

# **PHY 712 Electrodynamics**

## **10-10:50 AM Online**

### **Discussion for Lecture 19:**

#### **Complete reading of Chapter 7**

- 1. Comments on reflectivity of plane waves**
- 2. Summary of complex response functions for electromagnetic fields**
- 3. Comment on spectral properties of electromagnetic waves**

## PHYSICS COLLOQUIUM

THURSDAY

MARCH 11, 2021

### **“Metal Oxos in Chemistry and Biology”**

The dianionic oxo ligand occupies a very special place in coordination chemistry, owing to its ability to donate pi electrons to stabilize high oxidation states of metals. The ligand field theory of multiple bonding in metal-oxos predicts that there must be an “oxo wall” between Fe-Ru-Os and Co-Rh-Ir in the periodic table. Metal-oxos on the left side of the wall are reactive intermediates in three of the most important chemical reactions on planet Earth: water oxidation to oxygen in green leaves; oxygen reduction to water in the respiratory chain; and hydrocarbon oxygenation catalyzed by an enzyme called cytochrome P450. I will focus on water oxidation to oxygen in my talk, as the reaction liberates the protons and electrons needed to make clean fuels and materials.



**Dr. Harry Gray**

Arnold O. Beckman Professor  
of Chemistry  
Founding Director, Beckman Institute  
Division of Chemistry and  
Chemical Engineering

# Course schedule for Spring 2021

(Preliminary schedule -- subject to frequent adjustment.)

|    | Lecture date    | JDJ Reading      | Topic                                      | HW                  | Due date   |
|----|-----------------|------------------|--------------------------------------------|---------------------|------------|
| 1  | Wed: 01/27/2021 | Chap. 1 & Appen. | Introduction, units and Poisson equation   | <a href="#">#1</a>  | 01/29/2021 |
| 2  | Fri: 01/29/2021 | Chap. 1          | Electrostatic energy calculations          | <a href="#">#2</a>  | 02/01/2021 |
| 3  | Mon: 02/01/2021 | Chap. 1 & 2      | Electrostatic potentials and fields        | <a href="#">#3</a>  | 02/03/2021 |
| 4  | Wed: 02/03/2021 | Chap. 1 - 3      | Poisson's equation in 2 and 3 dimensions   | <a href="#">#4</a>  | 02/05/2021 |
| 5  | Fri: 02/05/2021 | Chap. 1 - 3      | Brief introduction to numerical methods    | <a href="#">#5</a>  | 02/08/2021 |
| 6  | Mon: 02/08/2021 | Chap. 2 & 3      | Image charge constructions                 | <a href="#">#6</a>  | 02/10/2021 |
| 7  | Wed: 02/10/2021 | Chap. 2 & 3      | Cylindrical and spherical geometries       |                     |            |
| 8  | Fri: 02/12/2021 | Chap. 3 & 4      | Spherical geometry and multipole moments   | <a href="#">#7</a>  | 02/15/2021 |
| 9  | Mon: 02/15/2021 | Chap. 4          | Dipoles and Dielectrics                    | <a href="#">#8</a>  | 02/19/2021 |
| 10 | Wed: 02/17/2021 | Chap. 4          | Dipoles and Dielectrics                    |                     |            |
| 11 | Fri: 02/19/2021 | Chap. 4          | Polarization and Dielectrics               | <a href="#">#9</a>  | 02/24/2021 |
| 12 | Mon: 02/22/2021 | Chap. 5          | Magnetostatics                             | <a href="#">#10</a> | 02/26/2021 |
| 13 | Wed: 02/24/2021 | Chap. 5          | Magnetic dipoles and hyperfine interaction | <a href="#">#11</a> | 03/01/2021 |
| 14 | Fri: 02/26/2021 | Chap. 5          | Magnetic dipoles and dipolar fields        |                     |            |
| 15 | Mon: 03/01/2021 | Chap. 6          | Maxwell's Equations                        | <a href="#">#12</a> | 03/08/2021 |
| 16 | Wed: 03/03/2021 | Chap. 6          | Electromagnetic energy and forces          |                     |            |
| 17 | Fri: 03/05/2021 | Chap. 7          | Electromagnetic plane waves                |                     |            |
| 18 | Mon: 03/08/2021 | Chap. 7          | Electromagnetic plane waves                | <a href="#">#13</a> | 03/10/2021 |
| 19 | Wed: 03/10/2021 | Chap. 7          | Optical effects of refractive indices      | <a href="#">#14</a> | 03/12/2021 |
| 20 | Fri: 03/12/2021 | Chap. 1-7        | Review                                     |                     |            |
|    | Mon: 03/15/2021 | No class         | <i>APS March Meeting</i>                   | Take Home Exam      |            |

Please submit topic suggestions for Friday's review —

Questions for today—

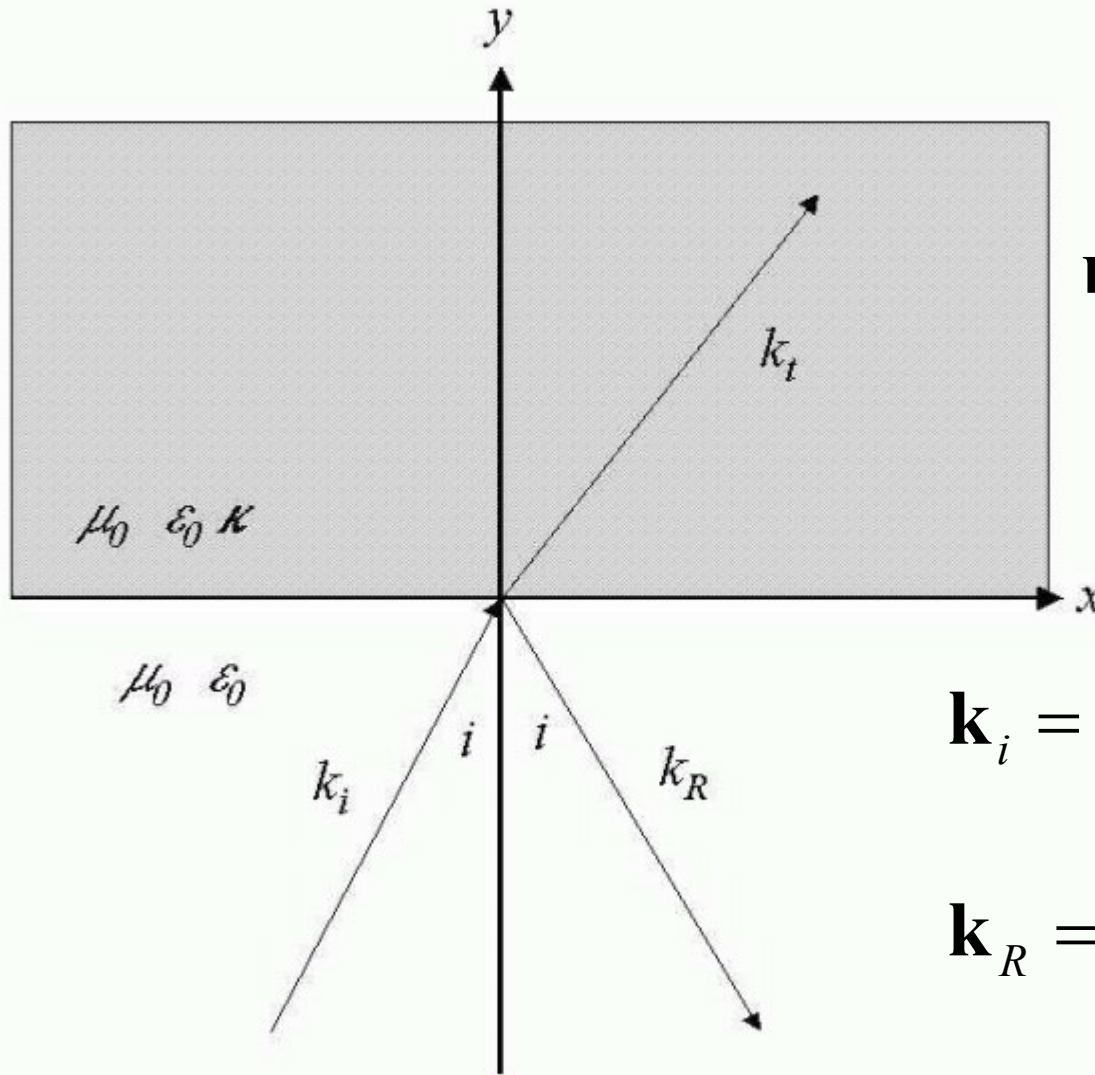
From Nick -- Can you go over how we proved the [dielectric] function was analytic?

From Derick — (Paraphrased) How do the Fresnel equations for isotropic and anisotropic materials compare?

From Tim -- In slide 20 are permittivity function and dielectric function the same function? In slide 31 how are we able to split the integral of  $f(z)$  into  $f(z_r)$  and  $f(z)$ . What is the difference between  $z_r$  and  $z$ ?

From Gao -- Why do we study Kramers-Kronig transform? Do you have application examples?

# Extension of reflection/refraction analysis to anisotropic media --



assume

$$\mathbf{K} \equiv \begin{pmatrix} K_{xx} & 0 & 0 \\ 0 & K_{yy} & 0 \\ 0 & 0 & K_{zz} \end{pmatrix}$$

$$\mathbf{k}_i = \frac{\omega}{c} (\sin i \hat{\mathbf{x}} + \cos i \hat{\mathbf{y}}),$$

$$\mathbf{k}_R = \frac{\omega}{c} (\sin i \hat{\mathbf{x}} - \cos i \hat{\mathbf{y}}).$$

For s-polarization (E fields along z-axis)

$$\frac{E_0''}{E_0} = \frac{\cos i - n_y}{\cos i + n_y}.$$

$$n_y^2 = \kappa_{zz} - \sin^2 i$$

For p-polarization (E fields in x-y plane)

$$\frac{E_0''}{E_0} = \frac{\kappa_{xx} \cos i - n_y}{\kappa_{xx} \cos i + n_y}.$$

$$n_y^2 = \frac{\kappa_{xx}}{\kappa_{yy}} (\kappa_{yy} - \sin^2 i).$$

Example of a birefringent crystal --



## Some comments on the Fresnel Equations

1. Different behaviors of  $s$  and  $p$  polarization
2. Brewster's angle
3. Total internal reflection

Review: Electromagnetic plane waves in isotropic medium with linear and real permeability and permittivity:  $\mu \epsilon$ .

$$\mathbf{E}(\mathbf{r}, t) = \Re\left(\mathbf{E}_0 e^{i\frac{\omega}{c}(n\hat{\mathbf{k}}\cdot\mathbf{r}-ct)}\right) \quad n^2 = c^2\mu\epsilon$$

$$\mathbf{B}(\mathbf{r}, t) = \frac{n}{c} \hat{\mathbf{k}} \times \mathbf{E}(\mathbf{r}, t) = \sqrt{\mu\epsilon} \hat{\mathbf{k}} \times \mathbf{E}(\mathbf{r}, t)$$

Poynting vector for plane electromagnetic waves :

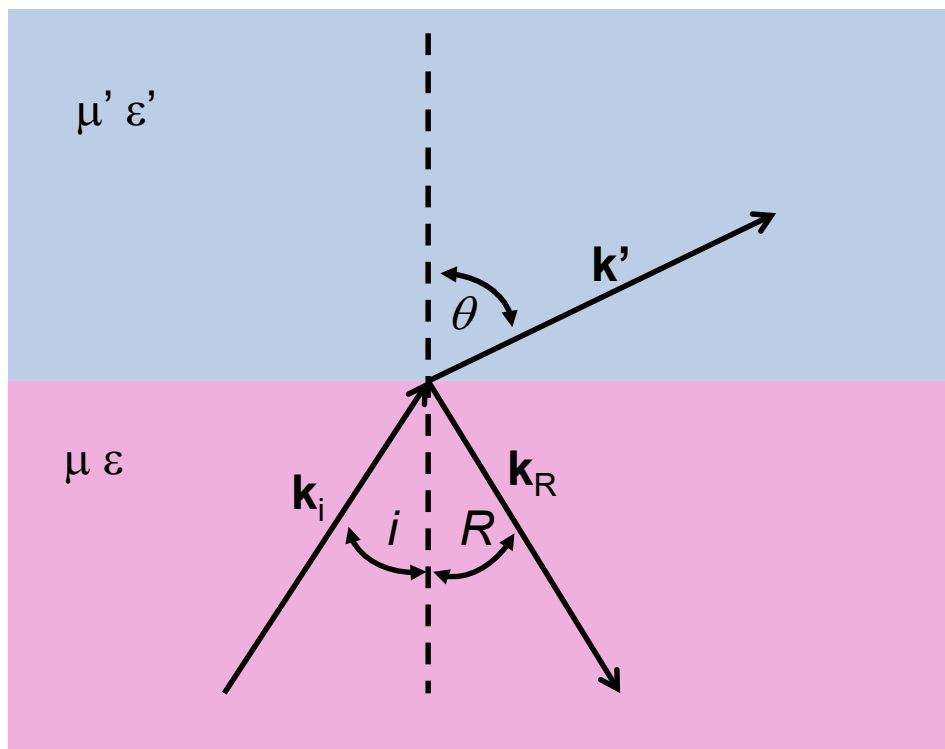
$$\langle \mathbf{S} \rangle_{avg} = \frac{n|\mathbf{E}_0|^2}{2\mu c} \hat{\mathbf{k}} = \frac{1}{2} \sqrt{\frac{\epsilon}{\mu}} |\mathbf{E}_0|^2 \hat{\mathbf{k}}$$

Energy density for plane electromagnetic waves :

$$\langle u \rangle_{avg} = \frac{1}{2} \epsilon |\mathbf{E}_0|^2$$

## Review:

Reflection and refraction of plane electromagnetic waves at a plane interface between dielectrics (assumed to be lossless)



$$n' = \epsilon' \mu'$$

$$n = \epsilon \mu$$

$$i = R$$

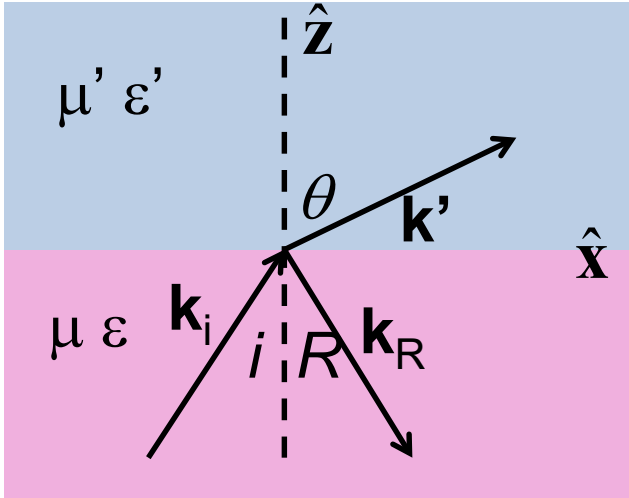
$$n \sin i = n' \sin \theta$$

$$|\mathbf{k}_i| = |\mathbf{k}_R| = n \frac{\omega}{c}$$

$$|\mathbf{k}'| = n' \frac{\omega}{c}$$

## Review:

### Reflection and refraction between two isotropic media



Reflectance, transmittance :

$$R = \frac{\mathbf{S}_R \cdot \hat{\mathbf{z}}}{\mathbf{S}_i \cdot \hat{\mathbf{z}}} = \left| \frac{E_{0R}}{E_{0i}} \right|^2 \quad T = \frac{\mathbf{S}' \cdot \hat{\mathbf{z}}}{\mathbf{S}_i \cdot \hat{\mathbf{z}}} = \left| \frac{E'_0}{E_{0i}} \right|^2 \frac{n'}{n} \frac{\mu}{\mu'} \frac{\cos \theta}{\cos i}$$

Note that  $R + T = 1$

For s-polarization (E perpendicular to plane of incidence)

$$\frac{E_{0R}}{E_{0i}} = \frac{n \cos i - \frac{\mu}{\mu'} n' \cos \theta}{n \cos i + \frac{\mu}{\mu'} n' \cos \theta} \quad \frac{E'_0}{E_{0i}} = \frac{2n \cos i}{n \cos i + \frac{\mu}{\mu'} n' \cos \theta}$$

Note that :  $n' \cos \theta = \sqrt{n'^2 - n^2 \sin^2 i}$

For p-polarization (E in plane of incidence)

$$\frac{E_{0R}}{E_{0i}} = \frac{\frac{\mu}{\mu'} n'^2 \cos i - n n' \cos \theta}{\frac{\mu}{\mu'} n'^2 \cos i + n n' \cos \theta} \quad \frac{E'_0}{E_{0i}} = \frac{2n n' \cos i}{\frac{\mu}{\mu'} n'^2 \cos i + n n' \cos \theta}$$

Note that:  $n' \cos \theta = \sqrt{n'^2 - n^2 \sin^2 i}$

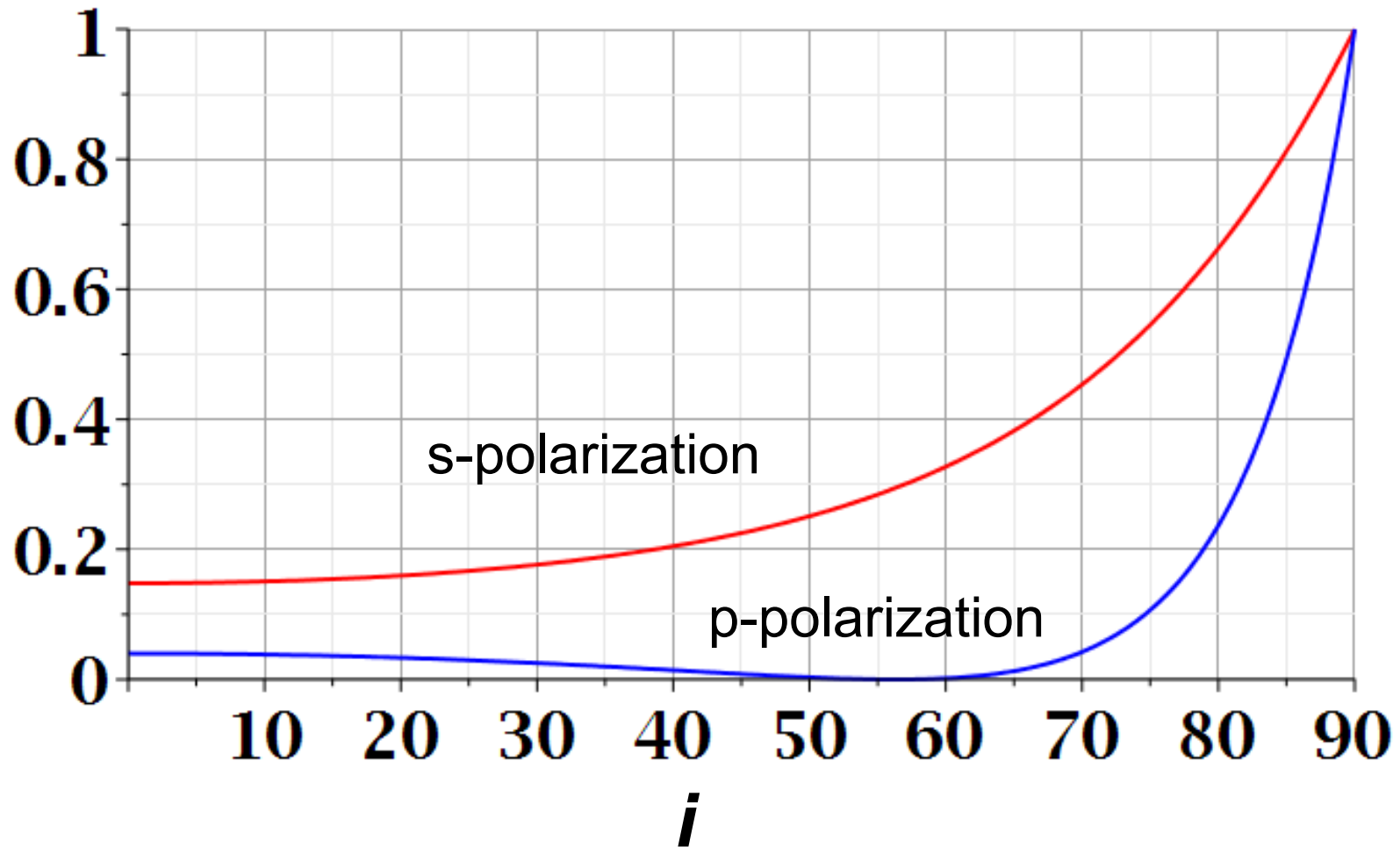
Reflectance for s-polarization

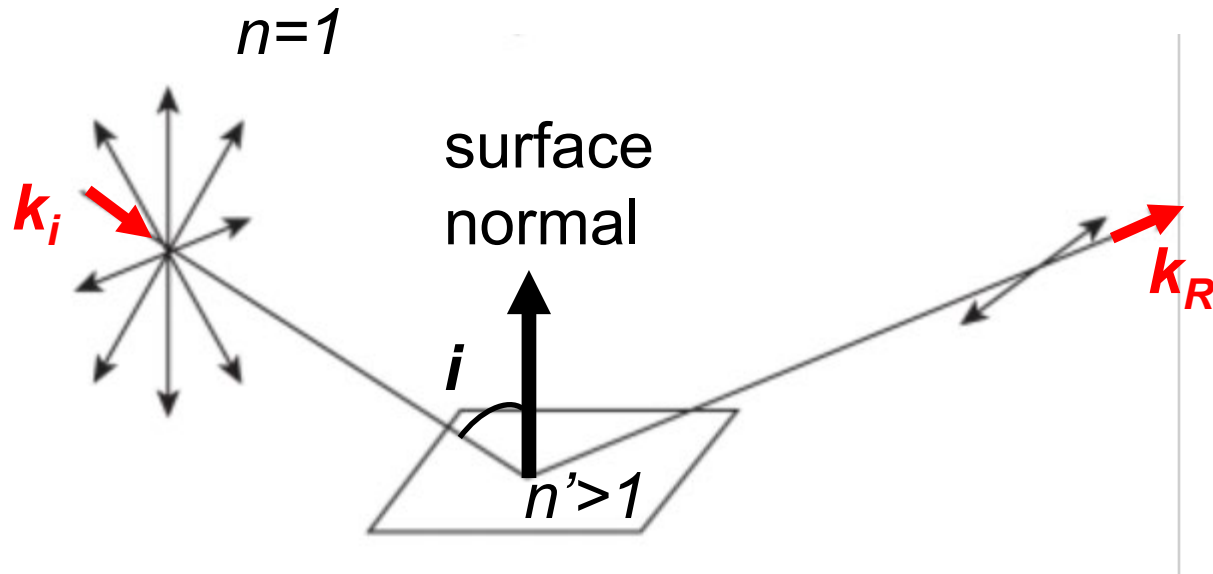
$$R_s = \left| \frac{E_{0R}}{E_{0i}} \right|^2 = \left| \frac{n \cos i - \frac{\mu}{\mu'} \sqrt{n'^2 - n^2 \sin^2 i}}{n \cos i + \frac{\mu}{\mu'} \sqrt{n'^2 - n^2 \sin^2 i}} \right|^2$$

Reflectance for p-polarization

$$R_p = \left| \frac{E_{0R}}{E_{0i}} \right|^2 = \left| \frac{\frac{\mu}{\mu'} n' \cos i - \frac{n}{n'} \sqrt{n'^2 - n^2 \sin^2 i}}{\frac{\mu}{\mu'} n' \cos i + \frac{n}{n'} \sqrt{n'^2 - n^2 \sin^2 i}} \right|^2$$

Example for  $\mu = \mu'$ ;  $n = 1$  and  $n' = 1.5$





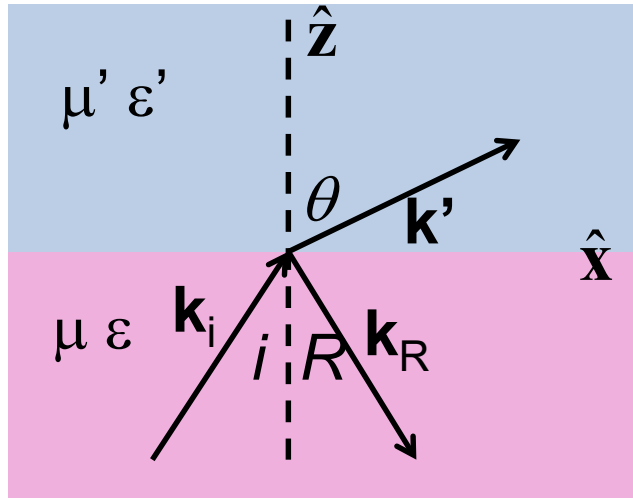
## Polarization due to reflection from a refracting surface

Brewster's angle: for  $i = i_B$ ,  $R_p(i_B) = 0$

$$R_p = \left| \frac{E_{0R}}{E_{0i}} \right|^2 = \left| \frac{\frac{\mu}{\mu'} n' \cos i - \frac{n}{n'} \sqrt{n'^2 - n^2 \sin^2 i}}{\frac{\mu}{\mu'} n' \cos i + \frac{n}{n'} \sqrt{n'^2 - n^2 \sin^2 i}} \right|^2$$

For  $\mu' = \mu$ ,  $i_B = \tan^{-1} \left( \frac{n'}{n} \right)$

# Reflection and refraction between two isotropic media -- continued



For each wave:

$$\mathbf{E}(\mathbf{r}, t) = \Re \left( \mathbf{E}_0 e^{i \frac{\omega}{c} (n \hat{\mathbf{k}} \cdot \mathbf{r} - ct)} \right) \quad n^2 = c^2 \mu \epsilon$$

$$\mathbf{B}(\mathbf{r}, t) = \frac{n}{c} \hat{\mathbf{k}} \times \mathbf{E}(\mathbf{r}, t) = \sqrt{\mu \epsilon} \hat{\mathbf{k}} \times \mathbf{E}(\mathbf{r}, t)$$

Matching condition at interface:

$$n' \cos \theta = \sqrt{n'^2 - n^2 \sin^2 i}$$

Total internal reflection:

$$\text{If } n > n', \quad \text{for } i > i_0 \equiv \sin^{-1} \left( \frac{n'}{n} \right),$$

refracted field no longer propagates in medium  $\mu' \epsilon'$

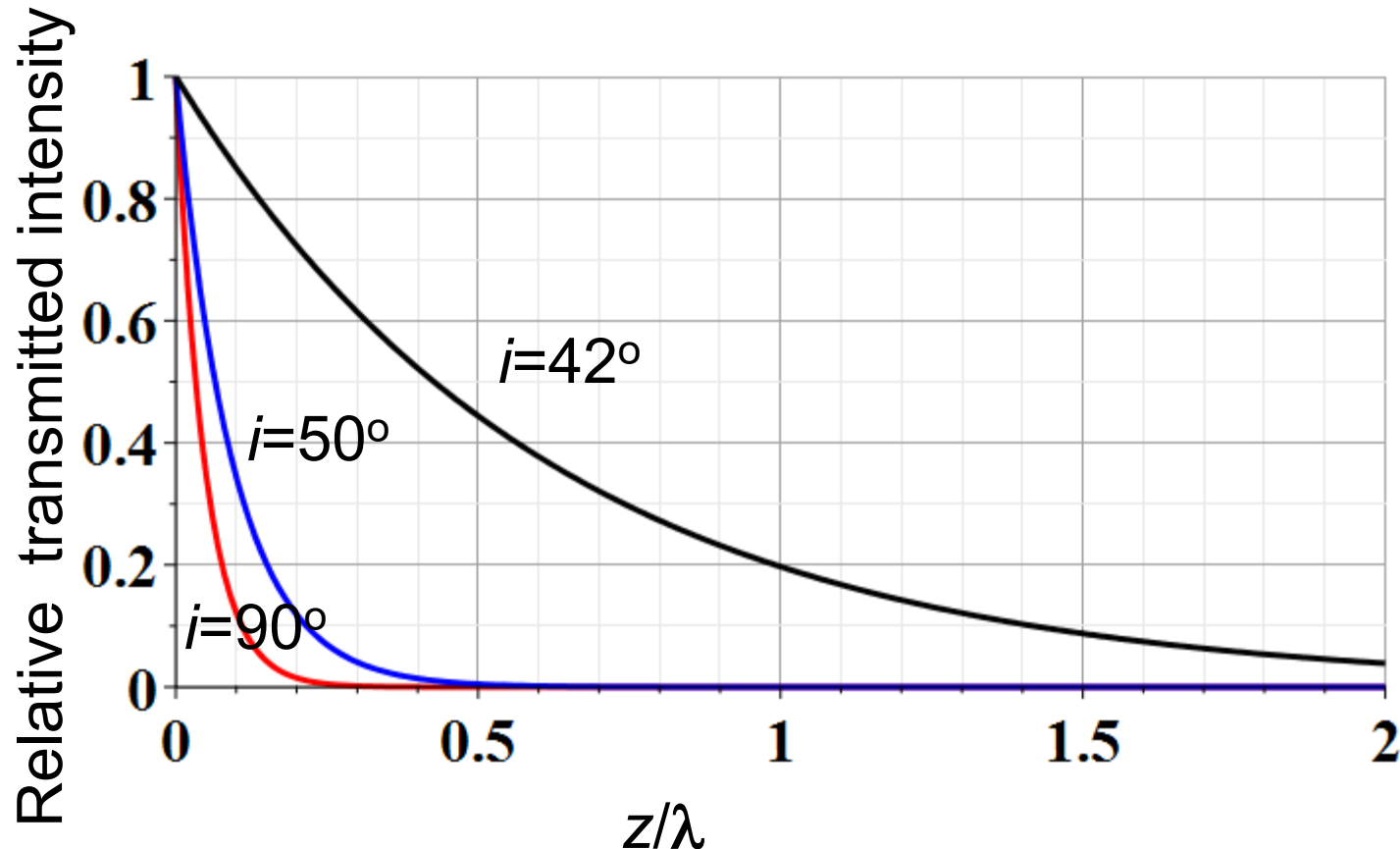
For  $i > i_0$

$$n' \cos \theta = i \sqrt{n^2 \sin^2 i - n'^2} = i n \sqrt{\frac{\sin^2 i}{\sin^2 i_0} - 1}$$

$$\mathbf{E}'(\mathbf{r}, t) = e^{-\left( \frac{n\omega}{c} \sqrt{\frac{\sin^2 i}{\sin^2 i_0} - 1} \right) z} \Re \left( \mathbf{E}'_0 e^{i \frac{\omega}{c} (n \hat{\mathbf{k}}_{\parallel} \cdot \mathbf{r} - ct)} \right)$$

## Example of total internal reflection

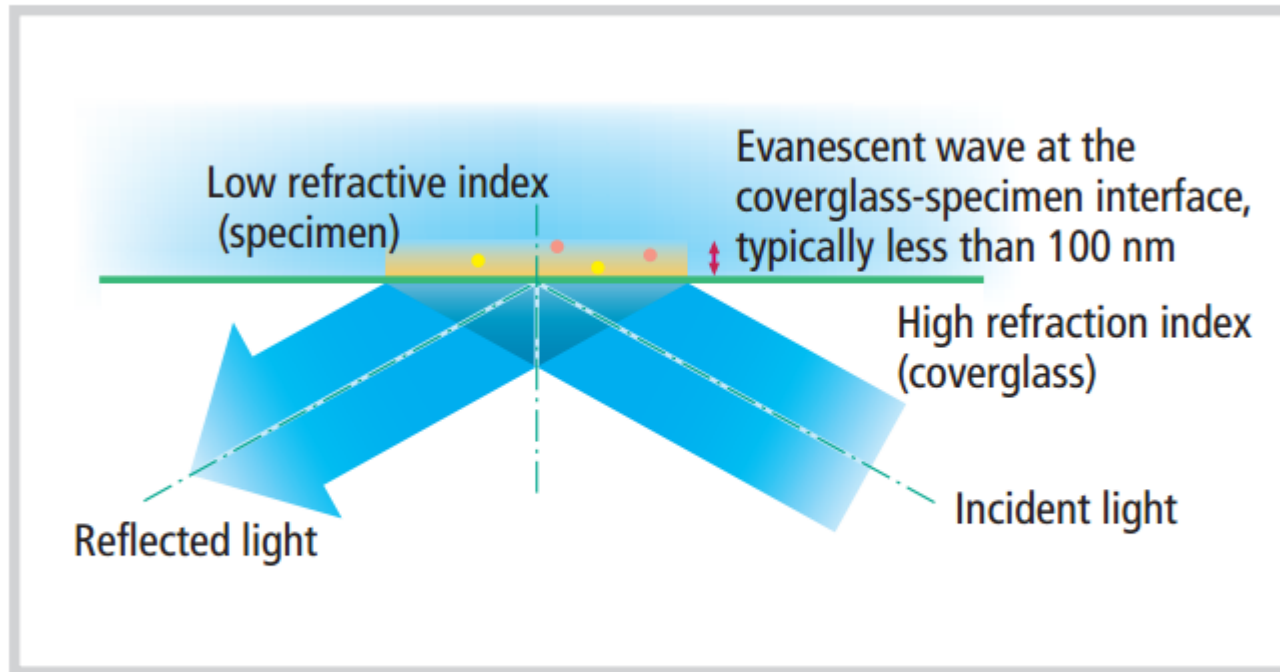
$$n'=1 \quad \text{and} \quad n=1.5 \quad \rightarrow \quad i_0 = \sin^{-1}(1/1.5)=41.81^\circ$$



Transmitted illumination confined within a few wavelengths of the surface.

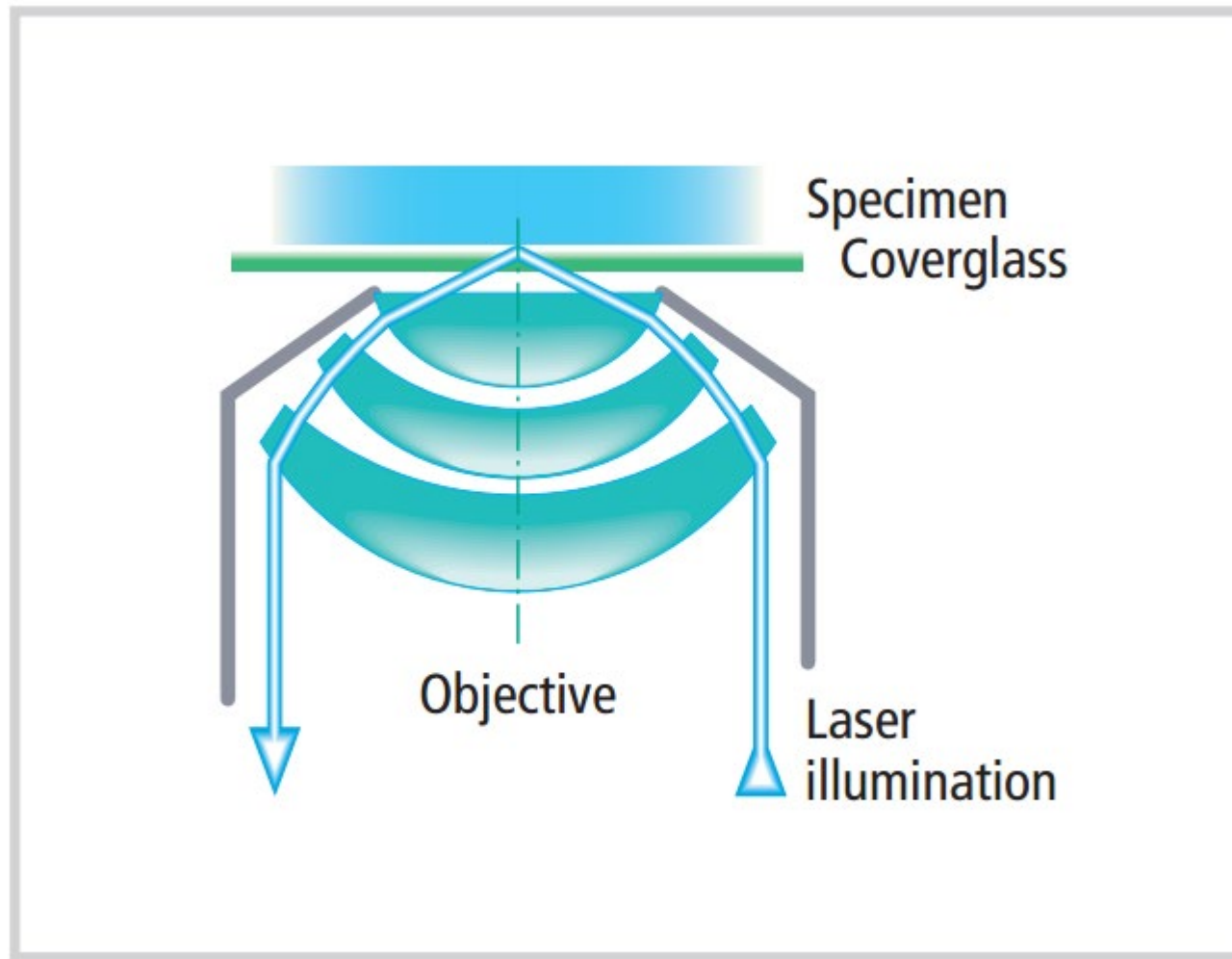
# TIRF (total internal reflection fluorescence)

[www.nikon.com/products/microscope-solutions/bioscience.../nikon\\_note\\_10\\_lr.pdf](http://www.nikon.com/products/microscope-solutions/bioscience.../nikon_note_10_lr.pdf)



**Figure 1:** Creation of an evanescent wave at the coverglass-specimen interface

# Design of TIRF device using laser and high power lens



**Figure 2:** Through-the-lens laser TIRF.

Published in final edited form as:

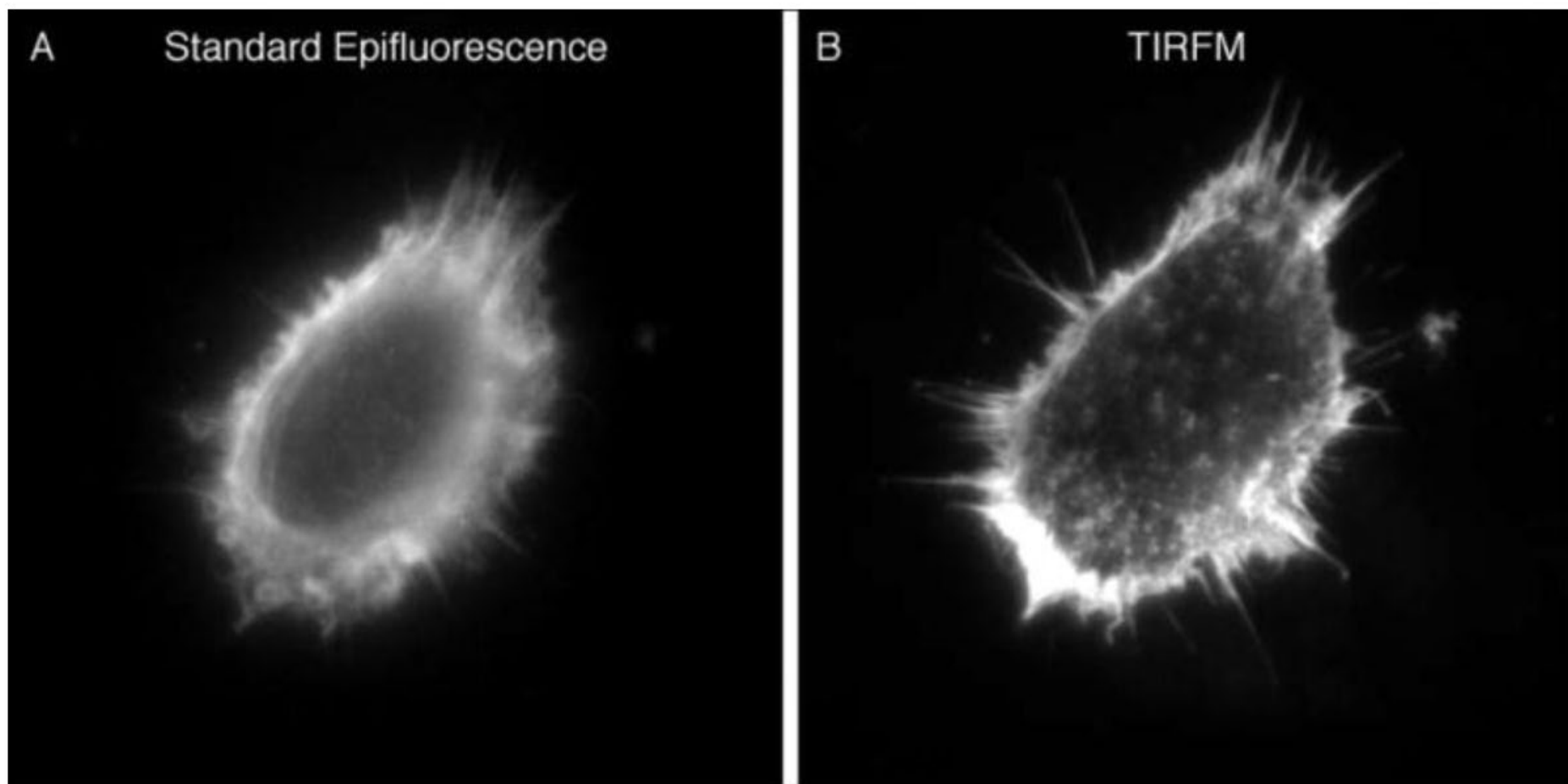
Curr Protoc Cytom. 2009 Oct; 0 12: Unit12.18.

doi: [10.1002/0471142956.cy1218s50](https://doi.org/10.1002/0471142956.cy1218s50)

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## Figure 1



Special case: normal incidence ( $i=0$ ,  $\theta=0$ )

$$\frac{E_{0R}}{E_{0i}} = \frac{\frac{\mu}{\mu'} n' - n}{\frac{\mu}{\mu'} n' + n} \quad \frac{E'_0}{E_{0i}} = \frac{2n}{\frac{\mu}{\mu'} n' + n}$$

Reflectance, transmittance :

$$R = \left| \frac{E_{0R}}{E_{0i}} \right|^2 = \left| \frac{\frac{\mu}{\mu'} n' - n}{\frac{\mu}{\mu'} n' + n} \right|^2$$

$$T = \left| \frac{E'_0}{E_{0i}} \right|^2 \frac{n' \mu}{n \mu'} = \left| \frac{2n}{\frac{\mu}{\mu'} n' + n} \right|^2 \frac{n' \mu}{n \mu'}$$

Extension to complex refractive index  $n = n_R + i n_I$

Suppose  $\mu = \mu'$ ,  $n = \text{real}$ ,  $n' = n'_R + i n'_I$

Reflectance at normal incidence :

$$R = \left| \frac{E_{0R}}{E_{0i}} \right|^2 = \left| \frac{\frac{\mu}{\mu'} n' - n}{\frac{\mu}{\mu'} n' + n} \right|^2 = \frac{(n'_R - n)^2 + (n'_I)^2}{(n'_R + n)^2 + (n'_I)^2}$$

Note that for  $n'_I \gg |n'_R \pm n|$ :

$$R \approx 1$$

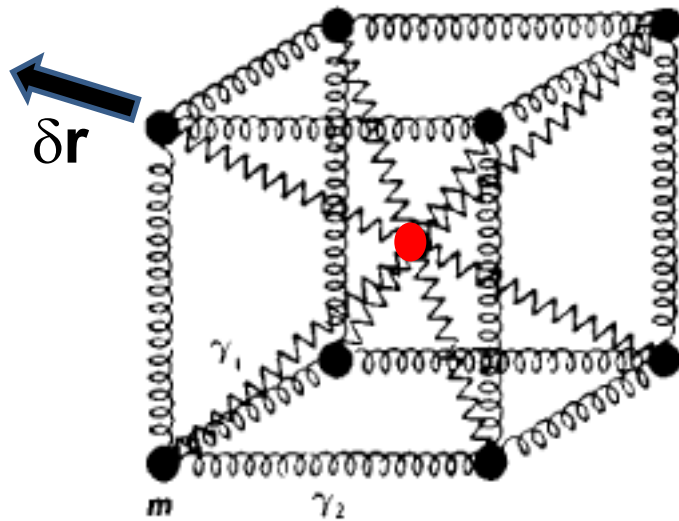
Origin of imaginary contributions to permittivity --  
Review: Drude model dielectric function:

$$\begin{aligned}\frac{\varepsilon(\omega)}{\varepsilon_0} &= 1 + N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \frac{1}{\omega_i^2 - \omega^2 - i\omega\gamma_i} \\ &= \frac{\varepsilon_R(\omega)}{\varepsilon_0} + i \frac{\varepsilon_I(\omega)}{\varepsilon_0}\end{aligned}$$

$$\frac{\varepsilon_R(\omega)}{\varepsilon_0} = 1 + N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \frac{\omega_i^2 - \omega^2}{(\omega_i^2 - \omega^2)^2 + \omega^2 \gamma_i^2}$$

$$\frac{\varepsilon_I(\omega)}{\varepsilon_0} = N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \frac{\omega \gamma_i}{(\omega_i^2 - \omega^2)^2 + \omega^2 \gamma_i^2}$$

# Extensions of the Drude model for lattice vibrations



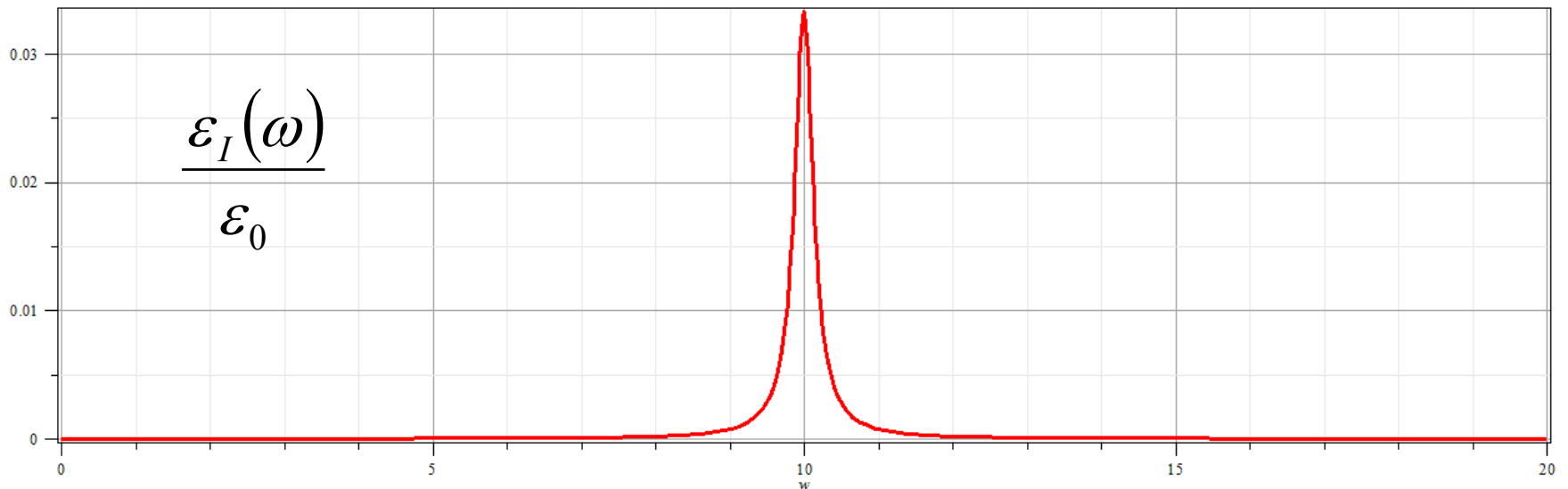
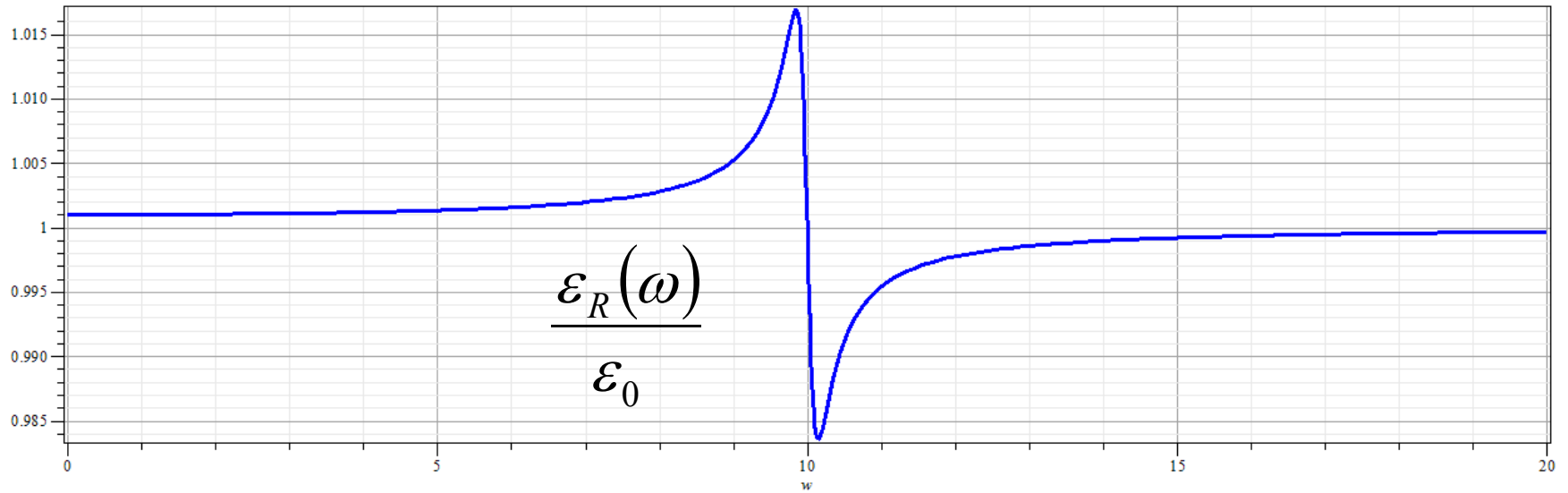
In principle, the ideas of the Drude model apply both to the ionic vibrations which occur at low frequency ( $\sim 10^{12}$  Hz) contributing to the so called static permittivity function  $\epsilon_s$  and to the electronic vibrations which occur at high frequency ( $\sim 10^{15}$  Hz) contributing to the so called high frequency permittivity function  $\epsilon_\infty$ .

In this model at high frequencies, only the electrons contribute to the polarization:  $\epsilon_\infty = \epsilon_0 + \frac{|\mathbf{P}_{electron}|}{|\mathbf{E}|}$

At low frequencies both electrons and ions contribute to the polarization:  $\epsilon_s = \epsilon_0 + \frac{|\mathbf{P}_{electron}|}{|\mathbf{E}|} + \frac{|\mathbf{P}_{ion}|}{|\mathbf{E}|}$

$$\Rightarrow \frac{|\mathbf{P}_{ion}|}{|\mathbf{E}|} = \epsilon_s - \epsilon_\infty$$

# Drude model dielectric function:



## Drude model dielectric function – some analytic properties:

$$\frac{\varepsilon(\omega)}{\varepsilon_0} = 1 + N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \frac{1}{\omega_i^2 - \omega^2 - i\omega\gamma_i}$$

For  $\omega \gg \omega_i$

$$\frac{\varepsilon(\omega)}{\varepsilon_0} \approx 1 - \frac{1}{\omega^2} \left( N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \right)$$
$$\equiv 1 - \frac{\omega_P^2}{\omega^2}$$

## Further comments on analytic behavior of dielectric function

"Causal" relationship between **E** and **D** fields:

$$\mathbf{D}(\mathbf{r}, t) = \varepsilon_0 \left\{ \mathbf{E}(\mathbf{r}, t) + \int_0^{\infty} d\tau \, G(\tau) \mathbf{E}(\mathbf{r}, t - \tau) \right\}$$

$$\frac{\varepsilon(\omega)}{\varepsilon_0} - 1 = \int_0^{\infty} d\tau \, G(\tau) e^{i\omega\tau}$$

Some details: Consider a convolution integral such as

$$f(t) = \int_{-\infty}^{\infty} g(t') h(t - t') dt' \quad \text{where the functions } f(t), g(t), \text{ and } h(t)$$

are all well-defined functions with Fourier transforms such as

$$\tilde{f}(\omega) = \int_{-\infty}^{\infty} f(t') e^{i\omega t'} dt' \quad f(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \tilde{f}(\omega) e^{-i\omega t} d\omega$$

It follows that:  $\tilde{f}(\omega) = \tilde{g}(\omega) \tilde{h}(\omega)$

## Further comments on analytic behavior of dielectric function

"Causal" relationship between **E** and **D** fields:

$$\mathbf{D}(\mathbf{r}, t) = \varepsilon_0 \left\{ \mathbf{E}(\mathbf{r}, t) + \int_0^{\infty} d\tau \, G(\tau) \mathbf{E}(\mathbf{r}, t - \tau) \right\}$$

$$G(\tau) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \left( \frac{\varepsilon(\omega)}{\varepsilon_0} - 1 \right) e^{-i\omega\tau} d\omega \quad \tilde{G}(\omega) = \frac{\varepsilon(\omega)}{\varepsilon_0} - 1 = \int_0^{\infty} d\tau \, G(\tau) e^{i\omega\tau}$$

$$\text{For } \frac{\varepsilon(\omega)}{\varepsilon_0} - 1 = \frac{N}{\varepsilon_0} \sum_i f_i \frac{q_i^2}{m_i} \frac{1}{\omega_i^2 - \omega^2 - i\omega\gamma_i}$$

$$G(\tau) = \frac{N}{\varepsilon_0} \sum_i f_i \frac{q_i^2}{m_i} e^{-\gamma_i \tau / 2} \frac{\sin(\nu_i \tau)}{\nu_i} \Theta(\tau)$$

$$\text{where } \nu_i \equiv \sqrt{\omega_i^2 - \gamma_i^2 / 4}$$

## Some details

$$G(\tau) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \left( \frac{\varepsilon(\omega)}{\varepsilon_0} - 1 \right) e^{-i\omega\tau} d\omega = \frac{1}{2\pi} \oint f(z) e^{-iz\tau} dz$$

$$\text{Let } f(z) = \frac{\varepsilon(z)}{\varepsilon_0} - 1 = N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \frac{1}{\omega_i^2 - z^2 - iz\gamma_i}$$

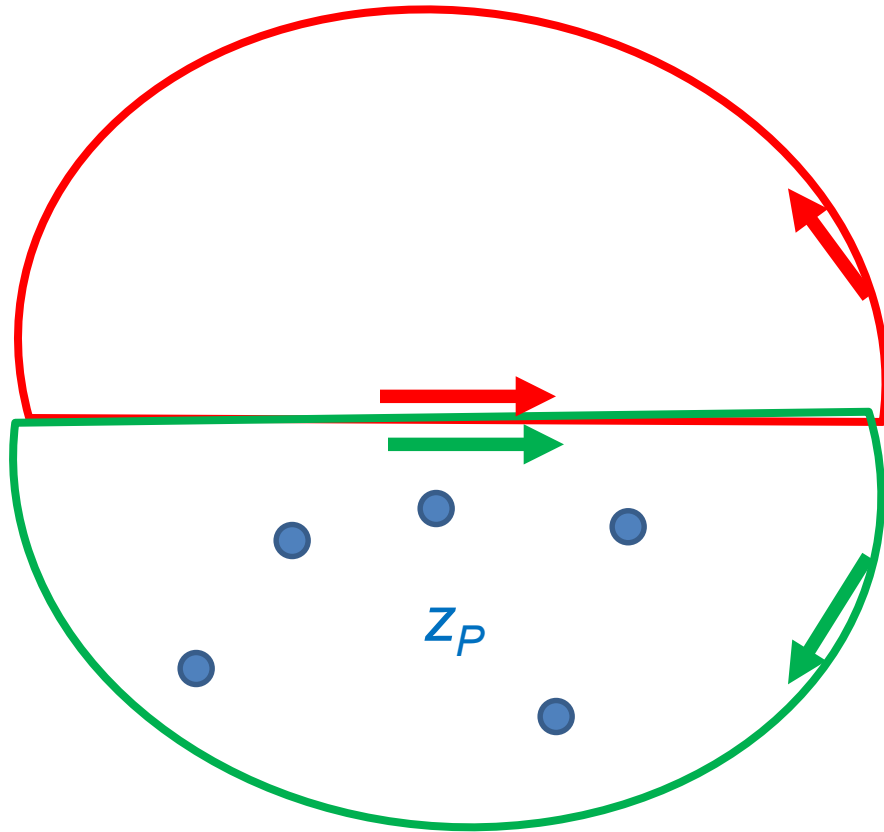
$f(z)$  has poles  $z_P$  at  $\omega_i^2 - z_P^2 - iz_P\gamma_i = 0$

$$z_P = -i\frac{\gamma_i}{2} \pm \sqrt{\omega_i^2 - \left(\frac{\gamma_i}{2}\right)^2} \quad \text{or} \quad z_P = -i\left(\frac{\gamma_i}{2} \pm \sqrt{\left(\frac{\gamma_i}{2}\right)^2 - \omega_i^2}\right)$$

Note that numerically,  $\text{Im}(z_P) < 0$

$$G(\tau) = \frac{1}{2\pi} \oint f(z) e^{-iz\tau} dz = i \sum_P \text{Res}(z_P)$$

Note that:  $e^{-iz\tau} = e^{-iz_R\tau} e^{z_I\tau}$



Valid contour for  $\tau < 0$

$G(\tau) = 0$  for  $\tau < 0$

Valid contour for  $\tau > 0$

$G(\tau) =$

$$\frac{N}{\mathcal{E}_0} \sum_i f_i \frac{q_i^2}{m_i} e^{-\gamma_i \tau / 2} \frac{\sin(\nu_i \tau)}{\nu_i}$$

$$G(\tau) = \frac{1}{2\pi} \oint f(z) e^{-iz\tau} dz = i \sum_P \text{Res}(z_P)$$

$$\text{Let } f(z) = \frac{\varepsilon(z)}{\varepsilon_0} - 1 = N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \frac{1}{\omega_i^2 - z^2 - iz\gamma_i}$$

$$f(z) \text{ has poles } z_P \text{ at } \omega_i^2 - z_P^2 - iz_P\gamma_i = 0$$

$$z_P = -i\frac{\gamma_i}{2} \pm \sqrt{\omega_i^2 - \left(\frac{\gamma_i}{2}\right)^2} \quad \text{or} \quad z_P = -i\left(\frac{\gamma_i}{2} \pm \sqrt{\left(\frac{\gamma_i}{2}\right)^2 - \omega_i^2}\right)$$

$$G(\tau) = \frac{N}{\varepsilon_0} \sum_i f_i \frac{q_i^2}{m_i} e^{-\gamma_i\tau/2} \frac{\sin(\nu_i\tau)}{\nu_i} \Theta(\tau)$$

$$\text{where } \nu_i \equiv \sqrt{\omega_i^2 - \gamma_i^2 / 4} \quad \text{assuming } \omega_i^2 - \gamma_i^2 / 4 \geq 0$$

# Analysis for Drude model dielectric function – continued --

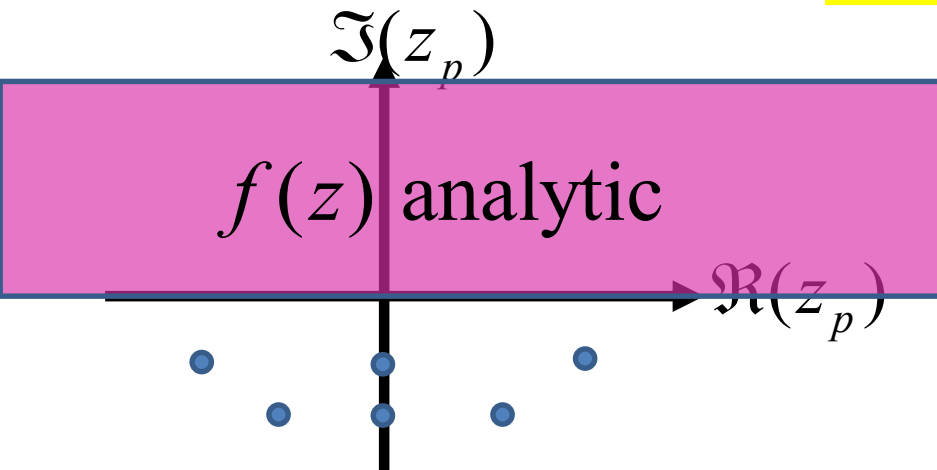
Analytic properties:

$$f(z) = \frac{\varepsilon(z)}{\varepsilon_0} - 1 = N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \frac{1}{\omega_i^2 - z^2 - iz\gamma_i}$$

$f(z)$  has poles  $z_P$  at  $\omega_i^2 - z_P^2 - iz_P\gamma_i = 0$

$$z_P = -i\frac{\gamma_i}{2} \pm \sqrt{\omega_i^2 - \left(\frac{\gamma_i}{2}\right)^2}$$

Note that  $\Im(z_P) \leq 0 \Rightarrow f(z)$  is analytic for  $\Im(z_P) > 0$



Because of these analytic properties, Cauchy's integral theorem results in:

Kramers-Kronig transform – for dielectric function:

$$\frac{\varepsilon_R(\omega)}{\varepsilon_0} - 1 = \frac{1}{\pi} P \int_{-\infty}^{\infty} d\omega' \frac{\varepsilon_I(\omega')}{\varepsilon_0} \frac{1}{\omega' - \omega}$$

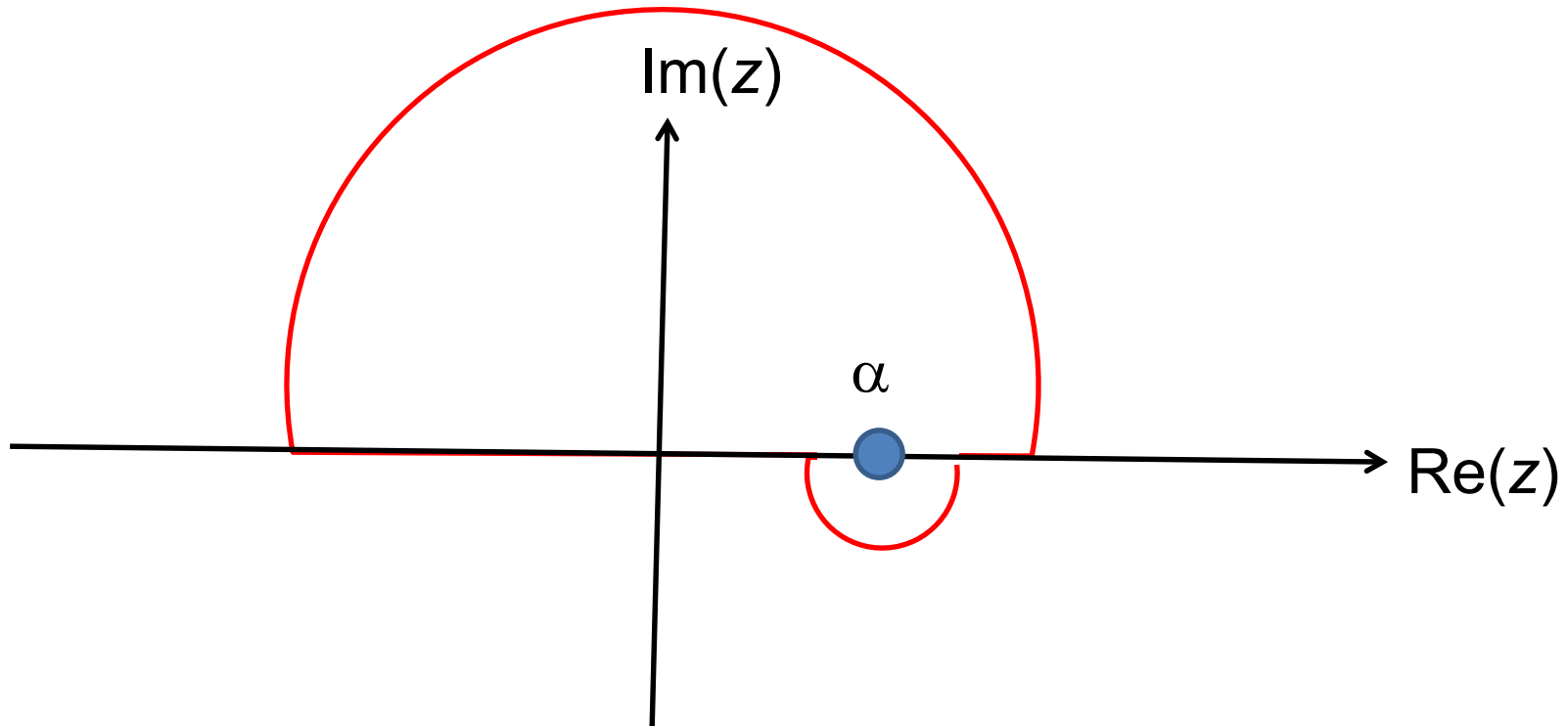
$$\frac{\varepsilon_I(\omega)}{\varepsilon_0} = -\frac{1}{\pi} P \int_{-\infty}^{\infty} d\omega' \left( \frac{\varepsilon_R(\omega')}{\varepsilon_0} - 1 \right) \frac{1}{\omega' - \omega}$$

$$\text{with } \varepsilon_R(-\omega) = \varepsilon_R(\omega); \quad \varepsilon_I(-\omega) = -\varepsilon_I(\omega)$$

Analytic properties of the dielectric function (in the Drude model or from “first principles” -- Kramers-Kronig transform

Consider Cauchy's integral formula for an analytic function  $f(z)$ :

$$\oint dz f(z) = 0 \qquad f(\alpha) = \frac{1}{2\pi i} \oint_{\text{includes } \alpha} dz \frac{f(z)}{z - \alpha}$$



# Kramers-Kronig transform -- continued

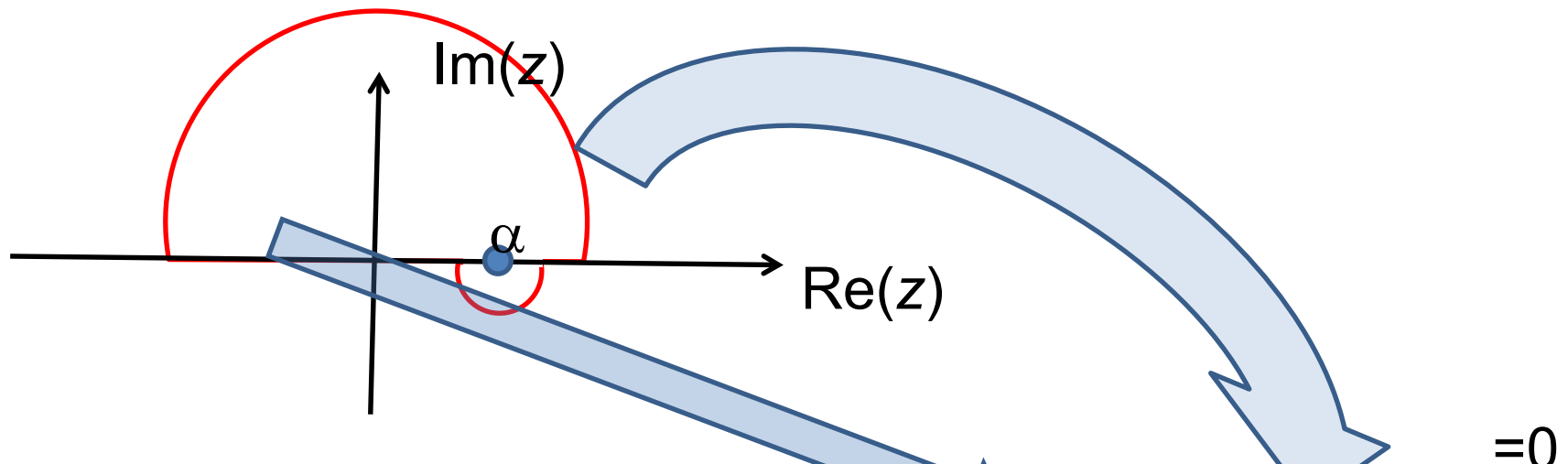
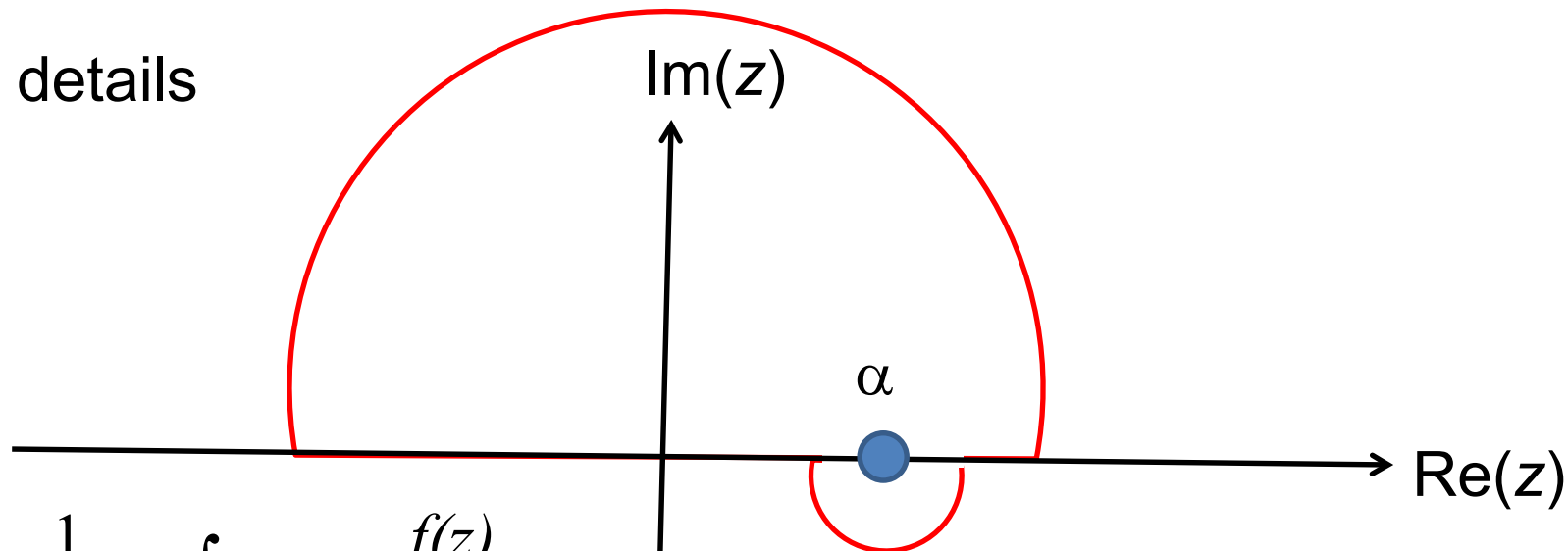


Diagram illustrating the Kramers-Kronig transform. The complex plane is shown with the real axis labeled  $\text{Re}(z)$  and the imaginary axis labeled  $\text{Im}(z)$ . A point  $\alpha$  is marked on the real axis. A branch cut is indicated along the real axis starting from  $\alpha$ . A red semi-circle in the upper half-plane and a red semi-circle in the lower half-plane are shown. A blue arrow points from the upper semi-circle to the first equation, and another blue arrow points from the lower semi-circle to the second equation. A red arrow points from the second equation to the third equation, which is labeled  $=0$ .

$$f(\alpha) = \frac{1}{2\pi i} \oint_{\text{includes } \alpha} dz \frac{f(z)}{z - \alpha} = \frac{1}{2\pi i} \left( \int_{-\infty}^{\infty} dz_R \frac{f(z_R)}{z_R - \alpha} + \int_{\text{rest}} dz \frac{f(z)}{z - \alpha} \right)$$

$$f(\alpha) = \frac{1}{2\pi i} \int_{-\infty}^{\infty} dz_R \frac{f(z_R)}{z_R - \alpha} = \frac{1}{2\pi i} P \int_{-\infty}^{\infty} dz_R \frac{f(z_R)}{z_R - \alpha} + \frac{1}{2} f(\alpha)$$

## Some details



$$\begin{aligned}
 f(\alpha) &= \frac{1}{2\pi i} \oint_{\text{includes } \alpha} dz \frac{f(z)}{z - \alpha} \\
 &= \frac{1}{2\pi i} \left( P \int_{-\infty}^{\infty} dz_R \frac{f(z_R)}{z_R - \alpha} + \int_{\text{small hemisphere}} dz \frac{f(z)}{z - \alpha} + \int_{\text{rest}} dz \frac{f(z)}{z - \alpha} \right)
 \end{aligned}$$

$$\int_{\text{small hemisphere}} dz \frac{f(z)}{z - \alpha} = \frac{1}{2} 2\pi i f(\alpha)$$

$$\Rightarrow f(\alpha) = \frac{1}{2\pi i} P \int_{-\infty}^{\infty} dz_R \frac{f(z_R)}{z_R - \alpha} + \frac{1}{2} f(\alpha)$$

## Kramers-Kronig transform -- continued

$$f(\alpha) = \frac{1}{2\pi i} P \int_{-\infty}^{\infty} dz_R \frac{f(z_R)}{z_R - \alpha} + \frac{1}{2} f(\alpha)$$

$$\Rightarrow f(\alpha) = \frac{1}{\pi i} P \int_{-\infty}^{\infty} dz_R \frac{f(z_R)}{z_R - \alpha}$$

Suppose  $f(z_R) = f_R(z_R) + if_I(z_R)$ :

$$\Rightarrow (f_R(\alpha) + if_I(\alpha)) = \frac{1}{\pi i} P \int_{-\infty}^{\infty} dz_R \frac{f_R(z_R) + if_I(z_R)}{z_R - \alpha}$$

$$\Rightarrow f_R(\alpha) = \frac{1}{\pi} P \int_{-\infty}^{\infty} dz_R \frac{f_I(z_R)}{z_R - \alpha}$$

$$f_I(\alpha) = -\frac{1}{\pi} P \int_{-\infty}^{\infty} dz_R \frac{f_R(z_R)}{z_R - \alpha}$$

## Kramers-Kronig transform -- continued

$$f_R(\alpha) = \frac{1}{\pi} P \int_{-\infty}^{\infty} dz_R \frac{f_I(z_R)}{z_R - \alpha}$$

$$f_I(\alpha) = -\frac{1}{\pi} P \int_{-\infty}^{\infty} dz_R \frac{f_R(z_R)}{z_R - \alpha}$$

For dielectric function  $\varepsilon(\omega)$ :

$$\varepsilon(-\omega) = \varepsilon^*(\omega)$$

$$\Rightarrow \varepsilon_R(-\omega) = \varepsilon_R(\omega)$$

$$\Rightarrow \varepsilon_I(-\omega) = -\varepsilon_I(\omega)$$

This Kramers-Kronig transform is useful for the dielectric function

$$\text{when } f(z_R) \Rightarrow \frac{\varepsilon(\omega)}{\varepsilon_0} - 1$$

Must show that: 1.  $f(z)$  is analytic for  $z_I > 0$

2.  $f(z)$  vanishes for  $z \rightarrow \infty$  for  $z_I > 0$

## Analysis for Drude model dielectric function:

$$\frac{\varepsilon(\omega)}{\varepsilon_0} = 1 + N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \frac{1}{\omega_i^2 - \omega^2 - i\omega\gamma_i}$$

$$\text{Let } f(z) = \frac{\varepsilon(z)}{\varepsilon_0} - 1 = N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \frac{1}{\omega_i^2 - z^2 - iz\gamma_i}$$

$$\text{For } |z| \gg \omega_i$$

$$f(z) \approx -\frac{1}{z^2} \left( N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \right) \Rightarrow \text{vanishes at large } z$$

## Analysis for Drude model dielectric function – continued -- Analytic properties:

$$f(z) = \frac{\varepsilon(z)}{\varepsilon_0} - 1 = N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \frac{1}{\omega_i^2 - z^2 - iz\gamma_i}$$

$f(z)$  has poles  $z_P$  at  $\omega_i^2 - z_P^2 - iz_P\gamma_i = 0$

$$z_P = -i\frac{\gamma_i}{2} \pm \sqrt{\omega_i^2 - \left(\frac{\gamma_i}{2}\right)^2}$$

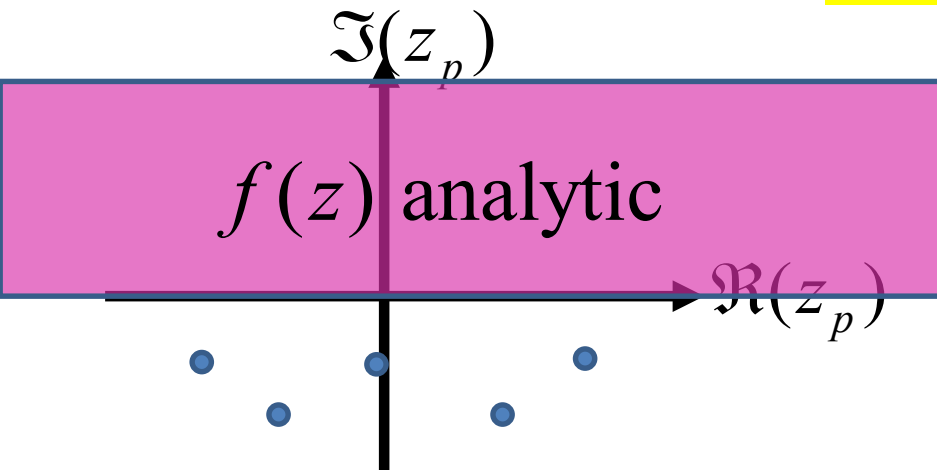
Note that  $\Im(z_P) \leq 0 \Rightarrow f(z)$  is analytic for  $\Im(z_P) > 0$

$$f(z) = \frac{\varepsilon(z)}{\varepsilon_0} - 1 = N \sum_i f_i \frac{q_i^2}{\varepsilon_0 m_i} \frac{1}{\omega_i^2 - z^2 - iz\gamma_i}$$

$f(z)$  has poles  $z_P$  at  $\omega_i^2 - z_P^2 - iz_P\gamma_i = 0$

$$z_P = -i \frac{\gamma_i}{2} \pm \sqrt{\omega_i^2 - \left(\frac{\gamma_i}{2}\right)^2}$$

Note that  $\Im(z_P) \leq 0 \Rightarrow f(z)$  is analytic for  $\Im(z_P) > 0$



## Summary –

Kramers-Kronig transform – for dielectric function:

$$\frac{\varepsilon_R(\omega)}{\varepsilon_0} - 1 = \frac{1}{\pi} P \int_{-\infty}^{\infty} d\omega' \frac{\varepsilon_I(\omega')}{\varepsilon_0} \frac{1}{\omega' - \omega}$$

$$\frac{\varepsilon_I(\omega)}{\varepsilon_0} = -\frac{1}{\pi} P \int_{-\infty}^{\infty} d\omega' \left( \frac{\varepsilon_R(\omega')}{\varepsilon_0} - 1 \right) \frac{1}{\omega' - \omega}$$

$$\text{with } \varepsilon_R(-\omega) = \varepsilon_R(\omega); \quad \varepsilon_I(-\omega) = -\varepsilon_I(\omega)$$

Practical applications -- It is often possible/more convenient to calculate the imaginary response and use KK to deduce the real response or visa versa.

# Analysis of Maxwell's equations without sources -- continued:

Summary of plane electromagnetic waves :

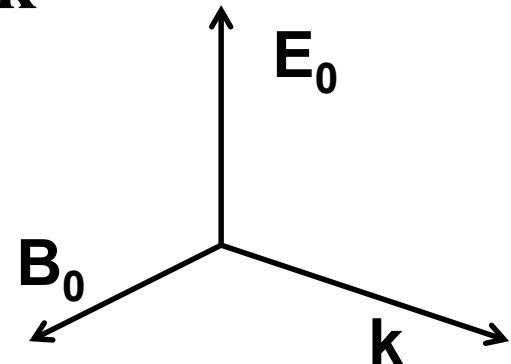
$$\mathbf{B}(\mathbf{r}, t) = \Re \left( \frac{n \hat{\mathbf{k}} \times \mathbf{E}_0}{c} e^{i\mathbf{k} \cdot \mathbf{r} - i\omega t} \right) \quad \mathbf{E}(\mathbf{r}, t) = \Re \left( \mathbf{E}_0 e^{i\mathbf{k} \cdot \mathbf{r} - i\omega t} \right)$$

$$|\mathbf{k}|^2 = \left( \frac{\omega}{v} \right)^2 = \left( \frac{n\omega}{c} \right)^2 \quad \text{where } n \equiv \sqrt{\frac{\mu\epsilon}{\mu_0\epsilon_0}} \quad \text{and } \hat{\mathbf{k}} \cdot \mathbf{E}_0 = 0$$

Poynting vector and energy density:

$$\langle \mathbf{S} \rangle_{avg} = \frac{n |\mathbf{E}_0|^2}{2\mu c} \hat{\mathbf{k}} = \frac{1}{2} \sqrt{\frac{\epsilon}{\mu}} |\mathbf{E}_0|^2 \hat{\mathbf{k}}$$

$$\langle u \rangle_{avg} = \frac{1}{2} \epsilon |\mathbf{E}_0|^2$$



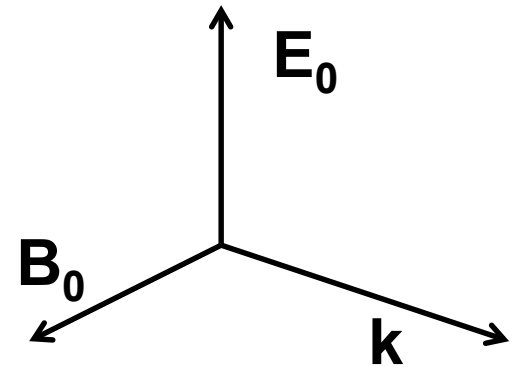
## Transverse electric and magnetic waves (TEM)

$$\mathbf{B}(\mathbf{r}, t) = \Re \left( \frac{n \hat{\mathbf{k}} \times \mathbf{E}_0}{c} e^{i\mathbf{k} \cdot \mathbf{r} - i\omega t} \right) \quad \mathbf{E}(\mathbf{r}, t) = \Re \left( \mathbf{E}_0 e^{i\mathbf{k} \cdot \mathbf{r} - i\omega t} \right)$$

$$|\mathbf{k}|^2 = \left( \frac{\omega}{v} \right)^2 = \left( \frac{n\omega}{c} \right)^2 \quad \text{where } n \equiv \sqrt{\frac{\mu\epsilon}{\mu_0\epsilon_0}} \quad \text{and } \hat{\mathbf{k}} \cdot \mathbf{E}_0 = 0$$

TEM modes describe  
electromagnetic waves in lossless  
media and vacuum

For real  
 $\epsilon, \mu, n, k$



Effects of complex dielectric; fields near the surface on an ideal conductor

Suppose for an isotropic medium:  $\mathbf{D} = \epsilon_b \mathbf{E}$        $\mathbf{J} = \sigma \mathbf{E}$

Maxwell's equations in terms of  $\mathbf{H}$  and  $\mathbf{E}$ :

$$\nabla \cdot \mathbf{E} = 0 \qquad \nabla \cdot \mathbf{H} = 0$$

$$\nabla \times \mathbf{E} = -\mu \frac{\partial \mathbf{H}}{\partial t} \qquad \nabla \times \mathbf{H} = \sigma \mathbf{E} + \epsilon_b \frac{\partial \mathbf{E}}{\partial t}$$

$$\left( \nabla^2 - \mu\sigma \frac{\partial}{\partial t} - \mu\epsilon_b \frac{\partial^2}{\partial t^2} \right) \mathbf{F} = 0 \qquad \mathbf{F} = \mathbf{E}, \mathbf{H}$$

Plane wave form for  $\mathbf{E}$ :

$$\mathbf{E}(\mathbf{r}, t) = \Re \left( \mathbf{E}_0 e^{i\mathbf{k} \cdot \mathbf{r} - i\omega t} \right) \qquad \text{where } \mathbf{k} = (n_R + in_I) \frac{\omega}{c} \hat{\mathbf{k}}$$

$$\Rightarrow \mathbf{E}(\mathbf{r}, t) = e^{-\hat{\mathbf{k}} \cdot \mathbf{r} / \delta} \Re \left( \mathbf{E}_0 e^{in_R(\omega/c) \hat{\mathbf{k}} \cdot \mathbf{r} - i\omega t} \right)$$

Some details:

Plane wave form for  $\mathbf{E}$  :

$$\mathbf{E}(\mathbf{r}, t) = \Re\left(\mathbf{E}_0 e^{i\mathbf{k} \cdot \mathbf{r} - i\omega t}\right) \quad \text{where } \mathbf{k} = (n_R + in_I) \frac{\omega}{c} \hat{\mathbf{k}}$$

$$\left( \nabla^2 - \mu\sigma \frac{\partial}{\partial t} - \mu\epsilon_b \frac{\partial^2}{\partial t^2} \right) \mathbf{E} = 0$$

$$-(n_R + in_I)^2 + i \frac{\mu\sigma c^2}{\omega} + \mu\epsilon_b c^2 = 0$$

# Fields near the surface on an ideal conductor -- continued

For our system :

$$\frac{\omega}{c} n_R = \omega \sqrt{\frac{\mu \epsilon_b}{2}} \left( \sqrt{1 + \left( \frac{\sigma}{\omega \epsilon_b} \right)^2} + 1 \right)^{1/2}$$

$$\frac{\omega}{c} n_I = \omega \sqrt{\frac{\mu \epsilon_b}{2}} \left( \sqrt{1 + \left( \frac{\sigma}{\omega \epsilon_b} \right)^2} - 1 \right)^{1/2}$$

$$\text{For } \frac{\sigma}{\omega} \gg 1 \quad \frac{\omega}{c} n_R \approx \frac{\omega}{c} n_I \approx \sqrt{\frac{\mu \sigma \omega}{2}} \equiv \frac{1}{\delta}$$

$$\Rightarrow \mathbf{E}(\mathbf{r}, t) = e^{-\hat{\mathbf{k}} \cdot \mathbf{r} / \delta} \Re \left( \mathbf{E}_0 e^{i \hat{\mathbf{k}} \cdot \mathbf{r} / \delta - i \omega t} \right)$$

$$\Rightarrow \mathbf{H}(\mathbf{r}, t) = \frac{n}{c \mu} \hat{\mathbf{k}} \times \mathbf{E}(\mathbf{r}, t) = \frac{1+i}{\delta \mu \omega} \hat{\mathbf{k}} \times \mathbf{E}(\mathbf{r}, t)$$

# Some representative values of skin depth

Ref: Lorrain<sup>2</sup> and Corson

$$\frac{\omega}{c} n_R \approx \frac{\omega}{c} n_I \approx \sqrt{\frac{\mu \sigma \omega}{2}} \equiv \frac{1}{\delta}$$

|         | $\sigma$ ( $10^7$ S/m) | $\mu/\mu_0$ | $\delta$ (0.001m)<br>at 60 Hz | $\delta$ (0.001m)<br>at 1 MHz |
|---------|------------------------|-------------|-------------------------------|-------------------------------|
| Al      | 3.54                   | 1           | 10.9                          | 84.6                          |
| Cu      | 5.80                   | 1           | 8.5                           | 66.1                          |
| Fe      | 1.00                   | 100         | 1.0                           | 10.0                          |
| Mumetal | 0.16                   | 2000        | 0.4                           | 3.0                           |
| Zn      | 1.86                   | 1           | 15.1                          | 117                           |

Relative energies associated with field

Electric energy density:  $\epsilon_b |\mathbf{E}|^2$

Magnetic energy density:  $\mu |\mathbf{H}|^2$

Ratio inside conducting media:  $\frac{\epsilon_b |\mathbf{E}|^2}{\mu |\mathbf{H}|^2} = \frac{\epsilon_b}{\mu \left| \frac{1+i}{\delta \mu \omega} \right|^2} = \frac{\epsilon_b \mu \omega^2 \delta^2}{2}$

Here wavelength is defined:

$$\lambda = \frac{2\pi c}{\omega} \qquad = 2\pi^2 \frac{\epsilon_b}{\epsilon_0} \frac{\mu}{\mu_0} \frac{\delta^2}{\lambda^2}$$

For  $\frac{\epsilon_b |\mathbf{E}|^2}{\mu |\mathbf{H}|^2} \ll 1 \Rightarrow$  magnetic energy dominates

Note that in free space,  $\frac{\epsilon_0 |\mathbf{E}|^2}{\mu_0 |\mathbf{H}|^2} = 1$

# Various wavelengths $\lambda$ --

