

PHY 745 Group Theory
11-11:50 AM MWF Olin 102

Plan for Lecture 31:

Introduction to linear Lie groups

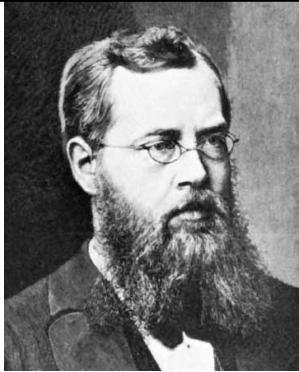
1. Definitions
2. Properties
3. Examples

Ref. J. F. Cornwell, Group Theory in Physics, Vol I and II, Academic Press (1984)

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23	Mon: 03/20/2017	Chap. 7.7	Jahn-Teller Effect	#15	03/24/2017
24	Wed: 03/22/2017	Chap. 7.7	Jahn-Teller Effect		
25	Fri: 03/24/2017		Spin 1/2	#16	03/27/2017
26	Mon: 03/27/2017		Dirac equation for H-like atoms	#17	03/29/2017
27	Wed: 03/29/2017	Chap. 14	Angular momenta	#18	03/31/2017
28	Fri: 03/31/2017	Chap. 16	Time reversal symmetry	#19	04/05/2017
29	Mon: 04/03/2017	Chap. 16	Magnetic point groups		
30	Wed: 04/05/2017	Literature	Topology and group theory in Bloch states	#20	04/07/2017
31	Fri: 04/07/2017	Literature	Introduction to Lie groups	#21	04/10/2017
32	Mon: 04/10/2017				
33	Wed: 04/12/2017				
	Fri: 04/14/2017		Good Friday Holiday -- no class		
34	Mon: 04/17/2017				
35	Wed: 04/19/2017				
36	Fri: 04/21/2017				
	Mon: 04/24/2017		Presentations I		
	Wed: 04/26/2017		Presentations II		

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Sophus Lie
 1842-1899
 Norwegian mathematician

<https://www.britannica.com/biography/Sophus-Lie>
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Definition of a linear Lie group

1. A linear Lie group is a group
 - Each element of the group T forms a member of the group T' when "multiplied" by another member of the group $T''=T \cdot T'$
 - One of the elements of the group is the identity E
 - For each element of the group T , there is a group member a group member T' such that $T \cdot T'=E$.
 - Associative property: $T \cdot (T' \cdot T'') = (T \cdot T') \cdot T''$
2. Elements of group form a "topological space"
3. Elements also constitute an "analytic manifold"

→ Non countable number elements lying in a region "near" its identity

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Definition: Linear Lie group of dimension n
 A group G is a linear Lie group of dimension n if it satisfied the following four conditions:

1. G must have at least one faithful finite-dimensional representation Γ which defines the notion of distance.

For represent Γ having dimension m , the distance between two group elements T and T' can be defined:

$$d(T, T') \equiv \left\{ \sum_{j=1}^m \sum_{k=1}^m |\Gamma(T)_{jk} - \Gamma(T')_{jk}|^2 \right\}^{1/2}$$

Note that $d(T, T')$ has the following properties

- (i) $d(T, T') = d(T', T)$
- (ii) $d(T, T) = 0$
- (iii) $d(T, T') > 0$ if $T \neq T'$
- (iv) For elements T, T' , and T'' ,

$$d(T, T'') \leq d(T, T') + d(T', T'')$$

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Definition: Linear Lie group of dimension n -- continued

2. Consider the distance between group elements T with respect to the identity E -- $d(T, E)$. It is possible to define a sphere M_δ that contains all elements T' such that $d(E, T') \leq \delta$.

It follows that there must exist a $\delta > 0$ such that every T' of G lying in the sphere M_δ can be parameterized by n real parameters x_1, x_2, \dots, x_n such each T' has a different set of parameters and for E the parameters are $x_1 = 0, x_2 = 0, \dots, x_n = 0$

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Definition: Linear Lie group of dimension n -- continued

3. There must exist $\eta > 0$ such that for every parameter set $\{x_1, x_2, \dots, x_n\}$ corresponding to T' in the sphere M_δ :

$$\sum_{j=1}^n x_j^2 < \eta^2$$

4. There is a requirement that the corresponding representation is analytic

For element T' within M_δ , $\Gamma(T'(x_1, x_2, \dots, x_n))$ must be an analytic (polynomial) function of x_1, x_2, \dots, x_n .

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Example: G is the group of all real numbers $t \neq 0$ under multiplication. $E = 1$

\Rightarrow Faithful representation $\Gamma(t) = t \quad n = 1$

$\Rightarrow d(t, t') = |t - t'|$

For $\delta = \frac{1}{2}$ and parameterization $t = e^{x_1}$

$$d(t, 1) = |t - 1|$$

$$M_\delta \Rightarrow \frac{1}{2} < t < \frac{3}{2}$$

For $\eta = \ln\left(\frac{3}{2}\right) \quad x_1^2 < \left(\ln\left(\frac{3}{2}\right)\right)^2$

Representation:

$$\Gamma(t(x_1)) = e^{x_1}$$

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Example: G is the group $SU(2)$ of all 2×2 unitary matrices having determinant 1.

An element of the group has the form:

$$\mathbf{u} = \begin{pmatrix} \alpha & \beta \\ -\beta^* & \alpha^* \end{pmatrix} \quad \text{with } |\alpha|^2 + |\beta|^2 = 1$$

In terms of the real numbers $\alpha_1, \alpha_2, \beta_1, \beta_2$:

$$\mathbf{u} = \begin{pmatrix} \alpha_1 + i\alpha_2 & \beta_1 + i\beta_2 \\ -(\beta_1 - i\beta_2) & \alpha_1 - i\alpha_2 \end{pmatrix}$$

3-dimensional mapping:

$$\beta_2 = \frac{1}{2}x_1 \quad \beta_1 = \frac{1}{2}x_2 \quad \alpha_2 = \frac{1}{2}x_3 \quad \alpha_1 = \left(1 - \frac{1}{4}(x_1^2 + x_2^2 + x_3^2)\right)^{1/2}$$

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Example: G is the group $SU(2)$ -- continued

It can be shown that

$$d(\mathbf{u}, \mathbf{1}) = 2 \left(1 - \left(1 - \frac{1}{4}(x_1^2 + x_2^2 + x_3^2) \right)^{1/2} \right)^{1/2}$$

$$d(\mathbf{u}, \mathbf{1}) < \delta$$

$$(x_1^2 + x_2^2 + x_3^2)^{1/2} < \left(2\delta^2 - \frac{1}{4}\delta^4 \right)^{1/2} \equiv \eta$$

Note that $\delta < \sqrt{8}$

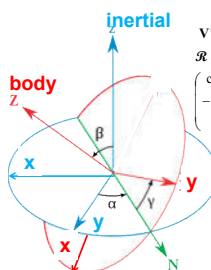
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Example: G is the group $SO(3)$, describing rotations in 3-dimensional space

General transformation between rotated coordinates – Euler angles



$$\mathbf{V}' = \mathcal{R}\mathbf{V} = \mathcal{R}_3 \mathcal{R}_2 \mathcal{R}_1 \mathbf{V}$$

$$\mathcal{R} = \begin{pmatrix} \cos \alpha & \sin \alpha & 0 \\ -\sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \beta & 0 \\ 0 & 1 \\ \sin \beta & 0 \end{pmatrix} \begin{pmatrix} \cos \gamma & \sin \gamma & 0 \\ -\sin \gamma & \cos \gamma & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

http://en.wikipedia.org/wiki/Euler_angles

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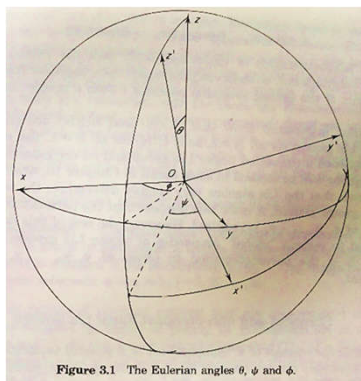


Figure 3.1 The Eulerian angles θ , ψ and ϕ .

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Homomorphic mapping of SU(2) onto SO(3)

Suppose \mathbf{u} denotes an element of the group SU(2)

It is possible to find a corresponding element $\mathbf{R}(\mathbf{u}) = \mathbf{R}(-\mathbf{u})$ of the group SO(3).

Result:
$$\mathbf{R}(\mathbf{u})_{jk} = \frac{1}{2} \text{tr}(\sigma_j \mathbf{u} \sigma_k \mathbf{u}^{-1})$$

where
$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Some justification

Consider
$$\mathbf{m}(\mathbf{r}) = \begin{pmatrix} z & x - iy \\ x + iy & -z \end{pmatrix}$$

Note that for a 2×2 unitary matrix \mathbf{u} (which is an element of SU(2))

$$\mathbf{u} \mathbf{m}(\mathbf{r}) \mathbf{u}^{-1} = \mathbf{m}(\mathbf{r}') = \begin{pmatrix} z' & x' - iy' \\ x' + iy' & -z' \end{pmatrix}$$

Need to show that $\mathbf{r} \leftrightarrow \mathbf{r}'$ corresponding to $\mathbf{r}' = \mathbf{R}(\mathbf{u})\mathbf{r}$

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Recall:

In terms of the real numbers $\alpha_1, \alpha_2, \beta_1, \beta_2$:

$$\mathbf{u} = \begin{pmatrix} \alpha_1 + i\alpha_2 & \beta_1 + i\beta_2 \\ -(\beta_1 - i\beta_2) & \alpha_1 - i\alpha_2 \end{pmatrix}$$

3-dimensional mapping:

$$\beta_2 = \frac{1}{2}x_1 \quad \beta_1 = \frac{1}{2}x_2 \quad \alpha_2 = \frac{1}{2}x_3 \quad \alpha_1 = \left(1 - \frac{1}{4}(x_1^2 + x_2^2 + x_3^2)\right)^{1/2}$$

Alternatively define angles:

$$0 \leq \theta \leq \pi \quad 0 \leq \psi \leq 4\pi \quad 0 \leq \phi \leq 2\pi$$

$$\mathbf{u} = \begin{pmatrix} \cos(\frac{1}{2}\theta)e^{i\frac{1}{2}(\psi+\phi)} & \sin(\frac{1}{2}\theta)e^{i\frac{1}{2}(\psi-\phi)} \\ -\sin(\frac{1}{2}\theta)e^{-i\frac{1}{2}(\psi-\phi)} & \cos(\frac{1}{2}\theta)e^{-i\frac{1}{2}(\psi+\phi)} \end{pmatrix}$$

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Mapping the 3 angles to the Euler angles, find rotation matrix

$$\mathbf{R}(\theta, \psi, \phi) = \begin{pmatrix} -\sin\phi \sin\psi + \cos\theta \cos\phi \cos\psi & \cos\phi \sin\psi + \cos\theta \sin\phi \cos\psi & -\sin\theta \cos\psi \\ -\sin\phi \cos\psi - \cos\theta \cos\phi \sin\psi & \cos\phi \cos\psi - \cos\theta \sin\phi \sin\psi & \sin\theta \sin\psi \\ \sin\theta \cos\phi & \sin\theta \sin\phi & \cos\theta \end{pmatrix}$$

Recall:
$$\mathbf{R}(\mathbf{u})_{jk} = \frac{1}{2} \text{tr}(\sigma_j \mathbf{u} \sigma_k \mathbf{u}^{-1})$$

where
$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\mathbf{u} = \begin{pmatrix} \cos(\frac{1}{2}\theta)e^{i\frac{1}{2}(\psi+\phi)} & \sin(\frac{1}{2}\theta)e^{i\frac{1}{2}(\psi-\phi)} \\ -\sin(\frac{1}{2}\theta)e^{-i\frac{1}{2}(\psi-\phi)} & \cos(\frac{1}{2}\theta)e^{-i\frac{1}{2}(\psi+\phi)} \end{pmatrix}$$

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Check:

$$\mathbf{R}(\mathbf{u})_{33} = \frac{1}{2} \text{tr} \left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \cos(\frac{1}{2}\theta)e^{i\psi(\nu+\theta)} & \sin(\frac{1}{2}\theta)e^{i\psi(\nu-\theta)} \\ -\sin(\frac{1}{2}\theta)e^{-i\psi(\nu-\theta)} & \cos(\frac{1}{2}\theta)e^{-i\psi(\nu+\theta)} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \cos(\frac{1}{2}\theta)e^{-i\psi(\nu+\theta)} & -\sin(\frac{1}{2}\theta)e^{i\psi(\nu-\theta)} \\ \sin(\frac{1}{2}\theta)e^{-i\psi(\nu-\theta)} & \cos(\frac{1}{2}\theta)e^{i\psi(\nu+\theta)} \end{pmatrix} \right)$$

$$= \frac{1}{2} \text{tr} \left(\begin{pmatrix} \cos(\frac{1}{2}\theta)e^{i\psi(\nu+\theta)} & \sin(\frac{1}{2}\theta)e^{i\psi(\nu-\theta)} \\ \sin(\frac{1}{2}\theta)e^{-i\psi(\nu-\theta)} & -\cos(\frac{1}{2}\theta)e^{-i\psi(\nu+\theta)} \end{pmatrix} \begin{pmatrix} \cos(\frac{1}{2}\theta)e^{-i\psi(\nu+\theta)} & -\sin(\frac{1}{2}\theta)e^{i\psi(\nu-\theta)} \\ -\sin(\frac{1}{2}\theta)e^{-i\psi(\nu-\theta)} & -\cos(\frac{1}{2}\theta)e^{i\psi(\nu+\theta)} \end{pmatrix} \right)$$

$$\mathbf{R}(\mathbf{u})_{33} = \cos \theta$$

Note that $R(\mathbf{u}) \leftrightarrow \mathbf{u}(R)$

$\mathbf{u}(R)$ is a "two-valued" two-dimensional representation of $SO(3)$ since $\mathbf{u}(R)$ and $-\mathbf{u}(R)$ are both valid mappings.

$$\mathbf{u}(R_1)\mathbf{u}(R_2) = \pm \mathbf{u}(R_1R_2)$$

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