} \chi^l(\alpha)$

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Group of all unitary matrices of dimension 2 – SU(2)

$$M = \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix} \quad \text{where } |a|^2 + |b|^2 = 1$$

$$\Rightarrow M = M(\alpha, n) = e^{-i\frac{1}{2}\alpha\sigma \cdot \hat{n}} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \cos\left(\frac{\alpha}{2}\right) - i\sigma \cdot \hat{n} \sin\left(\frac{\alpha}{2}\right)$$

$$\text{where } \sigma = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \hat{x} + \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \hat{y} + \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \hat{z}$$

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Group of all unitary matrices of dimension 2 – SU(2) --continued

Note that:

$$e^{-i\frac{1}{2}\alpha\sigma \cdot \hat{n}} = 1 + \left(-i\frac{1}{2}\alpha\sigma \cdot \hat{n}\right) + \frac{1}{2!} \left(-i\frac{1}{2}\alpha\sigma \cdot \hat{n}\right)^2 + \frac{1}{3!} \left(-i\frac{1}{2}\alpha\sigma \cdot \hat{n}\right)^3 + \frac{1}{4!} \left(-i\frac{1}{2}\alpha\sigma \cdot \hat{n}\right)^4 + \dots$$

$$\text{since } (\sigma \cdot \hat{n})^2 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},$$

$$\begin{aligned} e^{-i\frac{1}{2}\alpha\sigma \cdot \hat{n}} &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \left(1 - \frac{1}{2!} \left(\frac{\alpha}{2}\right)^2 + \frac{1}{4!} \left(\frac{\alpha}{2}\right)^4 - \dots\right) - i\sigma \cdot \hat{n} \left(\left(\frac{\alpha}{2}\right) - \frac{1}{3!} \left(\frac{\alpha}{2}\right)^3 + \dots\right) \\ &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \cos\left(\frac{\alpha}{2}\right) - i\sigma \cdot \hat{n} \sin\left(\frac{\alpha}{2}\right) \end{aligned}$$

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