# PHY 742 Quantum Mechanics II 12-12:50 PM MWF Olin 103

Plan for Lecture 15

Matrix elements and selection rules
Ref: Chapter 15 and others in E. Carlson's textbook

- 1. Selection rules for electric dipole transitions between spherically symmetric states
- 2. Rotations of eigenstates of angular momentum

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3. Other symmetry related issues

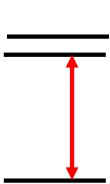
#### Course schedule for Spring 2022

(Preliminary schedule -- subject to frequent adjustment.)

|    | Lecture date    | Reading         | Topic  | HW         | Due date   |
|----|-----------------|-----------------|--|------------|------------|
| 1  | Mon: 01/10/2022 | Chap. 12        | Approximate solutions for stationary states The variational approach       | <u>#1</u>  | 01/14/2022 |
| 2  | Wed: 01/12/2022 | Chap. 12 C      | Approximate solutions for stationary states Perturbation theory            | <u>#2</u>  | 01/19/2022 |
| 3  | Fri: 01/14/2022 | Chap. 12 D      | Approximate solutions for stationary states Degenerate perturbation theory | <u>#3</u>  | 01/21/2022 |
|    | Mon: 01/17/2022 |                 | MLK Holiday no class   |            |            |
| 4  | Wed: 01/19/2022 | Chap. 12 C & D  | Approximate solutions for stationary states Additional tricks              | <u>#4</u>  | 01/24/2022 |
| 5  | Fri: 01/21/2022 | Chap. 13        | Examples of of the use of perturbation theory                              | <u>#5</u>  | 01/26/2022 |
| 6  | Mon: 01/24/2022 | Chap. 13 & 12 B | Hyperfine perturbation and also the WKB approximation                      | <u>#6</u>  | 01/28/2022 |
| 7  | Wed: 01/26/2022 | Chap. 14        | Scattering theory  |            |            |
| 8  | Fri: 01/28/2022 | Chap. 14        | Scattering theory  | <u>#7</u>  | 02/04/2022 |
| 9  | Mon: 01/31/2022 | Chap. 14        | Scattering theory  | <u>#8</u>  | 02/07/2022 |
|    | Wed: 02/02/2022 | No class        | Fire caution   |            |            |
|    | Fri: 02/04/2022 | No class        | Fire caution   |            |            |
| 10 | Mon: 02/07/2022 | Chap. 11 (A-C)  | Time evolution and Feynman path integrals                                  | <u>#9</u>  | 02/09/2022 |
| 11 | Wed: 02/09/2022 | Chap. 11 (A-C)  | Time evolution and Feynman path integrals                                  | #10        | 02/11/2022 |
| 12 | Fri: 02/11/2022 | Chap. 15 A      | Approximation methods for time evolution of quantum systems                | <u>#11</u> | 02/14/2022 |
| 13 | Mon: 02/14/2022 | Chap. 15        | Approximate time evolution   | #12        | 02/16/2022 |
| 14 | Wed: 02/16/2022 | Chap. 15        | Fermi Golden Rule  | #13        | 02/18/2022 |
| 15 | Fri: 02/18/2022 | Chap. 15        | Matrix elements and selection rules  |            |            |
|    | Mon: 02/21/2022 | Chaps. (11-15)  | Homework review & presentations  |            |            |



We will discuss "selection rules" for transitions between spherically symmetric states in due to interaction with an electromagnetic field in the dipole approximation --



$$\langle f^0 | \tilde{H}^1 | I^0 \rangle = \langle f^0 | -eF_0 r \cos \theta | I^0 \rangle$$

Symmetry analysis of the matrix element finds non-trivial matrix elements

for 
$$\ell_f - \ell_I = \pm 1$$
 and  $m_f - m_I = 0, \pm 1$ .

#### **Digression on matrix elements --**

For transition matrix elements between states of spherically symmetric systems, we typically must evaluate "Gaunt" coefficients:

$$\left\langle f^0 \middle| \tilde{H}^1 \middle| I^0 \right\rangle \propto \int d\Omega \ Y_{l_1 m_1}^*(\theta, \phi) Y_{l_2 m_2}(\theta, \phi) Y_{l_3 m_3}(\theta, \phi)$$



$$\int_{0}^{2\pi} \int_{0}^{\pi} Y_{l_{1},m_{1}}(\theta,\phi) Y_{l_{2},m_{2}}(\theta,\phi) Y_{l_{3},m_{3}}(\theta,\phi) \sin\theta \, d\theta \, d\phi 
= \left(\frac{(2l_{1}+1)(2l_{2}+1)(2l_{3}+1)}{4\pi}\right)^{\frac{1}{2}} \begin{pmatrix} l_{1} & l_{2} & l_{3} \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l_{1} & l_{2} & l_{3} \\ m_{1} & m_{2} & m_{3} \end{pmatrix}.$$

#### 3j symbols

$$34.2.4 \begin{pmatrix} j_1 & j_2 & j_3 \\ m_1 & m_2 & m_3 \end{pmatrix} = (-1)^{j_1 - j_2 - m_3} \Delta (j_1 j_2 j_3) \left( (j_1 + m_1)! (j_1 - m_1)! (j_2 + m_2)! (j_2 - m_2)! (j_3 + m_3)! (j_3 - m_3)! \right)^{\frac{1}{2}} \\ \times \sum_{s} \frac{(-1)^{s}}{s! (j_1 + j_2 - j_3 - s)! (j_1 - m_1 - s)! (j_2 + m_2 - s)! (j_3 - j_2 + m_1 + s)! (j_3 - j_1 - m_2 + s)!}'$$

where

34.2.5 
$$\Delta(j_1j_2j_3) = \left(\frac{(j_1+j_2-j_3)!(j_1-j_2+j_3)!(-j_1+j_2+j_3)!}{(j_1+j_2+j_3+1)!}\right)^{\frac{1}{2}},$$

The quantities  $j_1$ ,  $j_2$ ,  $j_3$  in the 3j symbol are called angular momenta. Either all of them are nonnegative integers, or one is a nonnegative integer and the other two are half-odd positive integers. They must form the sides of a triangle (possibly degenerate). They therefore satisfy the triangle conditions

$$|j_r - j_s| \le j_t \le j_r + j_s,$$



where r, s, t is any permutation of 1, 2, 3. The corresponding projective quantum numbers  $m_1, m_2, m_3$  are given by

$$m_r = -j_r, -j_r + 1, ..., j_r - 1, j_r,$$

r = 1, 2, 3,

and satisfy

34.2.3

$$m_1 + m_2 + m_3 = 0.$$



For transition matrix elements between states of spherically symmetric systems, we typically must evaluate "Gaunt" coefficients:

$$\left\langle f^{0} \left| \tilde{H}^{1} \right| I^{0} \right\rangle = \left\langle f^{0} \left| -e \mathbf{F} \cdot \mathbf{r} \right| I^{0} \right\rangle \propto \int d\Omega \ Y_{l_{f}m_{f}}^{*}(\theta, \phi) Y_{1m}(\theta, \phi) Y_{l_{i}m_{i}}(\theta, \phi)$$

Recall that  $\hat{\mathbf{r}} = \hat{\mathbf{x}} \sin \theta \cos \phi + \hat{\mathbf{y}} \sin \theta \sin \phi + \hat{\mathbf{z}} \cos \theta$ 

$$= \sqrt{\frac{4\pi}{3}} \left( \hat{\mathbf{x}} \left( \frac{-Y_{11}(\theta, \phi) + Y_{1-1}(\theta, \phi)}{\sqrt{2}} \right) + \hat{\mathbf{y}} \left( i \frac{Y_{11}(\theta, \phi) + Y_{1-1}(\theta, \phi)}{\sqrt{2}} \right) + \hat{\mathbf{z}} Y_{10}(\theta, \phi) \right)$$

where  $Y_{11}(\theta, \phi) = -\sqrt{\frac{3}{8\pi}} \sin \theta \ e^{i\phi}, \ Y_{1-1}(\theta, \phi) = \sqrt{\frac{3}{8\pi}} \sin \theta \ e^{-i\phi}, \ Y_{10}(\theta, \phi) = \sqrt{\frac{3}{4\pi}} \cos \theta$ 

$$\left\langle f^{0} \right| = R_{f}(r)Y_{l_{f}m_{f}}^{*}(\theta,\phi)$$
  $\left| I^{0} \right\rangle = R_{i}(r)Y_{l_{i}m_{i}}(\theta,\phi)$ 

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#### More details given in Chapter VIII of your textbook -- for example, from Pg. 131:

The coefficients  $\langle j_1 j_2; m_1 m_2 | jm \rangle$  are called *Clebsch-Gordan coefficients*, <sup>1</sup> (CG coefficients for short) and are useful in a variety of settings. I have included a Maple routine called "Clebsch" that computes them on my web page if you ever need them. There are several constraints that they must satisfy to be non-zero:

- (1)  $-j \le m \le j$ ,  $-j_1 \le m_1 \le j_1$ , and  $-j_2 \le m_2 \le j_2$ , by integers;
- (2)  $|j_1 j_2| \le j \le j_1 + j_2$ , by integers; and
- (3)  $m = m_1 + m_2$ .

### Writing Clebsch-Gordan coefficients in terms of 3j symbols –

https://dlmf.nist.gov/search/search?q=Clebsch-Gordan

► ... An often used alternative to the 3 j symbol is the Clebsch-Gordan coefficient

34.1.1 
$$\left( j_1 \, m_1 \, j_2 \, m_2 \, | \, j_1 \, j_2 \, j_3 \, m_3 \right) = (-1)^{j_1 - j_2 + m_3} (2j_3 + 1)^{\frac{1}{2}} \begin{pmatrix} j_1 \, j_2 \, j_3 \\ m_1 \, m_2 \, -m_3 \end{pmatrix};$$

#### Gaunt coefficients in terms of Clebsch-Gordan coefficients (Pg. 139 of your textbook)

Substituting this back into Eq. (8.35), we have<sup>1</sup>

$$\int Y_{l}^{m}(\theta,\phi)^{*}Y_{l_{1}}^{m_{1}}(\theta,\phi)Y_{l_{2}}^{m_{2}}(\theta,\phi)d\Omega = \langle lm|l_{1}l_{2};m_{1}m_{2}\rangle\langle l_{1}l_{2};00|l0\rangle\sqrt{\frac{(2l_{1}+1)(2l_{2}+1)}{4\pi(2l+1)}}.$$
 (8.37)

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<sup>&</sup>lt;sup>1</sup> Note that the matrix element  $\langle lm | l_1 l_2; m_1 m_2 \rangle$  is often written as  $\langle l_1 l_2; m_1 m_2 | lm \rangle$  in this expression. This is permissible since it is real.

#### Summary of results for dipole transition matrix elements --

$$\left\langle f^{0} \middle| \tilde{H}^{1} \middle| I^{0} \right\rangle = \left\langle f^{0} \middle| -e \mathbf{F} \cdot \mathbf{r} \middle| I^{0} \right\rangle \propto \int d\Omega \ Y_{l_{f}m_{f}}^{*}(\theta, \phi) Y_{1m}(\theta, \phi) Y_{l_{i}m_{i}}(\theta, \phi)$$

$$\left\langle f^{0} \middle| = R_{f}(r) Y_{l_{f}m_{f}}^{*}(\theta, \phi) \qquad \qquad \middle| I^{0} \right\rangle = R_{i}(r) Y_{l_{i}m_{i}}(\theta, \phi)$$

$$\Rightarrow l_{f} = l_{i} \pm 1 \quad \text{and} \quad m_{f} = m_{i} + m$$



Depends on orientation of sample and polarization of EM field

Example -- Is the following transition between states of a H-like ion an "allowed"

dipole transition? 
$$|I^{0}\rangle = |n_{i}l_{i}m_{i}\rangle = |520\rangle$$
  $|f^{0}\rangle = |n_{f}l_{f}m_{f}\rangle = |621\rangle$ 

(A) yes (B) no

#### Further abstraction of matrix element analysis using Group Theory

Consider 
$$\langle f^0 | O | I^0 \rangle = \int d^3 r \Psi_f^*(\mathbf{r}) O(\mathbf{r}) \Psi_i(\mathbf{r})$$

We want to find out which combinations give non-trivial results

Group theory enables the determination of the "distilled essence" of the initial and final states and of the operator to determine which transitions are non-trivial

$$\langle \Psi_1 | O | \Psi_2 \rangle = \int d^3 r \Psi_1^*(\mathbf{r}) O \Psi_2(\mathbf{r})$$

$$= 0 \text{ if } \sum_{R} \left( \Gamma^i(R) \right)^* \Gamma^j(R) \Gamma^k(R) = 0$$
or 
$$\sum_{e} N_e \left( \chi^i(e) \right)^* \chi^j(e) \chi^k(e) = 0$$

R here represents symmetry rotations\* of the system

Crepresents "classes" of rotations of the system

Initial Operator Final state

\*This can include the continuum of angles or discrete angles.

Example of the use of a character table for the case of discrete angles

|                               | E | A,B,C | D,F | e |
|-------------------------------|---|-------|-----|---|
| $\chi^{\scriptscriptstyle I}$ | 1 | 1     | 1   |   |
| $\chi^2$                      | 1 | -1    | 1   |   |
| $\chi^3$                      | 2 | 0     | -1  |   |

Suppose 
$$O \Rightarrow \chi^2$$

Non-trivial matrix elements:

Initial state  $\Rightarrow$  Final state

$$\begin{array}{ccc} \chi^1 & \Rightarrow & \chi^2 \\ \chi^2 & \Rightarrow & \chi \\ \chi^3 & \Rightarrow & \chi \end{array}$$

For the spherical coordinates, we have used a particular coordinate system, standardized to the orientation of the z-axis. What happens if we want to use another orientation?

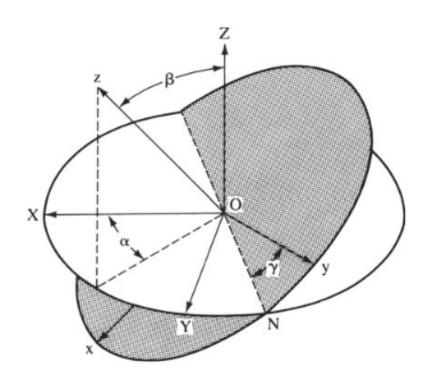


FIGURE A.1. Rotations used in the definition of the Euler angles.

Any rotation can be described by at most 3 successive rotations by  $\alpha$ ,  $\beta$ , and  $\gamma$ .

## Note that, in Chap. 6, the notion of the rotation operator for angular moment L is presented as

A rotation by an arbitrary amount about an arbitrary axis  $\hat{\mathbf{r}}$  is then given by

$$R(\mathcal{R}(\hat{\mathbf{r}},\theta)) = \exp(-i\theta\hat{\mathbf{r}}\cdot\mathbf{L}/\hbar). \tag{6.44}$$

The angular momentum operators L do *not* commute with each other, which you can deduce directly from Eq. (6.43), or by noting that rotations around different axes do not commute.

More generally, it follows that the rotation operator for total angular momentum **J** is given by  $R(\mathcal{R}(\hat{\mathbf{r}},\theta)) = \exp(-i\theta\hat{\mathbf{r}}\cdot\mathbf{J}/\hbar)$ 

Even more generally, three successive Euler angle rotations is represented by:

$$R(\mathcal{R}(\hat{\mathbf{r}}_{\alpha},\alpha))R(\mathcal{R}(\hat{\mathbf{r}}_{\beta},\beta))R(\mathcal{R}(\hat{\mathbf{r}}_{\gamma},\gamma)) = \exp(-i\alpha\mathbf{J}_{z}/\hbar)\exp(-i\beta\mathbf{J}_{y}/\hbar)\exp(-i\gamma\mathbf{J}_{z}/\hbar)$$

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#### What are the effects of rotation?

Typically, we are interested in the effects of rotation on the eigenstates of total angular momentum:  $|jm\rangle$ , where  $\mathbf{J}^2|jm\rangle = \hbar^2 j(j+1)|jm\rangle$  and  $\mathbf{J}_z|jm\rangle = \hbar m|jm\rangle$ 

In general 
$$R(\mathcal{R}(\hat{\mathbf{r}},\theta))|jm\rangle = \sum_{m'=-j}^{j} \langle jm|R(\mathcal{R}(\hat{\mathbf{r}},\theta))|jm'\rangle|jm'\rangle$$

Note that  $\langle jm | \exp(-i\theta J_z / \hbar) | jm' \rangle = \exp(-im\theta) \delta_{m,m'}$ 

$$\Rightarrow \langle jm | \exp(-i\alpha J_z / \hbar) \exp(-i\beta J_y / \hbar) \exp(-i\gamma J_z / \hbar) | jm' \rangle = e^{-im\alpha} d_{m,m'}^{j}(\beta) e^{-im'\gamma}$$

According to Eugene Wigner --

$$d_{m,m'}^{j}(\beta) = \sqrt{(j+m)!(j-m)!(j+m')!(j-m')!} \sum_{\mu} \frac{(-1)^{\mu} \left(\cos\left(\frac{\beta}{2}\right)\right)^{2j+m'-m-2\mu} \left(-\sin\left(\frac{\beta}{2}\right)\right)^{m-m'+2\mu}}{\mu!(j-m-\mu)!(j+m'-\mu)!(\mu+m-m')!}$$

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#### For example j=1/2:

$$d^{1/2}(\beta) = \begin{pmatrix} \cos(\beta/2) & -\sin(\beta/2) \\ \sin(\beta/2) & \cos(\beta/2) \end{pmatrix}$$