

Section 2.1: SIR Model

How do we model the spread of a disease?
First ODE Model:

S ~ proportion of susceptible population.
 I ~ proportion of infected population.
 R ~ proportion of removed
 N ~ total population.

Assumptions:

1. N is constant in time
2. $\frac{dS}{dt} = \dot{S} = -f(S, I) \leftarrow$ Incidence.
3. $\frac{dI}{dt} = \dot{I} = f(S, I) - g(I) \leftarrow$ Recovery.
4. $\frac{dR}{dt} = \dot{R} = g(I)$



$$\Rightarrow \dot{N} = \dot{S} + \dot{I} + \dot{R} = -f + f - g + g = 0 \quad (\text{pop. conserved})$$

5. $f(0, I) = 0$, $f(S, 0) = 0$, $f \geq 0$
* Simplest function:
 $f(S, I) = \beta SI$

Interpretation:

$$\frac{S(t + \Delta t) - S(t)}{\Delta t} \approx -\beta S(t) I(t)$$

$$\Rightarrow S(t+\Delta t) \approx S(t) - \underbrace{\beta \Delta t I(t)}_{\substack{\text{proportion of } S \text{ infected.} \\ \text{"probability of infection"}}} S(t)$$

$\beta I \sim$ force of infection per unit time.

6. $g(0) = 0, g \geq 0$.
 *simplest function
 $g(I) = \alpha I$

Interpretation:

$$R(t+\Delta t) \approx R(t) + \underbrace{\alpha \Delta t I(t)}_{\substack{\text{proportion of } I \text{ that recover.} \\ \text{"probability of recovery"}}}$$

Full Model:

$$\begin{cases} \dot{S} = -\beta I S \\ (*) \dot{I} = \beta I S - \alpha I \\ \dot{R} = \alpha I \end{cases}, \begin{cases} S(0) = S_0 \\ I(0) = I_0 \\ R(0) = R_0 \end{cases} \left. \vphantom{\begin{cases} \dot{S} \\ \dot{I} \\ \dot{R} \end{cases}} \right\} \text{Initial Conditions} \Rightarrow N = S_0 + I_0 + R_0$$

How do we analyze??

Theorem - Solutions to (*) exist and are unique.

Corollary - If $S_0, I_0, R_0 \geq 0$ then for all time $S(t), I(t), R(t) \geq 0$

proof:

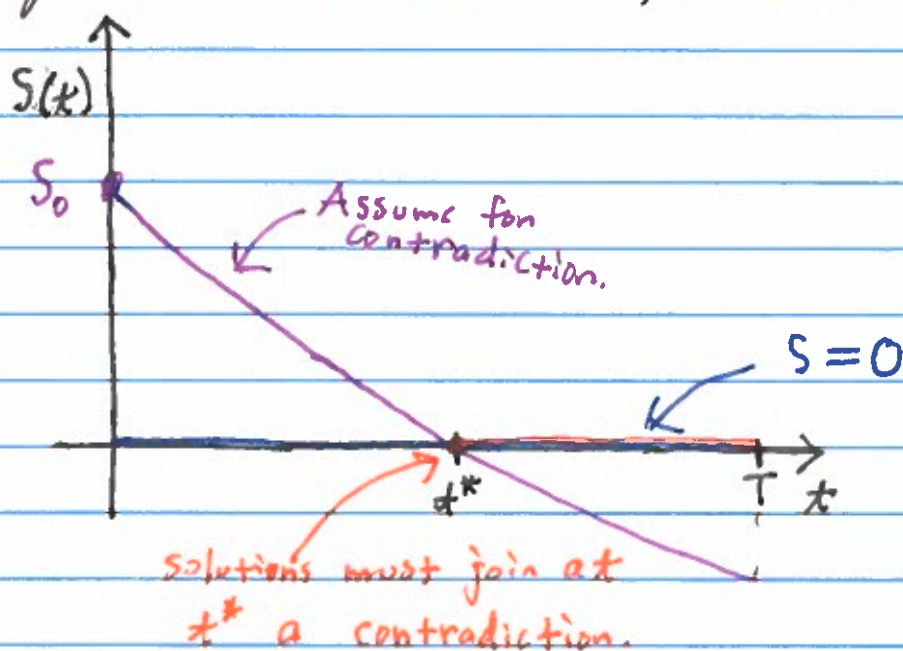
$S^*(t) = 0$ is a solution for all t . For $S_0 > 0$ let $S(t)$ be a solution satisfying $S(0) = S_0$. For contradiction suppose there exists some $T > 0$ such that $S(T) < 0$.

By the intermediate value theorem there exists $t^* \in [0, T]$ such that $S(t^*) = 0$. Consequently,

$$\dot{S}(t^*) = 0$$

$$\Rightarrow S = 0 \text{ for all } t \geq t^*$$

This violates existence and uniqueness. Similar arguments prove that $I(t), R(t) > 0$.



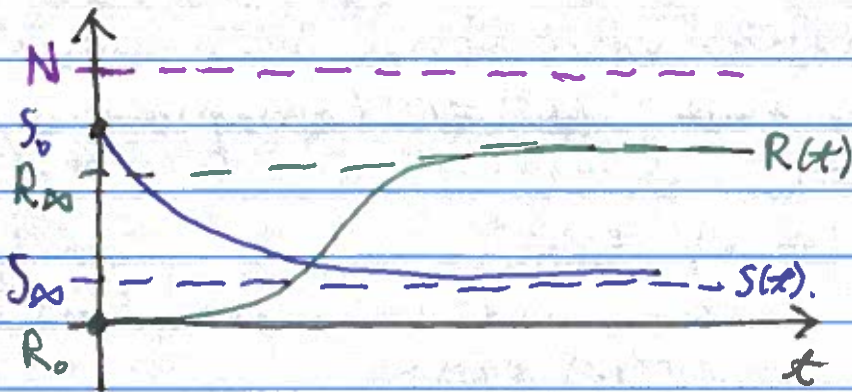
Corollary: For all $S_0, I_0, R_0 > 0$ there exists $S_\infty, R_\infty \geq 0$ such that

$$\lim_{t \rightarrow \infty} S(t) = S_\infty \text{ and } \lim_{t \rightarrow \infty} R(t) = R_\infty.$$

proof:

1. Since $S(t) \geq 0$ and $\dot{S}(t) \leq 0$ it follows that S is monotone decreasing and bounded below. Therefore, there exists $S_\infty \geq 0$ such that $\lim_{t \rightarrow \infty} S(t) = S_\infty$.

2. Since $R(t) \geq 0$ and $R(t) \leq N$ it follows that R is monotone increasing and bounded above. Therefore, there exists R_∞ such that $\lim_{t \rightarrow \infty} R(t) = R_\infty$.



What about $I(t)$?

$$\dot{I}(t) = (\beta S(t) - \alpha) I(t).$$

Therefore, if $\beta S(t) - \alpha > 0$ $\dot{I}(t) > 0$

$$\Rightarrow \frac{\beta S(t)}{\alpha} > 1 \quad \text{Condition for an epidemic}$$

Usually, $S(0) \approx N$ and thus

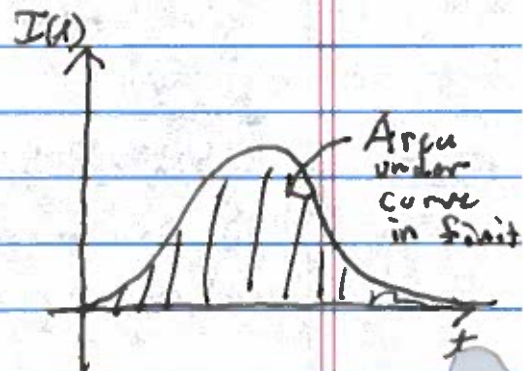
$$R_0 = \frac{\beta N}{\alpha} > 1$$

Basic Reproduction Number.

Theorem: $\lim_{t \rightarrow \infty} I(t) = I_{\infty} = 0.$

proof:

$$\begin{aligned} \int_0^{\infty} \dot{S}(t) dt &= -\beta \int_0^{\infty} S(t) I(t) dt \\ \Rightarrow S_{\infty} - S_0 &= -\beta \int_0^{\infty} S(t) I(t) dt \\ \Rightarrow S_0 - S_{\infty} &= \beta \int_0^{\infty} S(t) I(t) dt \\ \Rightarrow S_0 - S_{\infty} &\geq \beta S_{\infty} \int_0^{\infty} I(t) dt \geq 0. \end{aligned}$$



Therefore, $\lim_{t \rightarrow \infty} I(t) = 0.$

What is the maximum number of infected?

$$\dot{I} = \beta IS - \alpha I$$
$$\dot{I} = 0 \Rightarrow I(\beta S - \alpha) = 0$$
$$\Rightarrow S = \alpha/\beta.$$

When I is maximized $S = \alpha/\beta$. Need to connect S and I somehow.

$$\frac{\dot{S}}{\dot{I}} = \frac{dS}{dI} = \frac{-\beta IS}{\beta IS - \alpha I} = \frac{-S}{\beta S - \alpha}$$

$$\Rightarrow \int_{S_0}^S \frac{\alpha - \beta S}{\beta S} dS = \int_{I_0}^I dI$$

$$\Rightarrow \alpha \ln\left(\frac{S}{S_0}\right) - \beta(S - S_0) = I - I_0.$$

$$\Rightarrow I = I_0 + \frac{\alpha}{\beta} \ln\left(\frac{S}{S_0}\right) - (S - S_0)$$

Therefore

$$I_{\max} = I_0 + \frac{\alpha}{\beta} \ln\left(\frac{\alpha}{\beta S_0}\right) - \left(\frac{\alpha}{\beta} - S_0\right).$$

Now, if $I_0 \approx 0$ and $S_0 \approx N$ we obtain:

$$I_{\max} \approx \frac{\alpha}{\beta} \ln\left(\frac{\alpha}{\beta N}\right) + N - \frac{\alpha}{\beta}$$

$$\Rightarrow I_{\max} \approx N - \frac{\alpha}{\beta} (1 + \ln(R_0))$$

$$I_{\max} \approx N \left(1 - \frac{1}{R_0} (1 + \ln(R_0))\right)$$

Now, we can also solve for S_{∞} . At the end of the epidemic we know $I=0$ and assume $S_0 \approx N$

$$\Rightarrow 0 = I_0 + \frac{\alpha}{\beta} \ln\left(\frac{S_{\infty}}{S_0}\right) - \left(\frac{\alpha}{\beta} - S_0\right)$$

$$\Rightarrow 0 = I_0 + \frac{N}{R_0} \ln\left(\frac{S_{\infty}}{S_0}\right) - \frac{N}{R_0} + S_0$$

$$\Rightarrow \frac{N}{R_0} - I_0 - S_0 = \frac{N}{R_0} \ln\left(\frac{S_{\infty}}{S_0}\right)$$

$$\Rightarrow 1 - \frac{R_0(I_0 + S_0)}{N} = \ln\left(\frac{S_{\infty}}{S_0}\right)$$

$$\Rightarrow S_{\infty} = S_0 \exp\left(\frac{N - R_0(I_0 + S_0)}{N}\right)$$

If, $S_0 \approx N, I_0 \approx 0$

$$\Rightarrow S_{\infty} \approx N \exp(1 - R_0)$$

$$\Rightarrow R_0 = 1 - \ln\left(\frac{S_{\infty}}{N}\right)$$

$$\Rightarrow R_{\infty} \approx N(1 - \exp(1 - R_0))$$

If $R_0 = 2$ (Covid): $R_{\infty} \approx N(0.63) \rightarrow 63\%$ of population

If $R_0 = 1.5$ (H1N1): $R_{\infty} \approx N(0.4) \rightarrow 40\%$ of population

If $R_0 = 17$ (Measles): $R_{\infty} \approx N(1) \rightarrow 100\%$ of population

If $R_0 = 12$ (Chicken pox): $R_{\infty} \approx N(1) \rightarrow 100\%$ of population

If $R_0 = 5$ (Polio): $R_{\infty} \approx N(0.98) = 98\%$ of population

If $R_0 = 3$ (Small pox): $R_{\infty} \approx N(0.96) = 96\%$ of population