

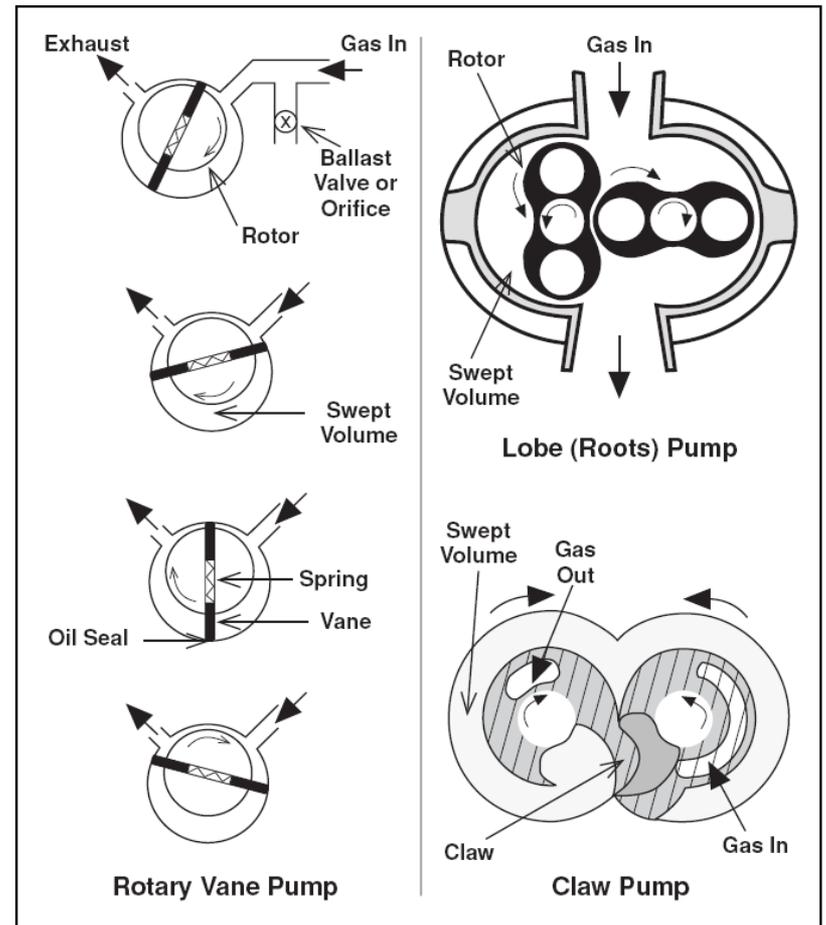
# Vacuum Technology

# Vacuum Pumps

- Two general classes exist:
- Gas transfer – physical removal of matter
  - Mechanical, diffusion, turbomolecular
- Adsorption – entrapment of matter
  - Cryo, sublimation, ion

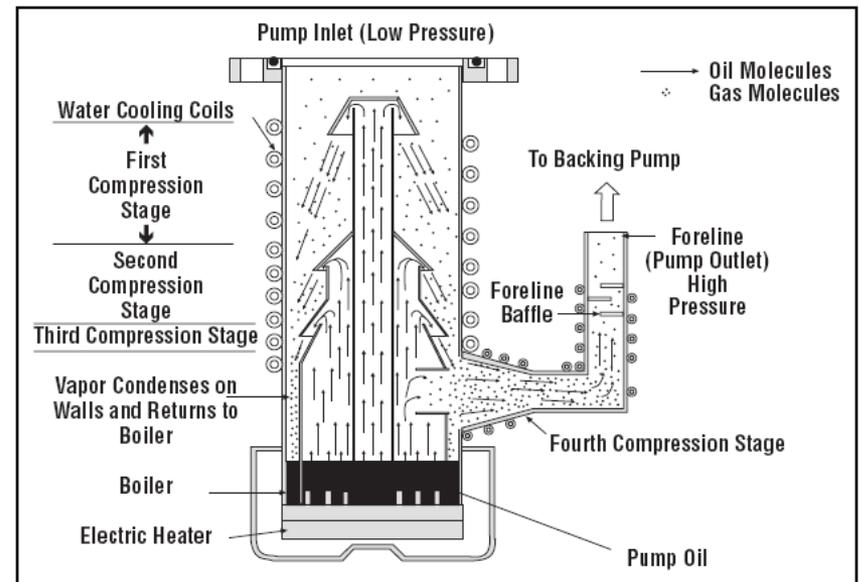
# Mechanical Pumps

- High flow rates, mechanical vibrations are a problem.
- Rotary Vane Pumps
  - Spring loaded on a rotor confine, compress and discharge gas.
  - Good workhorse pump, used as fore pumps for high vacuum pumps like diffusion pumps.
  - Works from atmospheric pressure to ~ 10 mT (0.1 mT for two-stage versions)
  - Uses oil.
- Roots Pumps
  - Two figure-8 lobes rotate in opposite directions for pumping.
  - Close tolerances eliminate the need for oil.
  - Used to maintain a low vacuum (~ 1 T) in high volume LPCVD systems but can go down to  $10^{-5}$  T with the assistance of a rotary vane pump.



# Diffusion Pumps

- Si oil is boiled and vaporized in a multistage jet assembly.
- Oil vapors emerging from the nozzles impart momentum on the residual gas molecules and drive them towards the bottom of the pump.
- The molecules are compressed and exhausted.
- No vibrations.
- From 1 mT to  $10^{-10}$  T with LN cooling (works in the molecular flow regime).
- Wide range of flow rates.
- Requires mechanical pump.
- Backstreaming of the vapors are a problem and can be minimized with cooling coils are used to condense the oil before it enters the vacuum chamber.



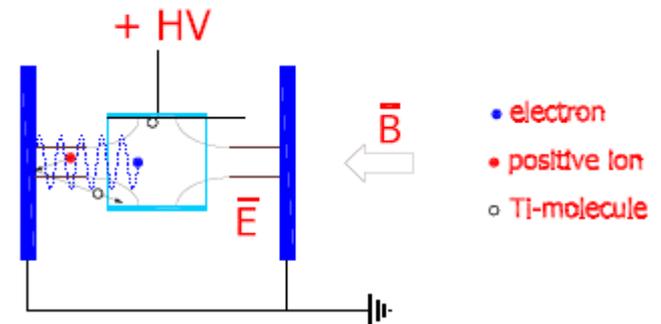
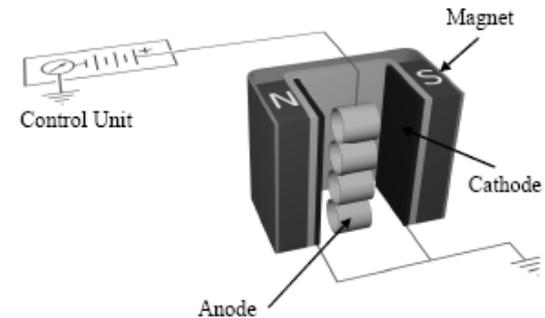
# Turbo Molecular Pumps

- High rpm (20-30K) rotor blades impart momentum to molecules
- Can go from 1 mT to  $10^{-10}$  T
- Can have vibrations
- Needs mechanical pump.
- Not good for  $H_2$  pumping.



# Ion Pumps

- A cold cathode electrical discharge creates an electron gas which is trapped by a small magnetic field.
- The electron gas ionizes residual gas particles in the chamber which are attracted to the cathode made of titanium.
- The incident ions sputter off titanium which forms a thin film on neighboring surfaces and form stable compounds with the residual gases in the chamber.
- Wide range of flow rate and pressure (still need mechanical pump)
- No moving parts or oil
- Need high voltage and magnetic fields.

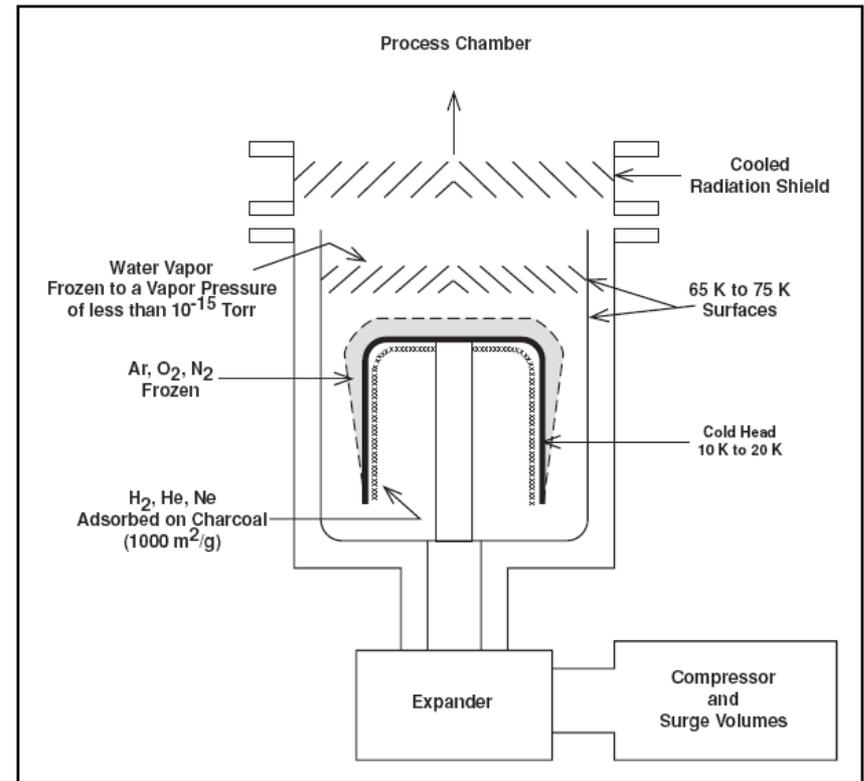


# Ti Sublimation Pumps

- A freshly created Ti surface by thermal evaporation actively retains gas molecules.
- Similar advantages and disadvantages as ion pumps

# Cryo Pumps

- Gases are adsorbed on cold pump walls
- Needs recharging
- Can reach UHV but needs other pump
- Coolers can cause vibrations.
- Not good for pumping  $H_2$ , He and Ne.

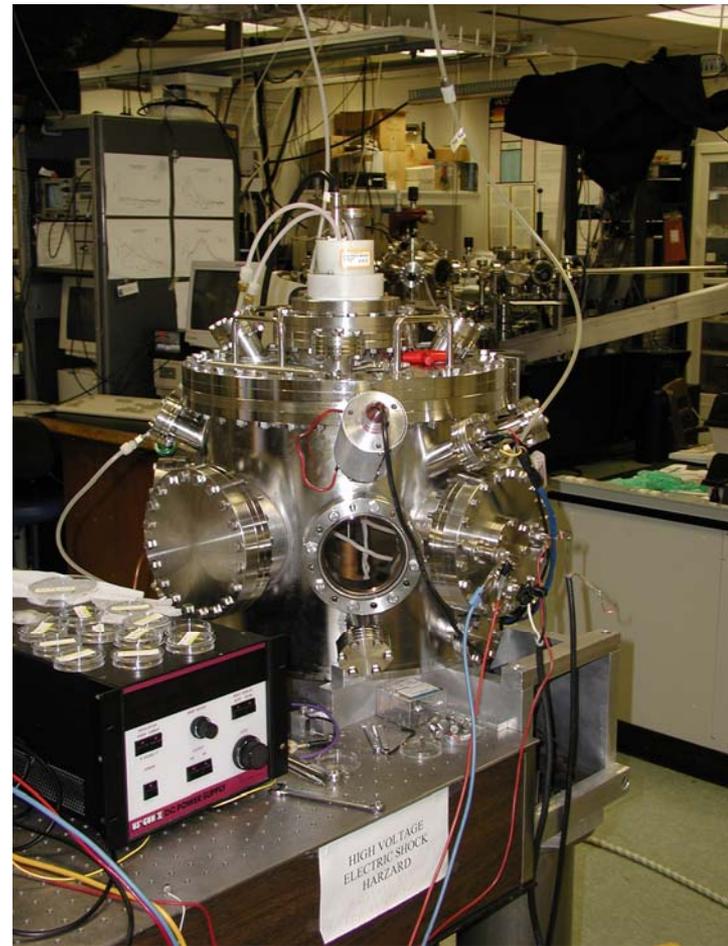


# Vacuum Pumps

	<b>Mechanical</b>	<b>Diffusion</b>	<b>Turbo molecular</b>	<b>Ion</b>	<b>Ti Sublimation</b>	<b>Cryo</b>
<b>Type</b>	Gas Transfer	Gas Transfer	Gas Transfer	Adsorption	Adsorption	Adsorption
<b>Range</b>	$10^3 - 10^{-3}$	$10^{-3} - 10^{-7}$	$10^{-4} - 10^{-10}$	$10^{-6} - 10^{-11}$	$10^{-6} - 10^{-11}$	$10^{-6} - 10^{-9}$
<b>Pump Speed</b>	Up to 300 lt/s	Up to 50K lt/s	50 – 3500 lt/s	Up to 1000 lt/s	Surface area dependent	Vapor dependent (~1000 lt/s)
<b>Pros</b>	Low vacuum workhorse, roughing pump	No vibrations	Clean	Clean, bakeable, no vibrations	Used as an addition to other UHV pumps	Clean
<b>Cons</b>	Vibrations, oil contamination	Hot oil	Vibrations	Lower pump speed	Not for inert gases	Vibrations, not for Helium

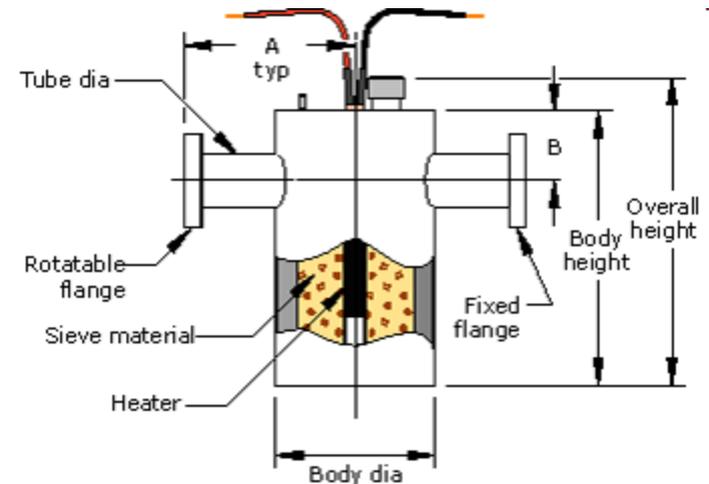
# Deposition Chambers

- For standard vacuum, we can use a glass, Pyrex or stainless steel chamber.
  - Use it for CVD, sputtering and vapor deposition.
  - Mostly for lower quality, polycrystalline films
- For UHV, use a stainless steel chamber
  - Use it in MBE, CVD, sputtering
  - High quality, epitaxial films
  - Can be “baked”.



# Foreline Traps

- Used to prevent hydrocarbons and water vapor from backstreaming.
- In a molecular sieve trap, a zeolite sieve acts as the trap.
- Water vapor can be baked out but trapped oil cannot. The sieve needs to be replaced periodically.
- Other types are coaxial and liquid nitrogen traps.



# Vacuum Flanges

- Used to connect vacuum chambers, tubing and vacuum pumps to each other.
- Quick release flanges (QF, KF, LF)
  - up to  $10^{-8}$  Torr, 150 °C
  - Flanges are connected by an elastomer ring and held together by a ring clamp.
- Con-Flat flanges
  - Knife edged rims inside create grooves on the gasket for the seal.
  - up to  $10^{-13}$  Torr, 450 °C
  - Can use viton or copper gaskets



# Vacuum Gaskets

- Viton Fluoroelastomer Rubber
  - Multiple uses
  - Oil resistant
- OFHC Copper
  - Single use
  - Use with CF flanges
  - Best solution for UHV



# Vacuum Valves

- Used for:
  - Isolation
  - Flow control
  - Enabling access
- Valve parts:
  - Valve body
  - Flapper
  - Actuator

# Valve Types

- Gate valves
  - Isolation
- Angle and inline valves
  - Pump switching, isolation
- Ball valves
  - Low vacuum, foreline trap isolation
- Butterfly valves
  - Suitable for large openings, conductance control
- Leak valves
  - Controlled gas flow

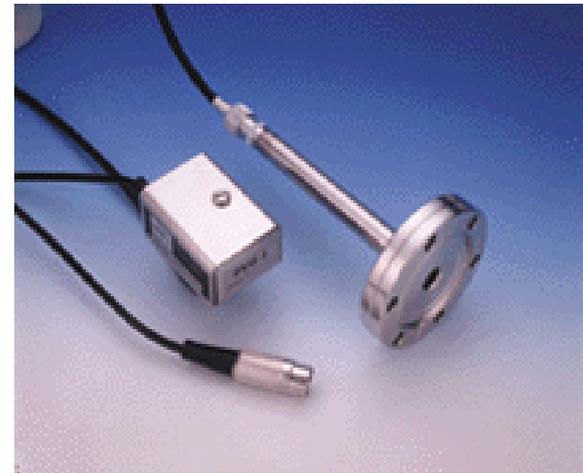
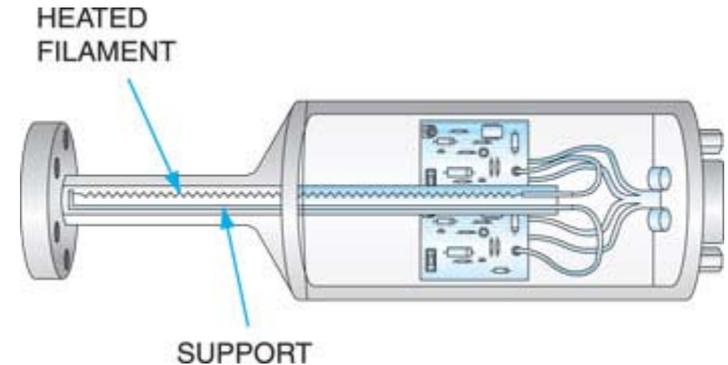


# Pressure Gauges

- Absolute pressure
  - Referenced against perfect vacuum
  - $1 \text{ atm} = 760 \text{ Torr} = 14.7 \text{ psi}$
- Gauge pressure
  - Referenced against atmospheric pressure
- Differential pressure
  - Pressure difference between two points
- Different gauges measure different scales.
  - Combine various gauges in a single controller.

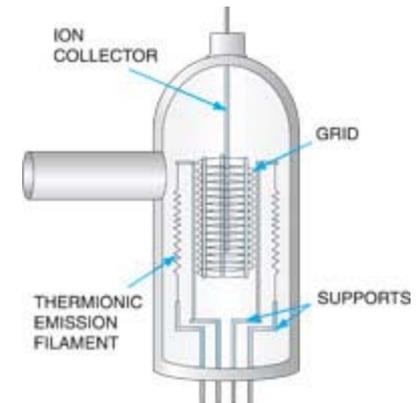
# Pirani Gauge

- Operation is based on thermal conductivity.
- A filament is heated and its temperature is measured.
- The temperature depends on the heat loss to the environment which in turn depends on the vacuum level.
- Will work between  $10 - 10^{-3}$  Torr



# Ion Gauge

- A filament is used to emit electrons which are attracted to a positively charged grid.
- Inside the grid is a negatively charged collector.
- The electrons collide with gas molecules around the grid and ionize them.
- The positively charged ions are attracted to the collector and create an ionic current.
- Works between  $10^{-3} - 10^{-10}$  Torr



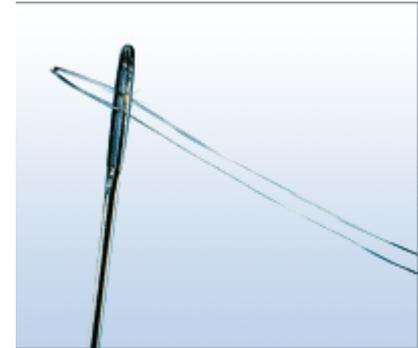
# Feedthroughs

- Electrical
  - Power
  - Instrumentation
  - Thermocouple
- Optical
  - Viewports
  - Fiber optic
  - Glass-metal
- Motion
- Fluid



# Thermocouples

- Thermal gradients will produce electrical voltage differences in conductors.
- Using two different conductors meeting at a point will generate a voltage difference that can be calibrated.
- The  $v$  vs.  $T$  relationship is non-linear and not very precise (not good around  $\sim 0.1^\circ \text{C}$ )





# Crystal Monitor



- Monitors thin film deposition rate and calculates thickness
- Quartz crystal has an oscillating electrical current, oscillates at a fixed frequency
- Oscillation frequency changes with changing mass
- Must input material density for each material
- Quartz crystal must be changed frequently
- Position needs to be calibrated

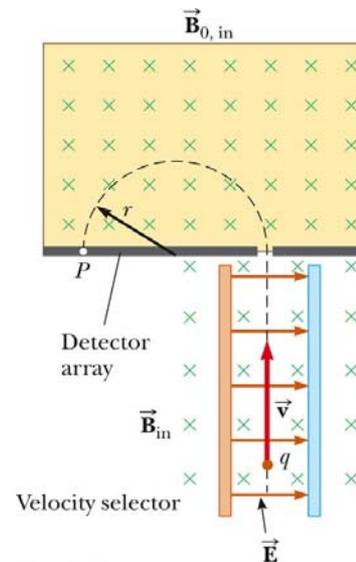


# Mass Spectrometry

- Ions with a high charge to mass ratio are deflected more strongly in magnetic fields.
- Charge particles into ions.
- Accelerate them using an electric field.
- Deflect them using a magnetic field.
- Used to obtain chemical composition data.

$$\vec{F} = q\vec{E} + q\vec{v} \times \vec{B}$$

Mass Spectrometer



$$\frac{m}{q} = \frac{rB_0B}{E}$$

# RHEED

- **R**eflection **H**igh-**E**nergy **E**lectron **D**iffraction
- A well formed crystal will have a well-defined diffraction pattern
- As successive layers are formed, the intensity of each spot will fluctuate.

